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Ex 1:  $f: \mathbb{R} \rightarrow \mathbb{R}$   
 $x \mapsto x^n, n \in \mathbb{N}$

$f'(x) = nx^{n-1}, f''(x) = n(n-1)x^{n-2}$

$n=2: x_0=0$  a non-degenerate crit. pts of  $f$   
 $n \in \mathbb{N} \setminus \{2\}: x_0=0$  a degenerate —||—

Ex 2: Consider  $f_1(x) = x^2$   
 $f_2(x) = x^3$

$g = ax + b$  for  $a, b \in \mathbb{R}$ .

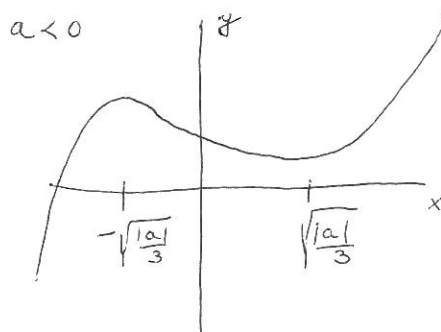
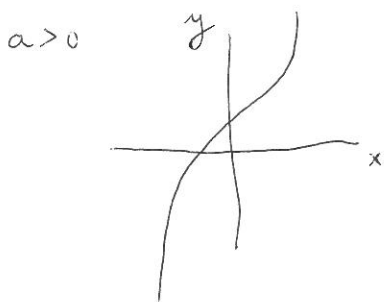
$f_1: \mathbb{R} \rightarrow \mathbb{R}$   
 $f_2: \mathbb{R} \rightarrow \mathbb{R}$   
 $g: \mathbb{R} \rightarrow \mathbb{R}$

$f_1, f_2$  have just one and the same crit. pt.  $x_0 = 0$ .

let us perturb/deform  $f_1, f_2: g_1 := f_1 + g = x^2 + ax + b,$   
 $g_2 := f_2 + g = x^3 + ax + b.$

Crit. pts of  $g_1: 2x + a = 0 \Leftrightarrow x_0 = -\frac{a}{2}$ , this pt is non-degenerate since  $g_1''(-\frac{a}{2}) = 2 \neq 0$ .

Crit. pts of  $g_2: 3x^2 + a = 0 \Leftrightarrow x_0^\pm = \pm \sqrt{-\frac{a}{3}}$ . Since  $x \in \mathbb{R}$ , we have no critical pt for  $a > 0$  of  $g_2$ , for  $a < 0$  we have  $g_2''(x_0^\pm) = \pm 6\sqrt{\frac{|a|}{3}} \neq 0 \Rightarrow x_0^\pm$  are non-degenerate crit. pts



Observation: Non-degenerate pts are stable under perturbation/deformation.



# Transversality and oriented intersection theory

Def:  $X, Y \subseteq Z$  smooth submanifold of (smooth manifold)  $Z$ . Then  $X$  intersects  $Y$  transversally at  $x \in X \cap Y$  if  $T_x X + T_x Y = T_x Z$ .  
 $X, Y$  are transverse if they intersect transversally at all points, write  $X \pitchfork Y$ . Non-intersecting manifolds are trivially transversal.

Transversality is defined as relative to the ambient manifold  $Z \in \mathcal{G}$ . Non- $\parallel$  lines in  $\mathbb{R}^2$  intersect transversally, but not when embedded in  $\mathbb{R}^3$ .

Theorem:  $X, Y \subseteq Z$  non-empty intersecting (smooth) submanifolds in  $Z$ ,  $X \pitchfork Y$ . Then  $X \cap Y$  is a submanifold as well, and  
 $\text{codim}(X \cap Y) = \text{codim } X + \text{codim } Y$  (codim w.r. to  $Z$ )

Pf:  $\dim X = k, \dim Y = m, \dim Z = n$ . The aim:  $\forall p \in X \cap Y$  has a local chart description. The embedding  $i: X \rightarrow Z$  can be written as  
 $i(x_1, \dots, x_k) = (x_1, \dots, x_k, 0, \dots, 0)$  in a local chart  $(x_1, \dots, x_k, 0, \dots, 0) \in U \subseteq Z, p = (0, \dots, 0) \in U$ .

Consider the map  $f: U \rightarrow \mathbb{R}^{n-k}$  given by  $f(x_1, \dots, x_k) = (x_{k+1}, \dots, x_n)$  in the same coordinates; then  $f^{-1}(0) = U \cap X$ . Similarly, because  $Y \subseteq Z$  is an embedded submanifold, there  $\exists$  a map  $g: U \rightarrow \mathbb{R}^{n-m}$  s.t.  $g^{-1}(0) = U \cap Y$ . By construction,  $(df)_z$  and  $(dg)_z$  are surjective  $\forall z \in U$ , i.e. zero is the regular value of both  $f$  and  $g$ .  
 By hypothesis,  $p \in X \cap Y$  is a regular point for the map  $(f, g): U \rightarrow \mathbb{R}^{2n-k-m}$  and so there are no critical points for some  $\tilde{U}, p \in \tilde{U} \subseteq U$ . Then  
 $(f, g)|_{\tilde{U}}^{-1}(0, 0) = X \cap Y \cap \tilde{U}$  is a (coordinate chart for) a submanifold of  $Z$ , so we have a natural map to parametrize  $X \cap Y$ .  $\square$

Even if two manifolds do not intersect transversally, it is possible to deform them to manifolds with transverse intersections.

Def: A deformation of a submanifold  $X \subseteq Y$  is a smooth function  $i: X \times S \rightarrow Y$ , where  $S \subseteq \mathbb{R}^n$  is an open ball with  $0 \in S$ ,  $i_s(x) := i(x, s)$  is an embedding  $\forall s \in S$  and  $i_0: X \rightarrow Y$  is the initial inclusion.

(37) Deformations are very easy to construct:

Lemma:  $X$  - smooth compact,  $i: X \times S \rightarrow Y$  a smooth function s.t.  $i_0(x) := i(x, 0)$  is the embedding  $X \rightarrow Y$ . Then for  $\epsilon > 0$  small enough,  $i$  is a deformation of  $X$  when restricted to  $X \times S^\epsilon$  ( $S^\epsilon$ ... open ball around 0, radius  $\epsilon$ )

Pf.  $X$  is compact  $\Rightarrow i_s, i_s(x) := i(x, s)$  is a family of proper maps (inverse image of compact is compact set), we have to show for all small enough  $s$   $i_s$  are immersions and bijections (one to one maps.)

$\forall x \in X$ , let  $U_x \times S^{\epsilon_x} \subseteq X \times S$  such that  $d(i_s)_{x'}$  is of full rank ( $\forall x' \in U_x \forall s \in S^{\epsilon_x}$ ). This exists because  $d(i_0)_x$  is of full-rank at  $\forall x \in X$  and the determinant is a continuous fun; if there  $\exists$  a square submatrix of  $d(i_0)_{x'}$  with non-vanishing determinant so does  $d(i_s)_{x'}$  (for small enough  $s$ , since  $i(x, s)$  is smooth in  $s$  and  $x$ .)

$X$  is compact  $\Rightarrow \exists$  finite number of charts covering  $X$ , and choose the minimum of  $\epsilon_x$  to find an  $\epsilon$  s.t. if  $s \in S^\epsilon$ ,  $i_s$  is an immersion.

Assume that  $\forall \epsilon > 0 \exists s \in S^\epsilon$  s.t.  $i_s$  is not injective. Define a mapping  $F: X \times S \rightarrow Y \times S$  by  $F(x, s) = (i_s(x), s)$ , and consider two point wise distinct sequences of points in  $X$ ,  $\{x_i\}, \{y_i\}$  s.t.  $F(x_i, s_i) = F(y_i, s_i)$  with  $d s_i \neq 0$ , any sequence  $s_i \rightarrow 0$ . Passing to a subsequence, the compactness of  $X$  guarantees  $x_i \rightarrow x, y_i \rightarrow y$  (subsequences converge.) Since  $i_0$  is injective and maps to the values both  $x$  and  $y$ , we have  $x = y$ .

At  $(x, 0)$ ,  $dF_{(x, 0)}$  is injective since  $i_0$  is injective, so by the Inverse function theorem  $F$  is injective in a neigh. of  $(x, 0)$ . This contradicts the fact  $x_i \neq y_i \forall i$ .  $\square$

The next theorem (without proof) consists of perturbing manifolds on a small open set:

Theorem ( $\epsilon$ -neigh. theorem):  $X$  - compact smooth man., embedded in  $\mathbb{R}^m$ .

Let  $X^\epsilon := \{z \in \mathbb{R}^m : |z - x| < \epsilon \text{ for some } x \in X\}$ ,  $|\cdot|$  - Euclid norm. Then there  $\exists$  a smooth map  $\pi: X^\epsilon \rightarrow X$ , sending  $z \in X^\epsilon$  to the unique closest point to  $z$  in  $X$ . Moreover,  $\pi$  is a submersion, i.e. it has no critical points.

(38) Theorem:  $X$ -compact subman. of  $Y$ ,  $Y$  embedded in  $\mathbb{R}^n$  with an  $\epsilon$ -neigh.  $Y^\epsilon$ , and a map  $\pi: Y^\epsilon \rightarrow Y$ . Define a deformation  $i: X \times B^n \rightarrow Y$  ( $B^n = S$  denotes the unit ball in  $\mathbb{R}^n$ ) of  $X$ :  $i_s(x) := i(x, s) = \pi(x + \epsilon s)$ . Let  $Z \subseteq Y$  a smooth subman., then for almost all  $s \in S$  the manifold  $X_s$  defined by the embedding  $i_s(x)$  satisfies  $X_s \pitchfork Z$ .

Pf: We note  $i$  is a submersion: a consequence of the fact that  $\pi$  is a submersion (by the previous  $\epsilon$ -neigh. theorem), and by observing that even for fixed  $x$  the map  $(x, s) \mapsto x + \epsilon s$  spans all directions of  $Y^\epsilon$  and so it is a submersion as well; a composition of submersions is a submersion  $\Rightarrow \forall$  point in  $Y$  is a regular value of  $i \Rightarrow i^{-1}(Z)$  is a submanifold of  $X \times B^n$ .

Consider the projection map  $p: X \times B^n \rightarrow B^n$ ,  $(x, s) \mapsto p(x, s) = s$ .

When  $s \in B^n$  is a regular value of the map  $p|_{i^{-1}(Z)}$ , we have  $X_s \pitchfork Z$ : then since  $i^{-1}(Z)$  is a manifold, Sard's theorem does the work and finishes our proof.

The proof of the last claim: denote  $W := i^{-1}(Z)$ . The hypothesis of regularity implies (at  $s \in B^n$ ) that  $\forall (x, s) \in W$ , the map  $d p(x, s)|_W$  is surjective. Therefore, adding the kernel of

$d p(x, s)|_W$ , which is inside  $T_x X \times 0$ , to  $T_{(x, s)} W$ , we get

$(T_x X \times 0) + T_{(x, s)} W = T_x X \times \mathbb{R}^n$ , the full tangent space of  $X \times B^n$ . We notice  $T_{(x, s)} W = d i_{(x, s)}^{-1} (T_{\pi(x + \epsilon s)} Z)$ : " $T_s B^n \approx T_s \mathbb{R}^n$ "

let  $j: W \rightarrow X$  be the inclusion. Then  $i \circ j$  is a submersion, so

$d(i \circ j): T_{(x, s)} W \rightarrow T_{\pi(x + \epsilon s)} Z$  is surjective, so the assertion follows by the chain rule &  $d j_{(x, s)} = \text{Id}$ . Applying  $j$

this to, get  $(T_x X \times 0) + d i_{(x, s)}^{-1} T_{\pi(x + \epsilon s)} Z = T_x X \times \mathbb{R}^n$

$$\Rightarrow d i_{(x, s)} (T_x X \times 0) + T_{\pi(x + \epsilon s)} Z = d i_{(x, s)} (T_x X \times \mathbb{R}^n)$$

$$\Rightarrow T_{\pi(x + \epsilon s)}(X_s) + T_{\pi(x + \epsilon s)} Z = T_{\pi(x + \epsilon s)} Y$$

where the last equality follows from the surjectivity of

$d i_{(x, s)}: T_x X \times \mathbb{R}^n \rightarrow T_{\pi(x + \epsilon s)} Y$ . This is just the transversality condition, the proof is complete.  $\square$

Intersection theory on oriented manifolds. On  $\mathbb{R}^n$ ,  $\{a_1, \dots, a_n\} = a$  and  $\{b_1, \dots, b_n\} = b$  two bases. If the determinant of the transition matrix from  $a$  to  $b$  is positive,  $a, b$  are called to have the same orientation;  $a \rightarrow [a]$ , then  $[a] = [b]$ . If the det is negative,  $a, b$  have the opposite orientation ( $[a] = -[b]$ .) So an equivalence class of oriented bases is the orientation (of  $\mathbb{R}^n$ )

$X$  - smooth man., orientation on  $X$  is a smooth choice of orientations of  $T_x X, x \in X$ . This means  $\forall x \in X \exists \varphi: U \rightarrow X, x \in \varphi(U)$ , such that  $d\varphi_x: \mathbb{R}^k \rightarrow T_x X$  preserves orientation  $\forall x \in U$ , an open neigh.

$V, W$  - oriented vector spaces,  $V \times W$  is oriented by:

$$\left. \begin{array}{l} [v_1, \dots, v_n] \text{ orient. of } V \\ [w_1, \dots, w_m] \text{ " " } W \end{array} \right\} [(v_1, 0), \dots, (v_n, 0), (0, w_1), \dots, (0, w_m)] \text{ orient. of } V \times W$$

(product orient)

Direct sums induce product of orientation as well:

$$[v_1, \dots, v_n] \text{ for } V, [w_1, \dots, w_m] \text{ for } W, [v_1, \dots, v_n, w_1, \dots, w_m] \text{ for } V \oplus W$$

Back to (smooth, without boundary) manifolds:  $X, Z \subseteq Y$

if  $X$  and  $Z$  intersect transversally,  $\Leftrightarrow$  assume  $\dim X + \dim Z = \dim Y$   
 $\dim(X \cap Z) = 0$  ( $\Rightarrow$  discrete set of pts), so  $T_x X \oplus T_x Z = T_x Y$ .

orientation number of  $x \in X \cap Z = 1$  if  $T_x Y$  and  $T_x X \oplus T_x Z$  have the same orientation)  
 $= -1$  otherwise;

(orientation number depends on the order of  $X, Z$ .)

Global counting of orientation numbers:

Def. Let  $X \pitchfork Z$ . The intersection number of  $X$  and  $Z$ ,  $I(X, Z)$ , is the sum of orientation numbers of the points in  $X \cap Z$ .

if  $X \pitchfork Z, \dim X + \dim Z = \dim Y + 1$ , then  $X \cap Y$  is an oriented 1-manifold.

Because  $\forall$  1-dim manifolds are diff. to circles/segments, the intersection numbers at the boundary of 1-man. are zero.

Lemma: Let  $i: X \times \langle 0, 1 \rangle \rightarrow Y$ ,  $i \equiv i(s, x) = i_s(x)$  for  $x \in X$ , be a smooth form in  $X$  and continuous in  $\langle 0, 1 \rangle \ni s$ . Then if  $X_0 = i_0(X), X_1 = i_1(X)$ , and both  $X_0 \cap Z$  and  $X_1 \cap Z$ , we have  $I(X_0, Y) = I(X_1, Y)$ .

Pf:  $W := i(X, \langle 0, 1 \rangle)$  is a (topological) submanifold of  $Y$ ,  $\dim W + \dim Y = \dim Z + 1$ . The last theorem implies  $\exists$  of deformation  $W'$  of  $W$ ,  $W' \cap Y$ . Moreover, since  $X_0 \cap Z$  and  $X_1 \cap Z$ , this can be made such that  $W' = W$  outside of  $X \times \langle \epsilon, 1 - \epsilon \rangle$  for some  $\epsilon > 0$  (by multiplying the deformation of the last theorem by a bump function, which is zero outside of  $\langle \epsilon, 1 - \epsilon \rangle$ ).  
 Since  $W' \cap Y$ , the intersection  $W' \cap Y$  is a 1-manifold, its boundary is  $i(X \times \{1\}) - i(X \times \{0\}) = X_1 - X_0$ . Because the intersection numbers of the boundary of 1-manifold is always zero,  $I(X_1, Y) = I(X_0, Y)$ .  $\square$

If  $X \cap Z$ , we can (by the last theorem) deform  $Z$  in  $Y$  into some homotopic  $Z'$  s.t.  $X \cap Z'$ . Then we define  $I(X, Z) = I(X, Z')$ .

Def:  $f: X \rightarrow Y$  a smooth map (of smooth manifolds). If  $y \in Y$  is a regular value of  $f$ , the degree of  $f$  at  $y \in Y$  is  $\deg_y(f) = \sum_{x \in f^{-1}(y)} \text{sign det } df_x$ .

Because degree of a function is an intersection number, we have

Lemma: For  $y_0, y_1 \in Y$  two regular values of  $f$ ,  $\deg_{y_0} f = \deg_{y_1} f$ . Therefore, we define a global degree of  $f$ ,  $\deg f$ . Moreover, it is a homotopy invariant (i.e., if  $f \sim_{\text{homotopic}} g$ , then  $\deg f = \deg g$ ).

Def: (Euler characteristic) The Euler charact.  $\chi(X)$  of  $X$  is  $\chi(X) := I(\Delta, \Delta)$ , where  $\Delta = \{(x, x) \mid x \in X\} \subseteq X \times X$  is a submanifold (the diagonal submanifold).

**Poincaré-Hopf theorem**

Topology of a smooth manifold  $\leftrightarrow$  smooth vector fields on  $M$  ( $M \rightarrow TM$   
 $x \mapsto T_x M$   
 smooth on  $M$ )

(41) E.g.:  $(\mathbb{R}^n, \langle \cdot, \cdot \rangle)$ ,  $v = v(x)$  a vector field,  $x_0$  a zero of  $v: v(x_0) = 0$ .

index of  $v \in C^\infty(\mathbb{R}^n, T\mathbb{R}^n)$ ,  $\text{ind}_{x_0}(v)$ , <sup>at the point  $x_0$</sup>  is defined as the degree of the map  $x \mapsto \frac{v(x)}{|v(x)|}$  from  $S^{n-1}$  to  $S^{n-1}$ . In fact,  $(x_0 \text{ is in the interior of } S^{n-1})$

this map corresponds to  $f_*: H_{n-1}(S^{n-1}, \mathbb{Z}) \rightarrow H_{n-1}(S^{n-1}, \mathbb{Z})$   
 $\mathbb{Z} \xrightarrow{d} \mathbb{Z}$   
 $\text{ind}_{x_0}(v) = \text{deg}(f_*) = d$

on  $M$ :  $\varphi: U \rightarrow M$  a chart around  $x_0$ , the zero of  $v \in C^\infty(M, TM)$ .

Then  $\text{ind}_{x_0}(v) := \text{ind}_{\varphi^{-1}(x_0)}(\varphi^*v)$ , where  $\varphi^*v = (d\varphi_u^{-1})v(\varphi(u))$ ,  
 $u$  ... coordinates on  $U$

Theorem: (Poincaré-Hopf)  $M$ -compact orient. man.,  $\vec{v}$  a vector field on  $M$  with finitely many zeroes  $\{x_0, x_1, \dots, x_n\}$ . Then  $\chi(M) = \sum_{j=1}^n \text{ind}_{x_j}(\vec{v})$ .

Let  $v \in C^\infty(M, TM)$ ,  $x \mapsto (x, v(x))$ ; since  $M$  is compact, the map  $x \mapsto (x, v(x))$  is proper (and it is injective, because the first component of this map is identity) and embeds  $M$  into  $TM$  ( $\Rightarrow M$  is diff. to  $\{(x, v(x)) \mid x \in M\}$ )

Zeros of  $v$  correspond to the intersection points of  $v$  with  <sup>$T M$</sup>  the zero vector field  $V_0 = \{(x, 0) \mid x \in M\}$ . The zero is non-degenerate if  $(dv)_{x_0}: T_{x_0}M \rightarrow T_{x_0}M$  is a bijection (why  $(dv)_{x_0}$  maps  $T_{x_0}M$  to  $T_{x_0}M$ ?)

Lemma: let  $x_0$  be a zero of  $v \in C^\infty(M, TM)$ . Then  $x_0$  is non-degenerate if and only if  $M_v \pitchfork M_{V_0}$  ( $M_v, M_{V_0} \subseteq TM$  via  $v, V_0$ ) at  $(x_0, 0)$ . In this case,  $\text{ind}_{x_0}(v)$  is the orientation number of  $(x_0, 0)$  in  $TM$  of  $M_v \pitchfork M_{V_0}$ .

Pf:  $v$  is non-degenerate at  $x_0$  if and only if  $T_{(x_0, 0)}M_v + T_{(x_0, 0)}M_{V_0} = T_{(x_0, 0)}(TM) = T_{x_0}M \times T_{x_0}M$ . The reason is the following observation:

(42)

The tangent space of  $M_V$  at  $(x_0, 0)$  is the graph of  $(dV)_{(x_0, 0)}$ , i.e.

$\{(w, (dV)_{x_0}(w)) \mid w \in T_{x_0} M\}$ , whereas the tangent space of  $M_{V_0}$  is  $\{(w, 0) \mid w \in T_{x_0} M\}$ ; the transversality condition holds iff  $(dV)_{x_0}$  is bijective.

As for the second part, the orientation number of  $(x_0, 0)$  equals  $\pm 1$  if  $(dV)_{x_0}$  preserves orientation, and  $-1$  if reverses: let  $[\alpha_1, (dV)_{x_0}(\alpha_1), \dots, \alpha_n, (dV)_{x_0}(\alpha_n)]$

be a positively oriented basis for  $TM_V$  at  $(x_0, 0)$ , and consider the induced basis for  $T_{(x_0, 0)} M_{V_0} + T_{(x_0, 0)} M_V$  at  $(x_0, 0)$  given by

$$\begin{aligned} & [(\alpha_1, 0), \dots, (\alpha_n, 0), (\alpha_1, (dV)_{x_0}(\alpha_1)), \dots, (\alpha_n, (dV)_{x_0}(\alpha_n))] = \\ & = [(\alpha_1, 0), \dots, (\alpha_n, 0), (0, (dV)_{x_0}(\alpha_1)), \dots, (0, (dV)_{x_0}(\alpha_n))] = \text{sgn}(\alpha) \cdot \text{sgn} dV_{x_0}(\alpha), \end{aligned}$$

and the claim follows.

Around a zero  $x_0$  of  $v$ , we write  $v(x_0 + w) = dV_{x_0}(w) + \epsilon(w)$ ,

$\frac{\epsilon(w)}{|w|} \rightarrow 0$  for  $w \rightarrow 0$ . Consider the map

$$F_t(w) = \frac{dV_{x_0}(w) + t\epsilon(w)}{|dV_{x_0}(w) + t\epsilon(w)|_{x_0}}$$

$\left| \frac{dV_{x_0}(w) + t\epsilon(w)}{|dV_{x_0}(w) + t\epsilon(w)|_{x_0}} \right|_{x_0}$  ← choose any metric at  $T_{x_0} M$ .

$F_t$  is a smooth map  $F_t: S_\epsilon \rightarrow S^m$ . At  $t=1$ ,

$\deg(F_1) = \text{ind}_{x_0}(v)$ . At  $t=0$ ,  $F_0(w) = \frac{dV_{x_0}(w)}{|dV_{x_0}(w)|_{x_0}}$ . Since  $dV_{x_0}$  is

a linear isomorphism of vector spaces isomorphic to  $\mathbb{R}^m$ , it is

either homotopic to the  $\text{Id}_{\mathbb{R}^m}$  or the reflection on  $\mathbb{R}^m$ , so that

degree of  $F_0$  is  $\pm 1$  (according to the ~~more~~ non/preservation

of orientation. Since the map  $F_t$  is a homotopy and

degree is a homotopy invariant, the claim follows.  $\square$

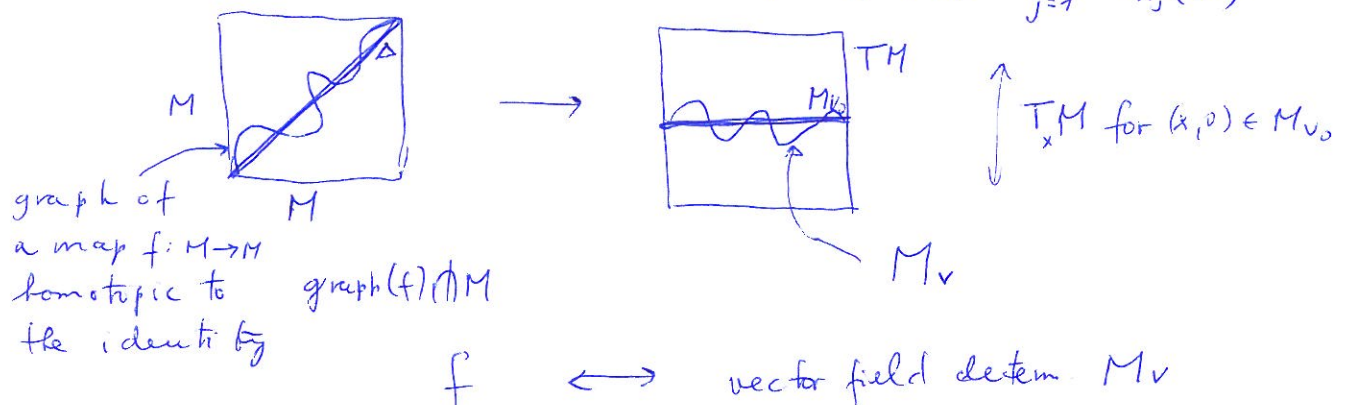


(4.3)

Lemma: Assume  $x_0$  is zero of  $v$ , which is isolated ( $\exists U \subseteq M, x_0 \in U$ , containing no other zero of  $v$  except  $x_0$ .) Then there  $\exists v_1$  such that  $v_1 = v$  outside a compact subset of  $U$  and  $v_1$  has only non-degenerate zeros in  $U$  ( $v, v_1 \in C^\infty(M, TM)$ .)

Pf: Sard's theorem for  $v: M \rightarrow TM$ : choose  $a \in \mathbb{R}^{1,m}$  s.t.  $-a$  is a regular value of  $v$ . Then  $v_1(x) := v(x) + a$  only has non-degenerate zeros, since if  $v_1(x_0) = 0$  then  $v(x_0) = -a \Rightarrow dv(x_0)$  is of full rank and so is  $dv_1(x_0)$  (because  $v_1$  and  $v$  differ by a constant.) Assume  $\rho: U \rightarrow \mathbb{R}$  is a compact. supp. fun on  $U$ ,  $\rho = 1$  on a small neigh. of  $x_0$ . Then  $v_1(x) = v(x) + \rho(x)a$ . This is the required vector field.  $\square$

Because  $v_1$  is homotopic to  $v$  by  $v_t(x) := v(x) + t\rho(x)a$ , we can define intersection number of  $v$  at  $x_0$  through  $v_1$  on  $U$ . By the previous lemma, there  $v_1$  such that  $M_{v_1}$  intersects  $M_{v_0}$  transversally (we denote  $v_1$  by  $v$  later on.) But any  $M_v$  can be smoothly deformed into  $M_{v_0}$  by the (smooth) homotopy that multiplies  $v$  by a number smoothly varying from 0 to 1. Therefore  $I(M_{v_0}, M_{v_0}) = I(M_{v_0}, M_v)$ , which corresponds to  $\sum_{i=1}^n \text{ind}_{x_i}(v)$ . The next theorem will show that  $I(M_{v_0}, M_{v_0}) = I(\Delta, \Delta)$ , completing the proof of Poincaré-Hopf:  $\chi(M) = I(\Delta, \Delta) = I(M_{v_0}, M_{v_0}) = I(M_{v_0}, M_v) = \sum_{j=1}^n \text{ind}_{x_j}(v)$



s.t.  $I(\Delta, \text{graph}(f)) = I(M_{v_0}, M_v)$

by understanding diffeomorphic neighborhoods of  $\Delta$  and  $M_{v_0}$ .

(44) Def:  $Z \subseteq Y \subseteq \mathbb{R}^n$  smooth embedded manifolds.

The normal bundle to  $Z$  in  $Y$  is the set

$$N(Z, Y) := \left\{ (z, v), z \in Z, v \in T_z Y \text{ such that } v \perp T_z Z \right\}$$

in Eucl. metric on  $\mathbb{R}^n$

Theorem: (tubular neigh. theorem) There exists a diffeom. from an open neigh. of  $Z$  in  $N(Z, Y)$  onto an open neigh. of  $Z$  in  $Y$ .

Pf: let  $Y \xrightarrow{\pi} \mathbb{R}^n$ ,  $\pi$  a projection from the  $\epsilon$ -neigh. theorem.

The map  $h: N(Z, Y) \rightarrow \mathbb{R}^n$  is given by  $h(z, v) = z + v$ .

Then  $W := h^{-1}(Y \cap \epsilon)$  is an open neigh. of  $Z$  in  $N(Z, Y)$ .

The composition of function/mapping

$$W \xrightarrow{h} Y \cap \epsilon \xrightarrow{\pi} \mathbb{R}^n$$

is the identity on  $Z$ , so by the inverse function theorem

$h$  is diffeomorphism from an open neigh. of  $Z$  in  $N(Z, Y)$  onto an open neigh. of  $Z$  in  $Y$ .  $\square$

The orthogonal complement to  $T_{(x,x)}(\Delta)$  in  $T_{(x,x)}(M \times M)$  is the collection of vectors  $\left\{ (-v, v), v \in T_x M \right\}$ , as can be seen by taking scalar products. The map

$$TM \rightarrow N(\Delta, M \times M)$$

$$(x, v) \mapsto ((x, x), (v, -v))$$

is a diffeomorphism, because it is smooth with smooth inverse. Then

the tubular neigh. theorem  $\Rightarrow \exists$  a diffeomorphism of a neigh. of  $M \times M$  in  $TM$  with a neigh. of  $\Delta$  in  $M \times M$  extending the diffeomorphism  $M \rightarrow \text{diag}(M \times M) = \Delta$ .

$(x, 0) \mapsto (x, x)$ . We can deform  $M \times M$  inside its neigh. in  $TM$  into  $M \times M$  embedded in  $TM$  s.t.  $M \times M, M \times M'$  are homotopic and  $M \times M \cap M \times M'$ . The set  $\Delta' = \left\{ (x, x'), x \in M \times M, x' \in M \times M' \right\}$ , which is a graph of a function  $x \mapsto x'$ , intersects  $\Delta$  when  $M \times M$  intersects  $M \times M'$ , and with the same orientation (the neigh. manifold)

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of  $\Delta$  and  $M_{V_0}$  are diffeomorphic. Thus

$$I(M_{V_0}, M_{V_0}) = I(M_{V_0}, M'_{V_0}) = I(\Delta, \Delta') = I(0, \Delta),$$

which completes the proof.  $\square$

Corollary: (Hairy ball theorem)  $\forall$  smooth vector field on  $S^2$  vanishes at some point.

Pf: Because  $\chi(S^2) = 2$ ,  $\forall$  smooth vector field must have at least one zero (otherwise the sum of its indices is zero, contradicting Poincaré-Hopf).  $\square$