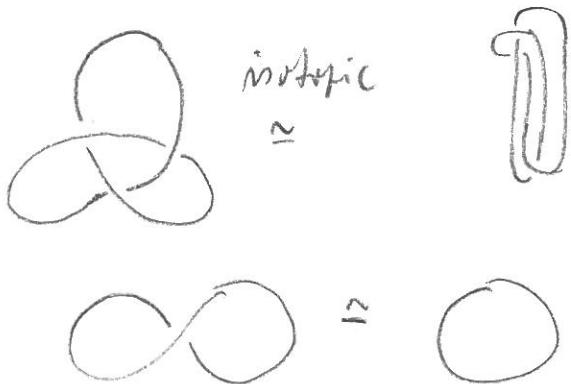


KNOT INVARIANTS

①

- [def] • Knot is an injective smooth map $K: S^1 \rightarrow \mathbb{R}^3$ w. nowhere vanishing derivative. $\overset{K}{\sim}$
We identify knots with the same images $K(S^1)$.
(i.e. we consider equivalence classes of maps K)
- Isotopy of two knots $K_0, K_1: S^1 \rightarrow \mathbb{R}^3$ is a smooth map $\delta: [0, 1] \times S^1 \rightarrow \mathbb{R}^3$ s.t.
 $\forall t \quad \delta(t, -): S^1 \rightarrow \mathbb{R}^3$ is a knot
 $\delta(0, -) = K_0, \quad \delta(1, -) = K_1$



goal of the knot theory: find an easy way of telling whether two given knots are isotopic.

(in particular: can a knot be unknotted?)

assign an object $I(K)$ (number, polynomial, v.g. of v.s., ...)
to each knot K such that $I(K) = I(K') \Leftrightarrow K \simeq K'$
(isotopy invariance of I) and hope for " \Rightarrow " (or
get as close to " \Rightarrow " as possible)

(2)

Overview of the lecture series:

- 1) Jones polynomial
- 2) more systematic way of producing knot invariants using ribbon categories
- 3) applications / related topics : - Yang-Baxter in statistical physics
 (2) - invariants of 3-manif.
- 4) producing ribbon categories out of quantum groups
- 5) other knot invariants (HOMFLY...)
- 6) Khovanov homology as categorification of Jones pol.

Lit.: Rosso, Turaev, Kassel: Quantum groups and knot invariants

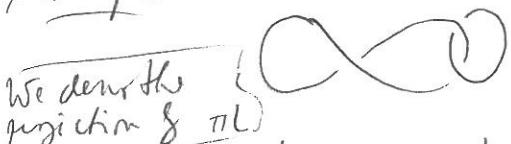
...

Def. • Link with n components is a smooth map with $S^1 \amalg \dots \amalg S^1 \rightarrow \mathbb{R}^3$ w.-r.-wh. vanishing derivative.

(generalizes n-knots)
isotopy of links (aka link diagram)

• link projection in is the image of a projection $\mathbb{R}^3 \xrightarrow{\pi} \mathbb{R}^2$, which has only "+" singularities, and for each end projection "above/below" data:

example:



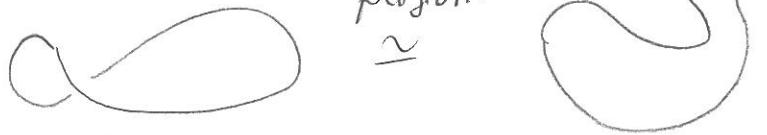
We denote the projection π_L

The usual picture of links are in fact link projections.

• isotopy of link projections: $\iota: \mathbb{R}^2 \times I \rightarrow \mathbb{R}^2$ smooth shifting
 $\iota(-, 0) = 1_{\mathbb{R}^2}$ and $\iota(t \in I) \iota(-, t)$ is a homeo

$$\text{and } (\underbrace{\iota(-, 0)}_{1_{\mathbb{R}^2}} \circ \underbrace{\pi_L}_{\mathbb{R}^2 \hookrightarrow \mathbb{R}^3 \hookrightarrow S^1}) = \iota(-, 1) \circ \pi_L$$

example:



(3)

Theorem (Reidemeister) Let $\pi_1 L_1$ and $\pi_1 L_2$ be link projections of links L_1, L_2 resp. Then the links L_1, L_2 are isotopic iff one can transform $\pi_1 L_1$ into $\pi_1 L_2$ by a sequence of isotopies of link projections and the following "local moves":

R_{M1} changes the projection only in a small set $\subset \mathbb{C}P^2$ (fixed on boundary)

R_{M2} This region orientation (here implicit)

R_{M3} all the crossings are positive

Application: isotopy invariants of links can be defined on link projection and then we check invariance under (link proj. isotopies - usually obvious) and R_{M-3}.

Kauffman bracket and Jones polynomial

(4)

[def.] $\langle \rangle$: link projections $\rightarrow \mathbb{C}[a, \bar{a}^1]$ (or \mathbb{C} and $a \in \mathbb{C}$)
is defined as follows:
a param. s.t. $a^2 + \bar{a}^2 \neq 0$

$$(1) \langle \text{O} \rangle = 1$$

$$(2) \langle \text{L} \sqcup \text{O} \rangle = -(a^2 + \bar{a}^2) \langle L \rangle \quad \text{if O bounds a disc which doesn't intersect L}$$

any link projection (abuse of notation)

$$(3) \langle \text{L} \times \text{X} \rangle = a \langle \text{L} \rangle + \bar{a}^1 \langle \text{L} \circ \text{X} \rangle$$

L trivially somewhere

[example]

(3) (look at (3) from left or right)

$$(a) \langle \text{OO} \rangle = a \langle \text{O} \circ \text{O} \rangle + \bar{a}^1 \langle \text{OO} \rangle$$

$$= a - \bar{a}^1(a^2 + \bar{a}^2) = -\bar{a}^3$$

(b) more generally: L trivially somewhere $L(\cdot)$: then

$$\langle L(\cdot) \rangle = -\bar{a}^3 \langle L \rangle$$

$$\langle L(\bar{\cdot}) \rangle = -a^3 \langle L \rangle$$

Hence the Kauf. h.
is NOT isotopy invariant

$$\xrightarrow{\text{OMIT} \rightarrow (c)} \langle \text{OOO} \rangle = \langle \text{O} \circ \text{O} \circ \text{O} \rangle = a^3 \langle \text{O} \circ \text{O} \circ \text{O} \rangle +$$

$$+ a \langle \text{O} \circ \text{O} \circ \text{O} \rangle + a \langle \text{O} \circ \text{O} \circ \text{O} \rangle + a \langle \text{O} \circ \text{O} \circ \text{O} \rangle + \bar{a}^1 \langle \text{O} \circ \text{O} \circ \text{O} \rangle + \bar{a}^1 \langle \text{O} \circ \text{O} \circ \text{O} \rangle$$

$$+ \bar{a}^1 \langle \text{O} \circ \text{O} \circ \text{O} \rangle + \bar{a}^3 \langle \text{O} \circ \text{O} \circ \text{O} \rangle = a^3(a^2 + \bar{a}^2)^2 + 3a + 3\bar{a}^1(a^2 + \bar{a}^2)^2 - \bar{a}^3(a^2 + \bar{a}^2)^3$$

However, Kauffman h. is invariant under R42,3!

(5)

R42:

$$-\langle \text{Diagram} \rangle = a^2 \langle \text{Diagram} \rangle + \langle \text{Diagram} \rangle + \langle \text{Diagram} \rangle + \bar{a}^2 \langle \text{Diagram} \rangle$$

L modified in (5):

map $\langle \text{II} \rangle \rightarrow \langle \text{S} \rangle$

$$\begin{aligned} &= a^2 \langle \text{U} \rangle - (a^2 + \bar{a}^2) \langle \text{U} \rangle + \langle \text{L} \rangle + \bar{a}^2 \langle \text{U} \rangle \\ &= \langle \text{L} \rangle \end{aligned}$$

R43:

$$\langle \text{Diagram} \rangle = ? \langle \text{Diagram} \rangle$$

$$\text{LHS} = a^3 \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle$$

$$+ \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^3 \langle \text{Diagram} \rangle$$

$$\begin{aligned} &= \underline{a^3 \langle \text{III} \rangle} + \underline{a \langle \text{U} \rangle} + \underline{a \langle \text{U} \rangle} + \cancel{a \langle \text{Diagram} \rangle} + \\ &+ \bar{a}^1 \langle \text{Diagram} \rangle - \bar{a}^1 (a^2 + \bar{a}^2) \langle \text{U} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^3 \cancel{\langle \text{Diagram} \rangle} \end{aligned}$$

$$\begin{aligned} \text{RHS} &= a^3 \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle + a \langle \text{Diagram} \rangle + \\ &+ \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^3 \langle \text{Diagram} \rangle \end{aligned}$$

$$\begin{aligned} &= \underline{a^3 \langle \text{III} \rangle} + \underline{a \langle \text{U} \rangle} + \underline{a \langle \text{U} \rangle} + \cancel{a \langle \text{Diagram} \rangle} + \\ &+ \bar{a}^1 \langle \text{Diagram} \rangle - \bar{a}^1 (a^2 + \bar{a}^2) \langle \text{U} \rangle + \bar{a}^1 \langle \text{Diagram} \rangle + \bar{a}^3 \cancel{\langle \text{Diagram} \rangle} \end{aligned}$$

OK

Now can one make $\langle \rangle$ RMT-invariant

⑥

$$\langle |P\rangle = -a^3 \langle |\rangle \quad \text{idea: multiply } \langle L \rangle$$

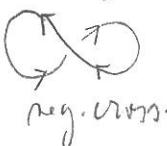
$$\langle |b\rangle = -\bar{a}^3 \langle |\rangle \quad \begin{array}{l} \text{by } -\bar{a}^3 \text{ for each crossing "X"} \\ -a^3 \quad \dots \quad \text{"X"} \end{array}$$

But these crossings are indistinguishable (link projection)
isotopic

unless one has an orientation on each branch of the

crossing

def oriented link ... each component is oriented (in link projection,
first step of oriented links)



neg. cross.



positive crossing

$-a^3$

$-a^3$



negative

def Let L be an oriented link.

$$V(L) := (-a^3)^{-(\# \text{ of pos. cr.} - \# \text{ of neg. cr.})} \langle L \rangle \in \mathbb{C}[a]$$

is called Jones polynomial of L .

Thm Jones polynomial is invariant under isotopy of
oriented links.

PF: By the constn., it's invariant under
link proj. isotopies and under RMT

RMT: $V(\text{ } \curvearrowleft \text{ } \curvearrowright) \stackrel{\substack{\text{no matter the orientation of the segments} \\ \text{one crossing is positive, the other is negative}}}{=} \langle \text{ } \curvearrowleft \text{ } \curvearrowright \rangle \stackrel{\substack{\text{RMT inv.} \\ \text{}}}{=} \langle \text{ } \parallel \text{ } \rangle = V(\text{ } \parallel \text{ })$

RMT: $V(\text{ } \curvearrowleft \text{ } \curvearrowright \text{ } \curvearrowleft \text{ } \curvearrowright) = (-a^3)^p \langle \text{ } \curvearrowleft \text{ } \curvearrowright \text{ } \curvearrowleft \text{ } \curvearrowright \rangle \stackrel{\substack{\text{prsnr. of } \curvearrowleft \text{ } \curvearrowright \text{ } \curvearrowleft \text{ } \curvearrowright \\ \text{the same p as on the left}}}{=} (-a^3)^p \langle \text{ } \curvearrowleft \text{ } \curvearrowright \text{ } \curvearrowleft \text{ } \curvearrowright \rangle = V(\text{ } \curvearrowleft \text{ } \curvearrowright \text{ } \curvearrowleft \text{ } \curvearrowright)$
 $p \in \{-3, -1, 1, 3\}$ depends on the orient. of
segments

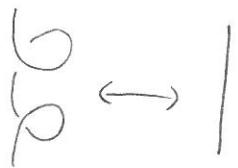
M

new There are nonisotopic knots K_1, K_2 s.t.
 $V(K_1) = V(K_2)$ (Jones pol. is not complete inv.)

(7)

Framed links

(\rightarrow) is invariant under $R\pi_0$:



a lot of them works for objects that are only $R\pi_0, R\pi_1, R\pi_2$ invariants. They have a natural geometric model

[def] Framed knot is a knot K equipped with a smooth normal nowhere vanishing vector field (called framing) $v: S^1 \rightarrow \mathbb{R}^3$
normal planes in \mathbb{R}^3



$$v(s) \in N_{K(s)}^{(K(I))}$$

Two framings v_0, v_1 are homotopic iff \exists homotopy
 $h: S^1 \times I \rightarrow \mathbb{R}^3$ s.t. $h(-, 0) = v_0(-)$
 $h(-, 1) = v_1(-)$

We identify homotopic framings

Observation: Up to homotopy, the framing is given by an integer (= # of times v "winds around" $K(I)$)

[v is homotopic to unital framing $v': S^1 \xrightarrow{\text{homotopy}} S^1$]
 and up to homotopy, this is classified by the degree
Remark: The framing sweeps a 2D "ribbon" where one boundary is the knot

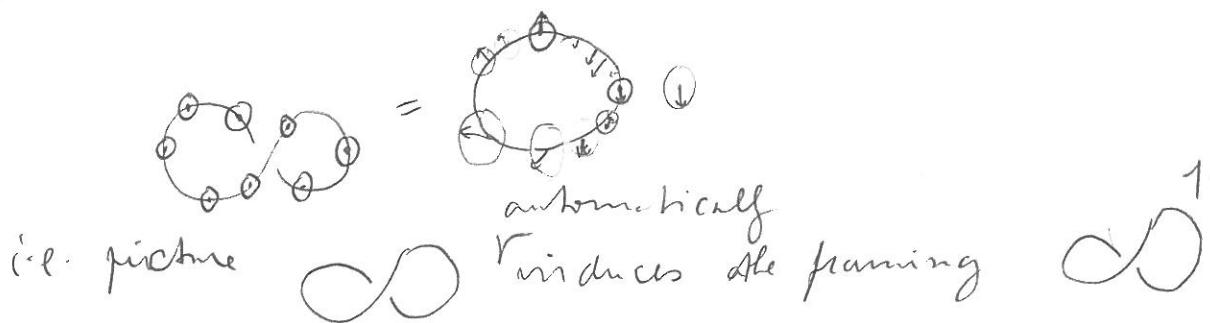
isotopy of framed knots \equiv isotopy of unital knots & homotopy of framings, simultaneously in the obv. sense

framed links, their isotopy, ...



• another c.g. def. of framed knot:
 it's smooth embedding $S^1 \times D^2 \rightarrow \mathbb{R}^3$
 (congruence class $S^1 \rightarrow \mathbb{R}^3$ solid torus)

Sink projection induces a canonical framing on the (8) corr. link: the vector fields point upwards (from the blackboard towards the reader)

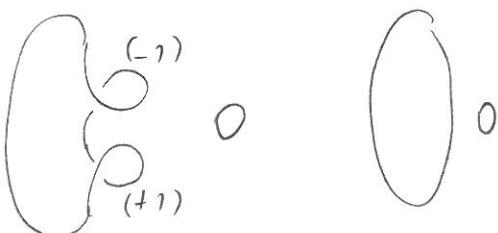


Observe For framed links:

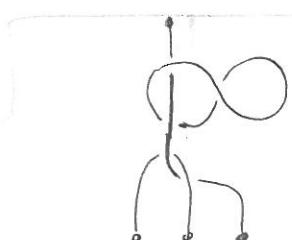
$$\textcircled{0} = \textcircled{0^0}, \textcircled{0} = \textcircled{0^1}, \textcircled{0} = \textcircled{0^{-1}}$$

This shows why RMT doesn't hold for framed links (knot is invariant but the framing is not)

But R7O does hold:



In the sequel, we'll be interested in invariants of framed links.

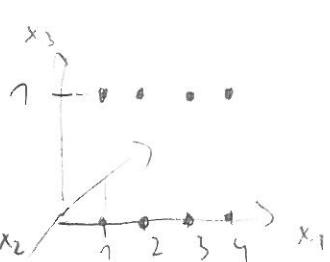


(3,1)-Angle

Tangles

def.! (bd)-Angle ($b, l \in \mathbb{N}_0$).

(informal) set of disjoint smoothly embedded circles and arcs in $\mathbb{R}^2 \times [0,1]$ s.t. (a) The endpoints of arcs are the points $(\frac{1}{0}), (\frac{2}{0}), \dots, (\frac{k}{0})$ and $(\frac{1}{1}), (\frac{2}{1}), \dots, (\frac{k}{1})$



(b) The circles lie in $\mathbb{R}^2 \times (0;1)$

component of the Angle is one of the circles or arcs

framed Angle = each component is framed

(arcs are framed by vector fields equal to $(\frac{1}{0})$ on endpoints)

oriented Angle = each comp. oriented

maps of (framed) Tangles $\equiv (I \times S^1) \times I \rightarrow \mathbb{R}^2 \times I$ through
df. param. Tangles (j)

Tangle projection (diagram) ...

pro, 1, 2, 3 for (framed) Tangles ...

(ex) $(0,0)$ Tangle is a link

Goal: organize Tangles into (isomorphism in) a category Tan

Tan is a (free, gen. of a single obj.) ribbon category.

(monoidal c-w. some restriction on \otimes and addit. rel.)

[def] Set \mathcal{C} be a category. framed oriented

A category of \mathcal{C} -coloured Tangles Tane consists of:

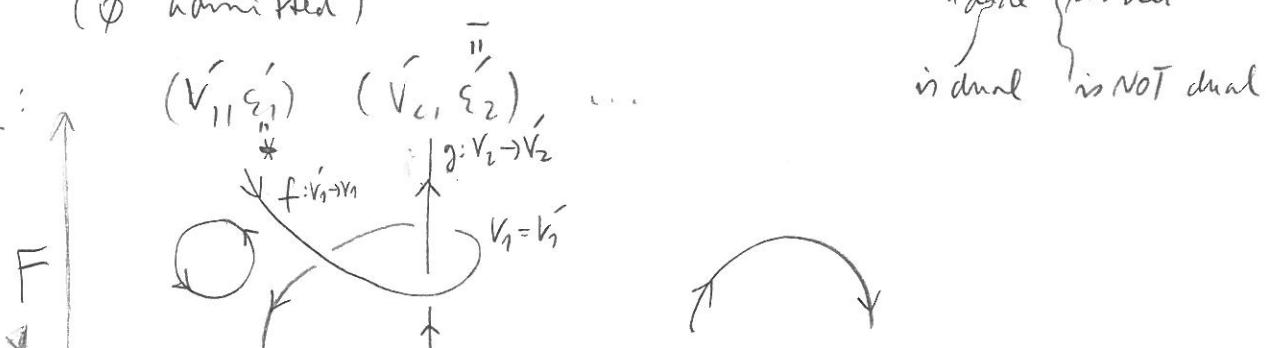
objects: sequences $((V_1, \varepsilon_1), \dots, (V_n, \varepsilon_n))$, $V_i \in \text{obj. } \mathcal{C}$

$\varepsilon_i \in \{\pm\}$

(\emptyset admitted)

"dual marker"

morphs:



between $((V_1, \varepsilon_1), \dots, (V_m, \varepsilon_m)) \rightarrow ((V'_1, \varepsilon'_1), \dots, (V'_n, \varepsilon'_n))$
is an oriented framed (m, n) -tangle ("iso class of...")

s.t. (i) if i -th output is connected to the j -th input
then either a) $V'_i \xleftarrow{f} V_j$ and $\varepsilon_i = \varepsilon_j = -$, the arc is oriented $V_j \rightarrow V'_i$
or b) $V'_i \xrightarrow{f} V_j$ and $\varepsilon_i = \varepsilon_j = + \dots V_j \leftarrow V'_i$

universal rule for

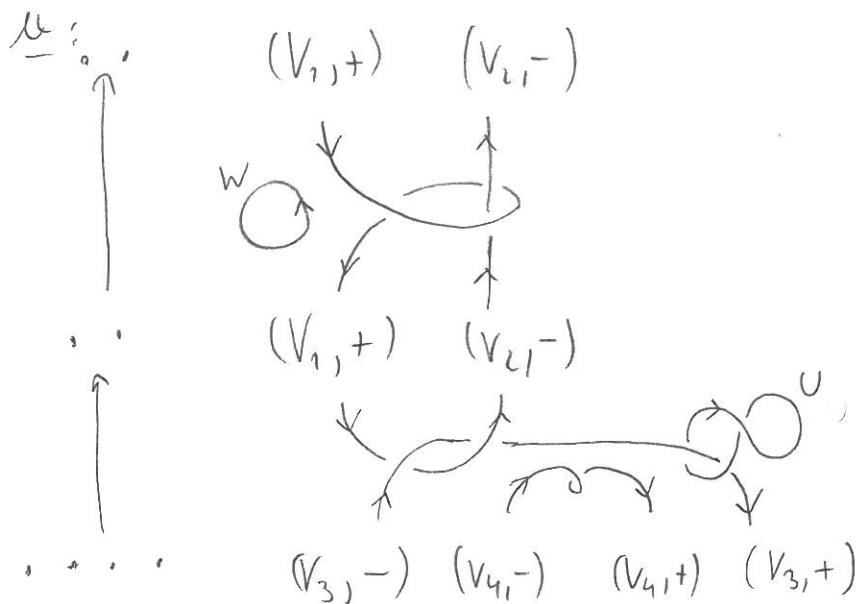
ε' :

if the orientation
as an endpoint $(V_i \varepsilon)$
of the arc coincides
with the orientation
of the morph F
then $\varepsilon' = +$, otherwise $\varepsilon' = -$

(i) if i -th outpt is connected to the j -th outpt (↑↓)
and the orientation is $i \rightarrow j$, then $\varepsilon_i = +, \varepsilon_j = -$
(ii) if i -th input is connected to the j -th input (↓↑)
and the orientation is $i \rightarrow j$, then $\varepsilon_i = -, \varepsilon_j = +$
+ circular components are labelled by endomorphs in \mathcal{C}
modulo "trace equivalence" $f \sim g$.

Why the trace equivalence? see p. 9, 15 (15, 15)

composition of morphs \equiv write the tangles one above the other and compose
 with $\mathbb{R}^2 \times [0; 1]$: (10)



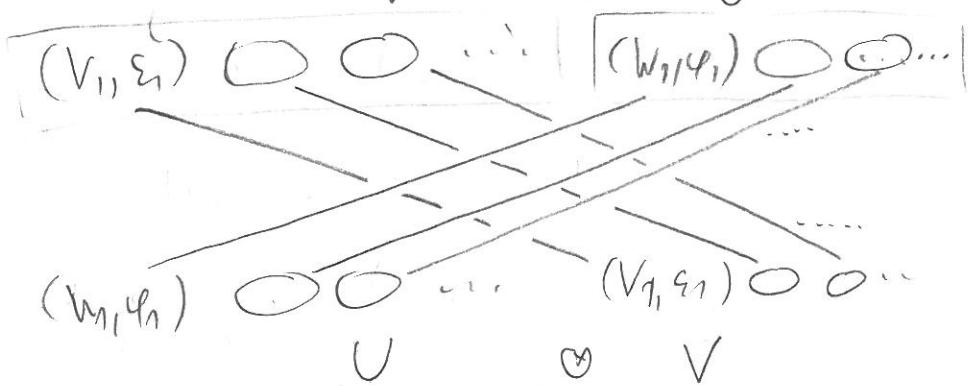
monoidal rh. $\otimes : \text{Tang}_\epsilon \times \text{Tang}_\epsilon \rightarrow \text{Tang}_\epsilon$

\equiv write the sequences and tangles one next to the other

$$\text{Ex.: } \begin{matrix} & \otimes \\ & (V_1+) \quad (V_2-) \quad |(V_3+) \quad (V_3-) \\ (V_1+) \quad (V_2-) & \downarrow \quad \downarrow \quad \downarrow \\ & (V_1+) \quad (V_2-) \quad \emptyset \end{matrix}$$

monoidal unit $1 =$ the empty tangle

braiding $\text{rh.} \equiv$ nat. monad. conv: $U \otimes V \rightarrow V \otimes U$



orientation is unique determined by e 's and q 's

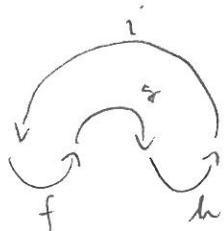
Why hence equivalence?

(915)

$$\textcircled{c} \quad g \circ f = \frac{\begin{array}{c} 1 \\ \swarrow \downarrow \quad \uparrow \downarrow \\ 2 \downarrow \quad 1_f \\ \hline 2 \downarrow \quad 1_f \end{array}}{\text{middle comm. in } T_{\text{reg}}} = \begin{array}{c} 1 \\ \swarrow \downarrow \quad \uparrow \downarrow \\ + \downarrow \quad 1 \\ 2 \downarrow \quad 1_2 \\ \hline 1 \end{array}$$

e.g. writing the bottom map
left doesn't make sense:
LHS: $f \circ i$ (bottom), RHS: $f \circ i \circ f$

also e.g.



What order to choose?

Wg the name "hence equivalence"?

$$\textcircled{c} \quad f = \frac{\begin{array}{c} 1 \\ \swarrow \downarrow \quad \uparrow \downarrow \\ A^* \quad A \\ \hline A^* \quad A \end{array}}{\text{middle comm. in } T_f} = d_A \circ (1 \otimes f) \circ b_A$$

in vector spaces: $d_A \circ (1 \otimes f) \circ b_A = \sum_{i=1}^{\dim A} e^i (f(e_i)) = T_f f$

What is $\tilde{d}_w: U \otimes U^* \rightarrow 1$? (recall $d: U^* \otimes U \rightarrow 1$) (15/5)

$$P = \boxed{\text{X}}$$

in Tan

Express $\frac{1}{(u_1^-)(u_1^+)}$ in Tan using

$$\cup \cup^* = \cup^* \times \cup^*$$

indeed:

The image contains several hand-drawn diagrams illustrating geometric concepts:

- A top-left diagram shows a horizontal line segment with a point labeled a^* on its left end. A bracket above the line is divided into two segments by a vertical tick mark; the left segment is labeled $\frac{1}{2}$ and the right segment is labeled d .
- A middle row of diagrams shows:
 - A figure-eight shape followed by an equals sign.
 - A vertical rectangle with a semi-circle attached to its right side, followed by an equals sign.
 - A vertical rectangle with a semi-circle attached to its left side.
- A bottom row of diagrams shows:
 - A vertical rectangle with a semi-circle attached to its left side, followed by an equals sign.
 - A vertical rectangle with a semi-circle attached to its right side, followed by an equals sign.
 - A vertical rectangle with a semi-circle attached to its right side, followed by the label "RMO".

Thus we define $\tilde{d}_{vv^*} := d_{v^*v} c_{vv^*} (\varphi_v \otimes 1_{v^*})$

Then Schum? says that in \mathcal{R} , $\varphi_0 = (1 \otimes \tilde{d}_{w^*})(c \otimes 1)(1 \otimes b)$.
 Indeed, this can be verified from axioms directly:

$$\frac{1}{1} \quad \begin{matrix} * \\ \cancel{\text{C}} \\ \cancel{\text{C}} \end{matrix}$$

$$\text{RHS} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$= \begin{array}{c} u \\ \cup \\ u \\ \cup \\ u \\ \cup \\ \varphi_u \end{array} \quad \begin{array}{c} 1 \\ * \\ u \\ * \\ u \\ * \\ \downarrow \end{array}$$

$$= h_0 \otimes 1$$

dual
ax.

$$= \frac{1 \otimes 1}{1 \otimes 1}$$

mid 1

$$= \frac{1}{1} = 1$$

= ψ_u

$$\text{matamrity of } C_{U,U \otimes U^*} : \\ U = U \otimes 1 \xrightarrow{U \otimes \text{id}_U} U \otimes U \otimes U^*$$

$$c_{u,1} \downarrow \quad \downarrow c_{u,u \otimes u^*}$$

$$1 \otimes u \xrightarrow{f_{u \otimes 1_u}} u \otimes u^* \otimes u$$

There is still more structure, but first we prove:

Thm Tang is a braided (monoidal) category
which (a.t.a. Artile cat.)

(ii)

PF: recall that we should verify: $\forall V, V, W \in \text{obj Tang}$
(definition) (a) Tang is strict monoidal: $\otimes: \text{Tang} \times \text{Tang} \rightarrow \text{Tang}$ is a functor, $V \otimes 1 = V = 1 \otimes V$, $(f \otimes g) \otimes h = f \otimes (g \otimes h)$, $f \otimes 1 = f = 1 \otimes f$ - natural

$$(a) C_{U \otimes V, W} = (C_{U,W} \otimes 1_V)(1_U \otimes C_{V,W})$$

$$(b) C_{U, V \otimes W} = (1_U \otimes C_{V,W})(C_{U,V} \otimes 1_W)$$

(hexagons - here it
is simplified &
assuming \otimes strictly ass.)

$$\begin{array}{ccc} U \otimes V \otimes W & & U \otimes V \otimes W \\ C_{U,V} \otimes 1_W \downarrow & \searrow C_{U,V \otimes W} & 1_U \otimes C_{W,V} \downarrow \\ V \otimes U \otimes W & \longrightarrow & V \otimes W \otimes U \\ & & 1_V \otimes C_{U,W} \\ & \Downarrow & \\ U \otimes W \otimes V & \longrightarrow & W \otimes U \otimes V \\ & & C_{U,W} \otimes 1_V \end{array}$$

$$1_V \otimes C_{U,W} \quad \boxed{\text{X}} = \text{X} \quad C_{U,V \otimes W} \quad \text{X} = \text{X}$$

(ii)

(axioms for monoidal cat. are also easy...)

digression on braided monoidal categories

$$\text{if holds: } (c_{U,W} \otimes 1)(1_U \otimes c_{V,W})(c_{U,V} \otimes 1_W) = (1_W \otimes c_{U,V})(c_{U,W} \otimes 1_V)(1_U \otimes c_{V,W})$$

(categorical) Yang-Baxter eq.

$$\begin{array}{c} w \ v \ u \\ \text{X} \\ v \ v \ w \end{array} = \begin{array}{c} u \ v \ w \\ \text{X} \\ v \ v \ u \end{array}$$

Braids

y.-B. appears in other contexts - statistical physics,
Hopf algebras, ... re

and the example of braided mon. cat:

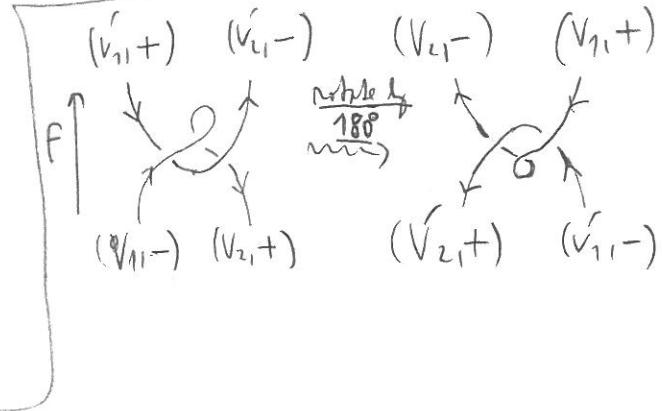
vector spaces w. usual \otimes (NOT strict, but we ignore it)
 $c_{U,V}: U \otimes V \cong V \otimes U$ satisfies $c_{VU} c_{UV} = 1_{UV}$ in stronger
than y.-B.

further structure in Tang_e : (12)

unit $\theta_v : v \rightarrow v$ natural $\Lambda.$ (check!)

$(v_1, \varepsilon_1) (v_2, \varepsilon_2) \dots (v_n, \varepsilon_n)$

$(v_1, \varepsilon_1) (v_2, \varepsilon_2) \dots (v_n, \varepsilon_n)$



duality: $v \mapsto v^* : ((v_1, \varepsilon_1), \dots, (v_n, \varepsilon_n)) \mapsto ((v_n, -\varepsilon_n), \dots, (v_1, -\varepsilon_1))$
on morph.: "double mirror image" (contravariant for)

evaluation $d_v : v^* \otimes v \rightarrow 1 \dots$ unit of the monoidal cat.
natural (check!) in Tang_e , $1 = \emptyset$

$(v_1, \varepsilon_1) \dots (v_m, \varepsilon_m) (v_{m+1}, -\varepsilon_m) \dots (v_n, -\varepsilon_1)$

coevaluation

$b_v : 1 \rightarrow v^* \otimes v$

natural (check!) \emptyset

$(v_{m+1}, -\varepsilon_m) \dots (v_1, -\varepsilon_1) (v_1, \varepsilon_1) \dots (v_n, \varepsilon_n)$

Then Tang_e is a monoidal category

PF. (definition): we should verify: Tang_e is a strict monoidal category - see next Then

$$(a) (1_v \otimes d_v)(b_v \otimes 1_v) = 1_v$$

$$(b) (d_v \otimes 1_{v^*})(1_{v^*} \otimes b_v) = 1_{v^*}$$

$$\text{1od } \begin{array}{c} v \\ \otimes 1 \end{array} \begin{array}{c} v \\ \otimes v \end{array} = \begin{array}{c} v \\ v \end{array}$$

$$\text{1od } \begin{array}{c} 1_{v^*} \otimes 1 \\ \otimes b \end{array} \begin{array}{c} v^* \\ \otimes v \end{array} = \begin{array}{c} v^* \\ v^* \end{array}$$

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$$(c) \theta_{vw} = c_{vw} c_{vw} (\theta_v \otimes \theta_w)$$

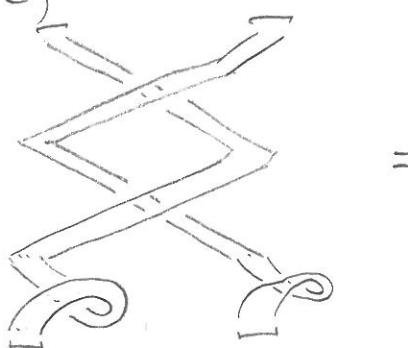
$$t = \begin{array}{c} \diagup \\ \bullet \\ \diagdown \end{array}$$

$$(d) (\theta_v \otimes I_{V^*}) b_v = (I_v \otimes \theta_{V^*}) b_v$$

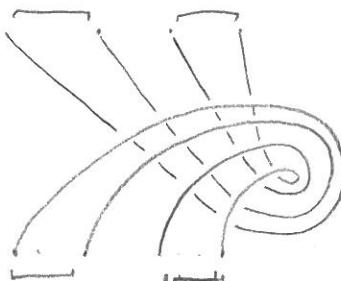
$$t = \begin{array}{c} \diagup \\ \bullet \\ \diagdown \end{array}$$

for Trace, (a), (b) are obvious

(c)



=



for \approx -fins, we prove first:

$$\text{Diagram} = \text{Diagram}$$

Lemma 1

$$\frac{\text{Diagram}}{\text{Diagram}} = \text{Diagram}$$

$$\text{PF: LHS} \stackrel{R\Gamma_2}{=} \text{Diagram} = \text{Diagram} \stackrel{R\Gamma_3}{=} \text{Diagram}$$

$$\frac{\text{Diagram}}{\text{Diagram}} = \text{Diagram}$$

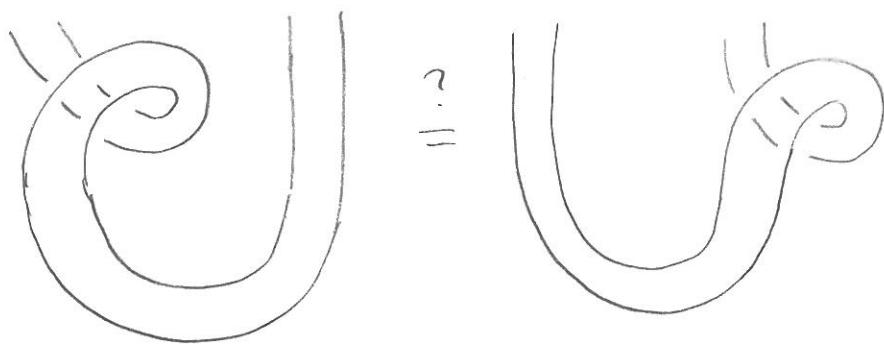
(obv. for Angles
but we have
planed Angles
or we must
avoid R\Gamma)

(obv. of direct
isotopy of Angles.
but we want R\Gamma)

$$\text{Diagram} \stackrel{L^1}{=} \text{Diagram} = \text{Diagram} \stackrel{R^1}{=} \text{Diagram} = \text{Diagram} \stackrel{m\sigma}{=} \text{Diagram}$$

□

(d)



14

(obv. by isotopy
of tangles, but
we want Ar use)
R7

for simplicity assume only 2 strands as on the picture

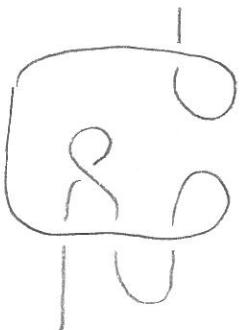
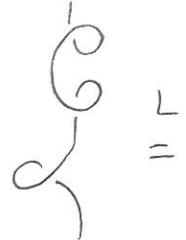
Lemma 2



(fixed endpoints)

(obv. directly by
isotopy of tangles,
but in recursive Ar
obtain via R7)

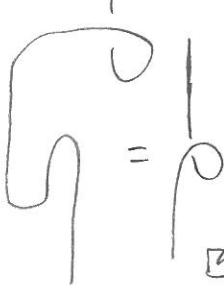
PF: $RHS \stackrel{RNO}{=} \text{Diagram}$



$\stackrel{RNI}{=}$

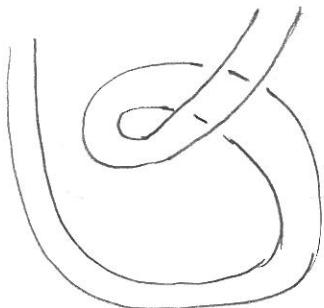


$\stackrel{RNL}{=}$



□

LHS $\stackrel{\text{iso}}{=}$



$= ?$

Another ribbon category in nature (NOT STRICT) (15)

Finite dimensional vector spaces:

$$\otimes \stackrel{I=k}{=} \text{as usual}$$

$$C_{UV} : U \otimes V \xrightarrow{\cong} V \otimes U$$

U^* the usual dual

$$U^* \otimes U \rightarrow I \quad \dots \text{the evaluation}$$

$$I \rightarrow U \otimes U^* \quad \dots \quad I \mapsto \sum_{i=1}^{d_U} e_i \otimes e_i^* \quad \text{dual basis}$$

$$\theta_U : U \rightarrow U \quad \dots \quad \theta_U = 1_U$$

$$U^* \quad U \quad I=k$$

$$\varphi \otimes v := \varphi(v)$$

(according more
complicated)

Set \mathcal{E}_0 to the category w. single obj. and single mor. $\overset{1}{\circ}$.
Then $\text{Tang}_{\mathcal{E}_0}$ are essentially unlabelled oriented framed tangles.

Thus (Schum 1, pg) (strict?)

Tang is equivalent as a ribbon category to the
free ribbon category generated by \mathcal{E}_0 .

explanations: • free ribbon category gen. of a cat. \mathcal{E} :

$$\text{Rib Cat} \xleftarrow[\text{F ... left adjoint}]{\text{forget}} \text{Cat}$$

$$\text{ord-cats. } \mathcal{E} \xrightarrow[\text{(pres of } F(\mathcal{E})\text{)}]{\text{ord-fibr}} F(\mathcal{E}) \text{ ribbon}$$

$$\downarrow \exists! \text{ ribbon fibr}$$

$$\text{ord-fibr} \rightsquigarrow R \text{ ... ribbon}$$

• ribbon fibr = fibr preserving all the structure.
idea of $F(\mathcal{E}_0)$: a) structure "ops" for ribbon cat:
 $\otimes, 1, c, *, ev, coev$

each application of rh. op. to an obj. of \mathcal{E} yields a distinct obj. in $F(\mathcal{E}_0)$
iterate if the iterates are subject to only those relations implied
by axioms of ribbon cat.

or b) every relation amongst the rh. ops which holds in $F(\mathcal{E}_0)$
holds in any ribbon category!

$\sim \text{Tang}_0 = F(\mathcal{E}_0)$ Tang: $\varphi = \rho = \boxed{\varphi}$ Thus in any R : $\varphi = (1 \otimes \tilde{a})(c \otimes 1)(1 \otimes b)$

Thm (Sel'm 2) Let \mathcal{C} be any cat.

Tang is equivalent as a (strict?) ribbon category to the free strict ribbon category generated by \mathcal{C} .

Corr. For any (ord.) functor $\mathcal{C} \xrightarrow{F} R$, \mathcal{C} ord.cat, R ribbon,
There is a unique ribbon functor \tilde{F} s.t.

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\quad F(\mathcal{C}) \cong \text{Tang}_{\mathcal{C}} \quad} & \\ & \searrow F & \swarrow \tilde{F} \\ & R & \end{array}$$

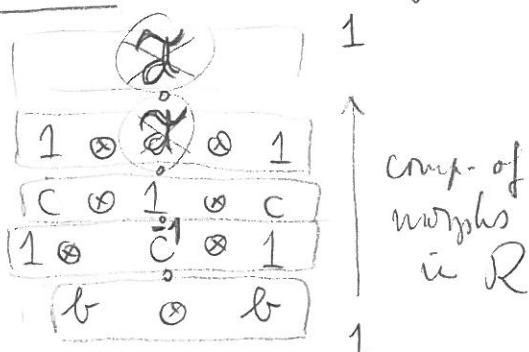
In particular, any ribbon category yields
an invariant of tangles (by choosing $\mathcal{C} = \mathcal{C}_0$)
It's called
tangles $\text{Tang}_{\mathcal{C}_0}$
(isotopy class of)

In particular, any braid is a morphism $L \in \text{Hom}(\emptyset, \emptyset)$

Thus $\tilde{F}(L) \in \text{Hom}_R(1, 1)$ is an isotopy invariant of L .

Notice that $\tilde{F}(L)$ is easily computable in terms of
the ribbon reln in R , e.g.

$$\tilde{F}\left(\text{ () } \right) = \tilde{F}\left(\text{ () } \right) =$$



applications

a) R = ribbon cat. of modules over a ribbon Hopf algebra ($=$ quantized \mathfrak{sl}_n & ...)) H ,
in particular H a quantum group (\mathbb{Q} roots of \mathfrak{sl}_n and? \mathfrak{sl}_m ?)

b) Dehn surgery of 3-manifold - constructing the man. out of
a \mathcal{C} -colored link \Rightarrow invariants of the man.

c) Kauffman bracket via Skein's Axiom

(17)

(categorical ...)
def. The "ribbon cat. of "Skein Tangles" $\text{SkTang}_{\mathbb{C}_0}$:

"idea: C-lizeration of $\text{Tang}_{\mathbb{C}_0}$ morphisms we factorized by the skein relations used to define K-bracket."

objects: as in $\text{Tang}_{\mathbb{C}_0}$, i.e. $(\star_{1, \varepsilon_1}, \star_{2, \varepsilon_2}, \dots)$
 regulars of obj. of \mathcal{E} w. $\mathfrak{s}^{\mathfrak{s}}$

morphs: $\text{SkTang}_{\mathbb{C}_0}(X, Y) := \frac{\text{FreeMod Tang}_{\mathbb{C}_0}(X, Y)}{\mathbb{C}[[a, \bar{a}]]}$

$$\bigcirc = 1$$

$$T \sqcup \bigcirc = -(a^2 + \bar{a}^2) T$$

$$\bigtimes = a \bigcirc (1 + \bar{a} \bigcirc)$$

$a \in \mathbb{C}$
fixed

claim: $\text{SkTang}_{\mathbb{C}_0}$ inherits the ribbon cat. str. from $\text{Tang}_{\mathbb{C}_0}$.

Observe, the fctr $\tilde{F}: \text{Tang}_{\mathbb{C}_0} \xrightarrow{\sim} \text{SkTang}_{\mathbb{C}_0}$

restricted to $\tilde{F}(f) \in \text{SkTang}_{\mathbb{C}_0}(\emptyset, \emptyset) \cong \mathbb{C}[[a, \bar{a}]]$

$$f \in \text{Hom}_{\text{Tang}_{\mathbb{C}_0}}(\emptyset, \emptyset)$$

is the Kauffman bracket.

Q: modification for Jones Polynomial:

redefine the bndry (check axioms!)
 probably has to
 alter more
 structure!

$$\bigtimes c'_{u,v} = -\bar{a}^3 c_{u,v}$$

$$c'^{-1}_{u,v} = -a^3 c^{-1}_{u,v}$$



