

① (Polarization and restriction II.)

Theorem 1 (Multilinear FFT for matrices) Assuming  $\text{char}(K) \neq 0$ , the multilinear invariants on  $\text{End}(V)^m$  are linearly generated by the functions  $\text{Tr}_\sigma$ ,  $\sigma \in S_m$ .

Pf: This result follows from the multilinear FFT for  $GL(V)$ , which we proved the last week.

Again, we have a more precise statement:

Lemma 2: Theorem 1 is equivalent to "Multilinear FFT for  $GL(V)$ ".

Pf: The multilinear functions on  $\text{End}(V)^m$  are identified with  $(\text{End}(V)^{\otimes m})^*$ .

We use the canonical isomorphism  $\beta: V \otimes V^* \xrightarrow{\sim} \text{End}(V)$   
 $(v \otimes \varphi) \mapsto \beta(v \otimes \varphi)(u) = \varphi(u)v$

( $\beta(v \otimes \varphi)$  is a rank 1 endomorphism of  $V$ ,  $\text{Im}(\beta) = \langle v \rangle$ ,  $\text{Ker}(\beta) = \text{Ker}(\varphi)$ .) It is elementary to verify

1/  $\text{Tr}(\beta(v \otimes \varphi)) = \varphi(v)$ , and 2/  $\beta(v \otimes \varphi) \circ \beta(w \otimes \psi) = \beta(v \otimes \varphi(w)\psi)$

Now  $\beta$  induces  $GL(V)$ -equivariant isomorphism

$$\tilde{\beta}: V^{\otimes m} \otimes V^{*\otimes m} \xrightarrow{\sim} \text{End}(V)^{\otimes m}$$

and its adjoint (dual map)  $\tilde{\beta}^*$  identifies the multilinear invariants of  $\text{End}(V)^m$  with those of  $V^m \otimes V^{*m}$  ( $\tilde{\beta}^*: \text{End}(V)^{m*} \rightarrow V^{\otimes m*} \otimes V^{*\otimes m*}$ )

and  $\text{End}(V) \xrightarrow{\varphi} \text{End}(V)^*$  is induced by the bilinear form

$(A, B) \mapsto \text{Tr}(AB)$ , which is  $GL(V)$ -equivariant.

We have  $\varphi = \varphi^*$ .

The claim follows once we show  $\tilde{\beta}^*(\text{Tr}_\sigma) = \text{tr}_\sigma$ . Let  $\sigma \in S_m$ , and  $\sigma = (i_1, \dots, i_k)(j_1, \dots, j_r) \dots (l_1, \dots, l_s)$  be the decomposition into disjoint cycles. Then using 1/ and 2/, we get

$$\begin{aligned}
(2) \quad \text{Tr}_\sigma \tilde{\beta}(v_1 \otimes \dots \otimes v_m \otimes \varphi_1 \otimes \dots \otimes \varphi_m) &= \text{Tr}_\sigma (\beta(v_1 \otimes \varphi_1) \beta(v_2 \otimes \varphi_2) \dots) = \\
&= \text{Tr} (\beta(v_{i_1} \otimes \varphi_{i_1}) \beta(v_{i_2} \otimes \varphi_{i_2}) \dots) \text{Tr} (\beta(v_{j_1} \otimes \varphi_{j_1}) \beta(v_{j_2} \otimes \varphi_{j_2}) \dots) \dots \\
&= \text{Tr} (\beta(v_{i_1} \otimes \varphi_{i_1}(v_{i_2}) \varphi_{i_2}(v_{i_3}) \dots \varphi_{i_{k-1}}(v_{i_k}) \varphi_{i_k}) \text{Tr}(\beta \dots)) \dots \\
&= \varphi_{i_1}(v_{i_2}) \varphi_{i_2}(v_{i_3}) \dots \varphi_{i_k}(v_{i_1}) \varphi_{j_1}(v_{j_2}) \varphi_{j_2}(v_{j_3}) \dots
\end{aligned}$$

and because the cycle structure implies  $\sigma(i_r) = i_{r+1}$  for  $r < k$  and  $\sigma(i_k) = i_1$ , and similarly for the other cycles, the last product equals

$$\prod_{i=1}^m \varphi_i(v_{\sigma(i)}) = f_\sigma(v_1 \otimes \dots \otimes v_m \otimes \varphi_1 \otimes \dots \otimes \varphi_m),$$

which proves the claim.  $\square$

Polarization:  $f \in K[V]$ , homogeneous of degree  $d$ . For  $v = \sum_{i=1}^d t_i v_i$ ,  $t_i \in K$ ,  $v_i \in V$ , we have  $f(t_1 v_1 + \dots + t_d v_d) = \sum_{\substack{s_1, \dots, s_d \\ \sum_{i=1}^d s_i = d}} t_1^{s_1} \dots t_d^{s_d} f_{s_1, \dots, s_d}(v_1, \dots, v_d)$ ,

where  $f_{s_1, \dots, s_d} \in K[V^d]$  are well-defined and multi-homogeneous of (multi)degree  $(s_1, \dots, s_d)$ .

Def 3: The multilinear polyn.  $f_{1, \dots, 1} \in K[V^d]$  is called the (full) polarization of  $f$ , denoted  $Pf$ .

Lemma 4: The linear operator  $P: K[V]_d \rightarrow K[V^d]_{(1, 1, \dots, 1)}$  has following properties:  $f \mapsto Pf$

1/  $P$  is  $GL(V)$ -equivariant,

2/  $Pf$  is symmetric,

3/  $Pf(v_1, v_1, \dots, v) = d! f(v)$ .

Pf: 1/ 2/ are verified easily, 3/ : substitute for the polarization identity  $v_i = v$ ,  $i = 1, \dots, d$ . The LHS is

$$f\left(\sum_i t_i v\right) = \left(\sum t_i\right)^d f(v) = (d! t_1 \dots t_d + \dots) f(v), \text{ and the proof follows.}$$

$\square$

(3) Restitution: (inverse operator to polarization)

Def 5: For a multilinear map  $F \in K[V^d]$  (i.e.,  $V \times \dots \times V \rightarrow K$ ), linear in each component the homogeneous polynomial  $RF$ ,  $RF(v) := F(v, v, \dots, v)$  of  $\text{hom.} = d$ , is the (full) restitution of  $F$ .

$R: K[V^d]_{(1,1,\dots,1)} \rightarrow K[V]_d$  is a linear  $GL(V)$ -equivariant operator,  $RPf = d!f$  by 3/ of Lemma 4. Consequently, we get

Proposition 6: Assume  $\text{char } K = 0$ ,  $V$  fin. dim repr. of  $G$ . Then  $\forall$  homog. invariant  $f \in K[V]^G$  of degree  $d$  is the full restitution of a multilinear invariant  $F \in K[V^d]^G$ .

Here  $f$  is the full restitution of  $\frac{1}{d!}Pf$ , which is a multihomogeneous invariant (Lemma 4).

Exercise 7: Show that  $PRF$  is the symmetrization of  $F$ , defined by

$$\underset{\substack{\text{PR} \\ \text{"}}}{\text{Sym}}(F)(v_1, \dots, v_d) := \sum_{\sigma \in S_d} F(v_{\sigma(1)}, \dots, v_{\sigma(d)}).$$

Generalization to several representations: (a generalization of previous results)

$f \in K[V_1 \oplus \dots \oplus V_r]$  multihomog. pol. of (multi) degree  $(d_1, \dots, d_r) = d$ .

$\mathcal{P}_i \equiv$  full polarization for  $V_i$ ,  $i=1, \dots, r$ . Then

$Pf := \mathcal{P}_r \mathcal{P}_{r-1} \dots \mathcal{P}_1 f \in K[V_1^{d_1} \oplus V_2^{d_2} \oplus \dots \oplus V_r^{d_r}]$  is multilinear polynomial (polarization of  $f$  again.)

The restitution  $RF$  of  $F \in K[V_1^{d_1} \oplus \dots \oplus V_r^{d_r}]$  by

$$RF(v_1, \dots, v_d) := F(\underbrace{v_1, \dots, v_1}_{d_1}, \underbrace{v_2, \dots, v_2}_{d_2}, \dots, \underbrace{v_r, \dots, v_r}_{d_r}).$$

Lemma 8: The linear operators

$$\mathcal{P}: K[V_1 \oplus \dots \oplus V_r]_{(d_1, \dots, d_r)} \rightarrow K[V_1^{d_1} \oplus \dots \oplus V_r^{d_r}]_{\text{multilinear}}$$

$$(4) \quad R: K[V_1^{d_1} \oplus \dots \oplus V_r^{d_r}] \xrightarrow{\text{multilinear}} K[V_1 \oplus \dots \oplus V_r]_{(d_1, \dots, d_r)}$$

are  $GL(V_1) \times \dots \times GL(V_r)$ -equivariant and satisfy

1/  $\mathcal{P}f$  is multisymmetric (i.e. symmetric w.r. to the variables in  $V_i \forall i$ )

2/  $R\mathcal{P}f = d_1! d_2! \dots d_r! f$ ,

3/  $\mathcal{P}R\mathbf{F}$  is the multisymmetrization of  $F$ :

$$\mathcal{P}R\mathbf{F}(v_1^{(1)}, \dots, v_1^{(d_1)}, v_2^{(1)}, \dots, v_2^{(d_2)}, \dots) = \sum_{\substack{(\sigma_1, \dots, \sigma_r) \\ \in S_{d_1} \times \dots \times S_{d_r}}} F(v_1^{\sigma_1(1)}, \dots, v_1^{\sigma_1(d_1)}, \dots).$$

As before, we get

Proposition 9:  $\text{char}(K) \neq 0$ ,  $V_1, \dots, V_r$  representations of the group  $G$ .

Then  $\forall$  multihomogeneous invariant  $f \in K[V_1 \oplus \dots \oplus V_r]^G$  of degree

$d = (d_1, \dots, d_r)$  is the restriction of a multilinear invariant

$F \in K[V_1^{d_1} \oplus \dots \oplus V_r^{d_r}]^G$ .

Proof of the FFTs (completion of the required proof):

"Multilinear FFT for  $GL(V)$ " :  $\forall$  mult. inv.  $F$  of  $V^p \oplus V^{*p}$  is a lin. comb. of invariants  $f_\sigma = (1 | \sigma(1)) \dots (p | \sigma(p))$ ,  $\sigma \in S_p$ .

Any (partial) restriction of  $f_\sigma$  is a monomial in the  $(i | j)$ . By Proposition 6, this shows  $\forall$  invariant of  $V^p \oplus V^{*p}$  is a polynomial in the  $(i | j)$ ; in other words:

If  $\text{char}(K) \neq 0$ , "First Fund. theorem for  $GL(V)$ " for vectors and covectors is a consequence of its multilinear version "Multilinear FFT for  $GL(V)$ ".

Analogously:  $\text{char}(K) \neq 0$ , "FFT for matrices" is a consequence of its multilinear version "Multilinear version of FFT for matrices".

(5)

Example 10: (Invariants of forms and vectors)  $F_d =$  homog. forms of degree  $d$  on  $V$ ,  $F_d := K[V]_d$ .

Question: Invariants of  $F_d \oplus V$ , under  $GL(V)$ ?

Obvious invariant:  $\epsilon \in K[F_d \oplus V]^{GL(V)}$ ,  $\epsilon(f, v) := f(v)$ .

Claim:  $\epsilon$  is a generator of the ring of invariants,  $K[F_d \oplus V]^{GL(V)} = K[\epsilon]$ .

Pf: Let  $h: F_d \oplus V \rightarrow K$  be a bi-homogeneous invariant of degree  $(r, s)$ ,  $\tilde{h}$  be the full polarization of  $h$  w.r. to the first variable. Then  $\tilde{h}: F_d^r \oplus V \rightarrow K$  is a multihomog. invariant of degree  $(1, 1, \dots, 1, s)$ . Composing  $\tilde{h}$  with the  $d$ -th power map  $V^* \rightarrow F_d$  ( $\varphi \mapsto \varphi^d$ )

obtain a multi-homogeneous invariant  $H: V^{*r} \oplus V \rightarrow K$  of degree  $(\underbrace{d, d, \dots, d}_r, s)$ . Then FFT for  $GL(V) \Rightarrow rd = s$ , and  $H$  is a scalar multiple of the invariant  $(1|1)^d (2|1)^d \dots (r|1)^d$ .

On the other hand, starting with  $h = \epsilon$  we find  $\tilde{h} = \epsilon$  and  $H(\varphi, v) = \varphi(v)^d$ , hence  $H = (1|1)^d$ . Since  $F_d$  is spanned by (symmetric)  $d$ -th powers of linear forms,  $\tilde{h}$  is completely determined by  $H$  and therefore  $h$  is a scalar multiple of  $\epsilon^r$ .

Exercise 11: Show that the invariants of a form  $f$  of degree  $d$ , and two vectors  $v, w$  are generated by invariants  $\epsilon_i$ ,  $i = 0, 1, 2, \dots, d$ :

$$K[F_d \oplus V^2]^{GL(V)} = K[\epsilon_0, \epsilon_1, \dots, \epsilon_d], \quad \epsilon_i(f, v, w) := f_i(v, w),$$

where  $f_i$  are the partial polarization of  $f$  in variables  $i = 0, 1, \dots, d$  of  $F_d$ .

The notion of partial polarization is defined as follows:

let  $f \in K[V]_d$  (a polyn. of degree  $d$ ), and write

$$(6) \quad f(sv + tw) = \sum_{i=0}^d s^i t^{d-i} f_i(v, w), \quad s, t \in K, v, w \in V.$$

Then the polynomials  $f_i$  are bit homogeneous of degree  $(i, d-i)$  and the operators  $f \mapsto f_i$  are  $GL(V)$  equivariant. Moreover,

$f_i(v, v) = \binom{d}{i} f(v)$ . In particular, for  $G < GL(V)$  is  $f$   $G$ -invariant iff  $f_i$  is  $G$ -invariant  $\forall i = 0, 1, \dots, d$ .

The  $f_i$  are called partial polarizations of  $f$ .