

LECTURE 10

①

In order to understand the relationship between Lie groups vs Lie algebra representations, we recall (and at the same time extend) the following results:

Theorem 1: $G, H \dots$ Lie groups, $\mathfrak{g}, \mathfrak{h} \dots$ Lie algebras of G, H . Suppose $\Phi: G \rightarrow H$ is a Lie group homomorphism. Then there is a unique linear map $\varphi: \mathfrak{g} \rightarrow \mathfrak{h}$ such that $\forall X \in \mathfrak{g}$
 $\Phi(\exp_G(X)) = \exp_H(\varphi(X))$. This map fulfills

$$1) \quad \varphi(\text{Ad}(g)X) = \text{Ad}(\Phi(g))\varphi(X) \quad \forall X \in \mathfrak{g}, g \in G,$$

$$2) \quad \varphi([X, Y]_{\mathfrak{g}}) = [\varphi(X), \varphi(Y)]_{\mathfrak{h}} \quad \forall X, Y \in \mathfrak{g},$$

$$3) \quad \varphi(X) = \left. \frac{d}{dt} \right|_{t=0} \Phi(\exp_G(tX)) \quad \forall X \in \mathfrak{g}.$$

Pf: Recall that for Φ a Lie group homom., $\Phi(\exp_G(tX))$ is a 1-param. subgroup of H , $\forall X \in \mathfrak{g}$. Therefore there is a unique $Z \in \mathfrak{h}$ such that $\Phi(\exp_G(tX)) = \exp_H(tZ)$, $t \in \mathbb{R}$. We then define $\varphi(X) = Z$, i.e. φ is the tangent map of Φ at $t \in G$, and all required conditions of φ are easy to check.

We have for any $g \in G$

$$\exp_H(t\varphi(\text{Ad}(g)X)) = \exp_H(\varphi(t\text{Ad}(g)X)) = \Phi(\exp_G(t\text{Ad}(g)X)),$$

so that

$$\exp_H(t\varphi(\text{Ad}(g)X)) = \text{Ad}(\Phi(g))\Phi(\exp_G(tX)) = \text{Ad}(\Phi(g))\exp_H(t\varphi(X)),$$

and the application of $\left. \frac{d}{dt} \right|_{t=0}$ to both sides gives the claim 1/.

The point 2/ was already proved, while 3/ follows from

$$\Phi(\exp_G(tX)) = \exp_H(\varphi(tX)) = \exp_H(t\varphi(X))$$

by application of $\left. \frac{d}{dt} \right|_{t=0}$ to both sides. \square

The application of Theorem 1 to representation theory is based on the choice of $H = GL(V)$, $\mathfrak{h} = \mathfrak{gl}(V)$ for a finite-dim vector space V (over \mathbb{R}, \mathbb{C})

Corollary 2: G, \mathfrak{g} as above, Π a finite-dim (over \mathbb{R}, \mathbb{C}) represent. of G , acting on V . Then there is a unique repr. π of \mathfrak{g} acting on V such that $\Pi(\exp_G(X)) = e^{\pi(X)}$ $\forall X \in \mathfrak{g}$.
↑ exponential in $GL(V)$

The representation π can be computed as

$$\pi(X) = \left. \frac{d}{dt} \right|_{t=0} \Pi(e^{tX})$$

and satisfies

$$\pi(\text{Ad}(g)X) = \text{Ad}(\Pi(g))\pi(X) \quad \forall X \in \mathfrak{g}, \forall g \in G.$$

Lemma 3: 1. G, \mathfrak{g} as above, and G assumed to be connected. Let Π be a representation of G , π associated representation of \mathfrak{g} . Then Π is irreducible iff π is irreducible.

2. G, \mathfrak{g} as in 1., Π_1, Π_2 represent. of G , π_1, π_2 associated representations of \mathfrak{g} . Then π_1, π_2 are isomorphic iff Π_1, Π_2 are isomorphic.

Pf: 1. Assume Π is irreducible $\stackrel{?}{\Rightarrow} \pi$ is irreducible.

Let $W \subseteq V$ invariant under $\pi(X) \forall X \in \mathfrak{g}$, and show that W is either $\{0\}$ or V . Assuming $g \in G$, G connected implies ~~g = exp(x1) ... exp(xm)~~ $g = \exp(x_1) \cdot \dots \cdot \exp(x_m)$ for some $m \in \mathbb{N}$, $x_1, \dots, x_m \in \mathfrak{g}$. Since W is invariant w.r.t. $\pi(x_j)$, it is invariant w.r.t. $\exp(\pi(x_j)) = e^{\pi(x_j)}$. Hence, it is invariant under

$$\begin{aligned} \Pi(g) &= \Pi(\exp(x_1) \cdot \dots \cdot \exp(x_m)) = \Pi(\exp(x_1)) \cdot \dots \cdot \Pi(\exp(x_m)) \\ &= e^{\pi(x_1)} \cdot \dots \cdot e^{\pi(x_m)}. \end{aligned}$$

Since Π is irreducible and $W \subseteq V$ is invariant for all $\Pi(g)$, W is either $\{0\}$ or $V \Rightarrow \pi$ is irreducible.

The second implication is analogous (based on $\pi(X) = \frac{d}{dt} \Big|_{t=0} \Pi(\exp_t(X))$), the point 2. is also straightforward.

There are several ways to obtain more complicated representations from the old ones:

Def 4: G -alg group, Π_1, \dots, Π_m representations of G on V_1, \dots, V_m .

Then the direct sum of Π_1, \dots, Π_m is a representation $\Pi_1 \oplus \dots \oplus \Pi_m$ of G acting on $V_1 \oplus \dots \oplus V_m$ by

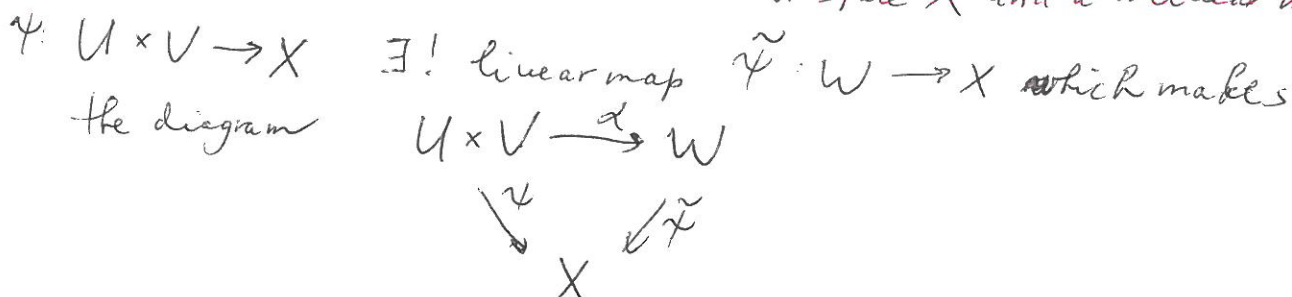
$$\left[(\Pi_1 \oplus \dots \oplus \Pi_m)(g) \right] (v_1, \dots, v_m) = (\Pi_1(g)v_1, \dots, \Pi_m(g)v_m)$$

for all $g \in G, v_1, \dots, v_m \in V_1, \dots, V_m$.

Analogous formula works for $\rho_j, \pi_1, \dots, \pi_m$ and the representation $\pi_1 \oplus \dots \oplus \pi_m$. It is elementary to check the homomorphism property.

\otimes ... tensor product of vector spaces; $e_1, \dots, e_n \dots$ a basis of U
 $f_1, \dots, f_m \dots$ a basis of V
 $\dim U = n, \dim V = m \Rightarrow e_j \otimes f_k \quad \begin{matrix} 1 \leq j \leq n \\ 1 \leq k \leq m \end{matrix}$ a basis of $U \otimes V$
 $\dim U \otimes V = m \cdot n$

Def 5: $U, V \dots$ fin-dim vector spaces (over \mathbb{R}, \mathbb{C}), then the tensor product of U, V is a vector space W together with $\alpha: U \times V \rightarrow W$ bilinear map such that \forall vector space X and a bilinear map



Def 6: G, H ... Lie groups, $G: \Pi_1, U$ representation of G , $H: \Pi_2, V$ representation of H . (4)

The tensor product of Π_1 and Π_2 is a representation $\Pi_1 \otimes \Pi_2$ of $G \times H$ acting on $U \otimes V$, defined by $(\Pi_1 \otimes \Pi_2)(g, h) := \Pi_1(g) \otimes \Pi_2(h)$, $\forall g \in G, h \in H$.

It is elementary to check the homomorphism property.

Lemma 7: G, H ... Lie groups, Π_1 resp. Π_2 repr. of G resp. H , and $\Pi_1 \otimes \Pi_2$ repr. of $G \times H$. If $\pi_1 \otimes \pi_2$ denotes the associated representation of $\mathfrak{g} \oplus \mathfrak{h}$, then

$$(\pi_1 \otimes \pi_2)(X, Y) = \pi_1(X) \otimes \text{Id} + \text{Id} \otimes \pi_2(Y)$$

Prf: For $t \rightarrow u(t)$ a smooth curve in U $\forall X, Y$
 $\pi_{\mathfrak{g}} \pi_{\mathfrak{h}}$
 $\begin{matrix} \text{---} \text{---} \rightarrow u(t) & \text{---} \text{---} \\ \text{---} \text{---} \rightarrow v(t) & \text{---} \text{---} \end{matrix}$ $\left. \begin{matrix} U \\ V \end{matrix} \right\}$

$$\frac{d}{dt} (u(t) \otimes v(t)) = \frac{du}{dt}(t) \otimes v(t) + u(t) \otimes \frac{dv}{dt}(t).$$

This implies

$$\begin{aligned} (\pi_1 \otimes \pi_2)(X, Y)(u \otimes v) &= \frac{d}{dt} \Big|_{t=0} (\Pi_1 \otimes \Pi_2) \left(\begin{matrix} (tX) & (tY) \\ \exp & \exp \end{matrix} \right) (u \otimes v) = \\ &= \frac{d}{dt} \Big|_{t=0} \left(\Pi_1(\exp(tX)) u \otimes \Pi_2(\exp(tY)) v \right) = \\ &= \left(\frac{d}{dt} \Big|_{t=0} \Pi_1(\exp(tX)) u \right) \otimes v + u \otimes \left(\frac{d}{dt} \Big|_{t=0} \Pi_2(\exp(tY)) v \right). \end{aligned}$$

Because for $u \in U, v \in V$ the elements $u \otimes v$ span $U \otimes V$, we are done. ▣

Again, it is easy to see that this formula gives a representation of $\mathfrak{g} \oplus \mathfrak{h}$ on $U \otimes V$. E.g. the formula $(\pi_1 \otimes \pi_2)(X, Y) := \pi_1(X) \otimes \pi_2(Y)$ is NOT a representation of $\mathfrak{g} \oplus \mathfrak{h}$. (Why?)

Def 8:

The diagonal embedding $G \hookrightarrow G \times G$ implies $g \mapsto (g, g)$

G a Lie group, Π_1, Π_2 representations of G on V_1, V_2 . Then the tensor product representation of G , acting on $V_1 \otimes V_2$, is defined by $(\Pi_1 \otimes \Pi_2)(g) := \Pi_1(g) \otimes \Pi_2(g) \quad \forall g \in G$.

Similarly for the diagonal embedding of Lie algebras $\mathfrak{g} \hookrightarrow \mathfrak{g} \times \mathfrak{g}$. If π_1, π_2 representations of \mathfrak{g} , we define $X \mapsto (X, X)$

tensor product repr. of \mathfrak{g} on $V_1 \otimes V_2$ by

$$(\pi_1 \otimes \pi_2)(X) := \pi_1(X) \otimes Id + Id \otimes \pi_2(X).$$

One proves easily that $\Pi_1 \otimes \Pi_2, \pi_1 \otimes \pi_2$ are representations. (for both of G and $G \times G$, so this is a bit ambiguous.)

once Π_1, Π_2 (and so π_1, π_2) are irreducible, $\Pi_1 \otimes \Pi_2$ is typically not, so have to decompose on direct sum of irred. repr.

Let π be a representation of \mathfrak{g} on fin.-dim V , $V^* = \text{Hom}(V, k)$ for $k = \mathbb{R}, \mathbb{C}$. For $A \in \text{End}(V)$, $A^* \in \text{End}(V^*)$ defined by $(A^*\varphi)(v) = \varphi(Av)$, $\varphi \in V^*, v \in V$. In the basis of V and dual basis of V^* , A^* is the transpose matrix of A . Then $(AB)^* = B^*A^*$, i.e. $(AB)^T = B^T A^T$.

Def 9:

G, Π, V . Then the dual representation $\Pi^* \neq \Pi$ is the repr. of G acting on V^* and given by $\Pi^*(g) = (\Pi(g^{-1}))^* \Rightarrow \Pi(g^{-1})$

In the case of Lie algebra \mathfrak{g}, π, V , then π^* is the repr. of \mathfrak{g} acting on V^* , given by $\pi^*(X) = -\pi(X)^*$. For matrix Lie groups/algebras, $Z^* = Z^T$ (the transposition, $\pi^*(X) = -\pi(X)^T$)

The dual representation is also called contragredient, and one proves easily the homomorphism property, and the fact that the dual representation is irreducible iff the former is irreducible. Moreover, $(\Pi^*)^*$ is isomorphic to Π .

Exercises 10

Example 1: In the case of matrix Lie group/algebra, i.e. $G \subseteq GL(n, \mathbb{C})$ and $\mathfrak{g} \subseteq M_n(\mathbb{C})$, the action on underlying vector space $\mathbb{R}^n, \mathbb{C}^n$ gives standard (vector) representation of G, \mathfrak{g} .

$SO(3) \subseteq GL(\mathbb{R}^3)$ gives the standard represent on \mathbb{R}^3
 $SU(2) \subseteq GL(\mathbb{C}^2)$ " " " \mathbb{C}^2

Trivial represent.: $V = \begin{matrix} \mathbb{R} \\ \mathbb{C} \end{matrix}$, $\Pi: G \rightarrow GL(1, \mathbb{C})$
 $g \mapsto 1 \quad \forall g \in G$

(it is irreducible)

$\mathcal{R}: \mathfrak{g} \rightarrow \mathfrak{gl}(1, \mathbb{C})$
 $X \mapsto 0$

(again, adjoint representation)

Example 2: $Ad: G \rightarrow GL(\mathfrak{g})$, $ad: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$
 adjoint representation.

Example 3: $V_m =$ vector space of polynomials in two variables, which are homogeneous of degree m .

$$f(z_1, z_2) = a_0 z_1^m + a_1 z_1^{m-1} z_2 + \dots + a_m z_2^m, \quad a_i \in \mathbb{C} \quad \forall i$$

$\dim V_m = m+1$

For $U \in SU(2)$, $\Pi_m(U)$ is given by $(\Pi_m(U)f)(z) = f(U^{-1}z)$,
 so that $z \in \mathbb{C}^2$.

$$((\Pi_m(U)f))(z_1, z_2) = \sum_{k=0}^m a_k (U_{11}^{-1} z_1 + U_{12}^{-1} z_2)^{m-k} (U_{21}^{-1} z_1 + U_{22}^{-1} z_2)^k$$

$\in V_m$

Π_m on V_m is a representation:

$$\Pi_m(U_1)(\Pi_m(U_2)f)(z) = (\Pi_m(U_2)f)(U_1^{-1}z) = f(U_2^{-1}U_1^{-1}z) = \Pi_m(U_1 U_2)f(z)$$

The associated repr of $su(2)$ is $(\pi_m(X)f)(z) = \frac{d}{dt} \Big|_{t=0} f(e^{-tX}z)$, $X \in su(2)$. (7)

$t \rightarrow z(t) = (z_1(t), z_2(t))$ a curve in \mathbb{C}^2 , so by the chain rule

$$= e^{-tX}z$$

$$z = (z_1, z_2)$$

$$(\pi_m(X)f) = \frac{\partial f}{\partial z_1} \frac{dz_1}{dt} \Big|_{t=0} + \frac{\partial f}{\partial z_2} \frac{dz_2}{dt} \Big|_{t=0}$$

Because $\frac{dz}{dt} \Big|_{t=0} = -X \cdot z$, we obtain

$$\pi_m(X)f = - \frac{\partial f}{\partial z_1} (X_{11}z_1 + X_{12}z_2) - \frac{\partial f}{\partial z_2} (X_{21}z_1 + X_{22}z_2)$$

We may consider the (unique) \mathbb{C} -linear extension of π to

$$sl(2, \mathbb{C}) = su(2)_{\mathbb{C}}: \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\pi_m(H) = -z_1 \frac{\partial}{\partial z_1} + z_2 \frac{\partial}{\partial z_2}$$

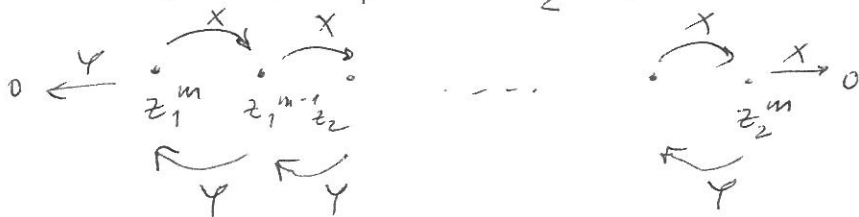
$$\pi_m(X) = -z_2 \frac{\partial}{\partial z_1}, \quad \pi_m(Y) = -z_1 \frac{\partial}{\partial z_2}$$

In the basis $\langle z_1^m, z_1^{m-1}z_2, \dots, z_1^{m-k}z_2^k, \dots, z_2^m \rangle$ of V_m :

$$\pi_m(H)(z_1^{m-k}z_2^k) = (-m+2k)z_1^{m-k}z_2^k$$

$$\pi_m(X)(z_1^{m-k}z_2^k) = (m-k)z_1^{m-k-1}z_2^{k+1}$$

$$\pi_m(Y)(z_1^{m-k}z_2^k) = -kz_1^{m-k+1}z_2^{k-1}$$



and each $z_1^{m-k}z_2^k$ is an eigenvector of eigenvalue $(-m+2k)$ for H .

Lemma: $\forall m \geq 0$, π_m is irreducible.

Pf: Show that any non-zero invariant subspace is V_m .

Let $W \subseteq V_m$ be an invariant (non-zero) subspace, $w \neq 0$.

Then $w = a_0 z_1^m + a_1 z_1^{m-1}z_2 + \dots + a_m z_2^m$, at least one $a_i \neq 0$.

Let k_0 be the smallest value of k , for which $a_k \neq 0$, apply $\pi_m(X)^{m-k_0}$ to w .

The action $\pi_m(X)$ raises power of z_2 by 1, $\pi_m(X)^{m-k_0}$ kills all terms in w up to $a_{k_0} z_1^{m-k_0} z_2^{k_0}$. Since $\pi_m(X)$ is zero on $z_1^{m-k}z_2^k$ iff $k=m$, $\pi_m(X)^{m-k_0}w$ is a non-zero

Example: In the previous example we studied $sl(2, \mathbb{C})$ -action on polynomial on \mathbb{C}^2 . Now we considered the representation called fundamental (vector) representation, which is given by

$$\begin{aligned}
 H &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\
 X &= \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \\
 Y &= \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}
 \end{aligned}
 \left. \vphantom{\begin{matrix} H \\ X \\ Y \end{matrix}} \right\} \rightarrow V = \overbrace{\mathbb{C}[x, y]}^{\text{symmetric algebra in } x, y}$$

$$\begin{aligned}
 X &\mapsto x \frac{\partial}{\partial y} = \pi_V(X) \\
 \pi_V: H &\mapsto x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y} = \pi_V(H) \\
 Y &\mapsto y \frac{\partial}{\partial x} = \pi_V(Y)
 \end{aligned}$$

and it is elementary to check this is a representation

Because the action preserves homogeneity (degree) of symmetric tensors, the restriction to degree k -tensors gives a representation π_V^k dual to the one studied in the previous example (V_k).

The fundamental vector representation is identified with

$$x \leftrightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad H \cdot x = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = x$$

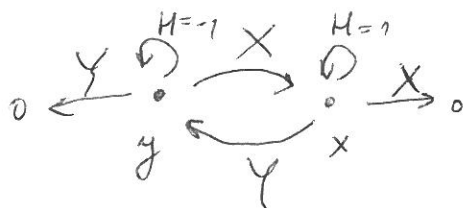
$$y \leftrightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \Leftrightarrow (x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) x = x$$

$$H \cdot y = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = - \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -y$$

$$\Leftrightarrow (x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) y = -y$$

$$X \cdot x = \dots \quad X \cdot y = \dots$$

$$Y \cdot x = \dots \quad Y \cdot y = \dots$$



Now consider the second tensor power of the representation π_V^2 : the underlying vector space is of dimension 4, $\langle x \otimes x, x \otimes y, y \otimes x, y \otimes y \rangle$, the representation of $sl(2, \mathbb{C})$ given by

$$\pi_{V \otimes V}^2(Z)(v_1 \otimes v_2) = \pi_V^1(Z)v_1 \otimes v_2 + v_1 \otimes \pi_V^1(Z)v_2.$$

We get

$$\pi_{V \otimes V}^{-1}(X)(x \otimes x) = 0,$$

$$\pi_{V \otimes V}^{-1}(Y)(x \otimes x) = \pi_V^{-1}(Y)x \otimes x + x \otimes \pi_V^{-1}(Y)x = y \otimes x + x \otimes y$$

$$\begin{aligned} \pi_{V \otimes V}^{-1}(Y)(y \otimes x + x \otimes y) &= \pi_V^{-1}(Y) \cdot y \otimes x + y \otimes \pi_V^{-1}(Y)x + \\ &+ \pi_V^{-1}(Y)x \otimes y + x \otimes \pi_V^{-1}(Y)y = 2y \otimes y, \end{aligned}$$

$$\pi_{V \otimes V}^{-1}(Y)(y \otimes y) = 0.$$

By the action of $\pi_{V \otimes V}^{-1}(X), \pi_{V \otimes V}^{-1}(H)$ we see that the space of dimension 3 $\langle x \otimes x, x \otimes y + y \otimes x, y \otimes y \rangle \subseteq \mathbb{C}^2 \otimes \mathbb{C}^2$ is stable and irreducible by the action of $sl(2, \mathbb{C})$.

On the other hand, the vector $x \otimes y - y \otimes x$ fulfills

$$\pi_{V \otimes V}^{-1}(X)(x \otimes y - y \otimes x) = 0$$

$$\pi_{V \otimes V}^{-1}(Y)(x \otimes y - y \otimes x) = 0$$

$$\begin{aligned} \pi_{V \otimes V}^{-1}(H)(x \otimes y - y \otimes x) &= \pi_V^{-1}(H)x \otimes y + x \otimes \pi_V^{-1}(H)y \\ &- \pi_V^{-1}(H)y \otimes x - y \otimes \pi_V^{-1}(H)x = 0. \end{aligned}$$

Because $x \otimes y - y \otimes x \notin \langle x \otimes x, x \otimes y + y \otimes x, y \otimes y \rangle$, we see

$$\begin{aligned} \mathbb{C}^2 \otimes \mathbb{C}^2 &\cong \text{Sym}^2 \mathbb{C}^2 \oplus \wedge^2 \mathbb{C}^2 \\ &\quad \begin{matrix} 12 \\ \mathbb{C}^3 \end{matrix} \oplus \begin{matrix} 12 \\ \mathbb{C} \end{matrix} \end{aligned}$$

direct sum of two irred. of $sl(2, \mathbb{C})$.

$$2 \cdot 2 = 3 + 1$$