Statistical shape and image analysis Multivariate splines in automatic identification and statistical analysis of anatomical curves and surface patches

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Robust Jetřichovice, Jan 23 2014

Face 3D Consortium

Statistics:

Prof. Adrian Bowman, The University of Glasgow, Scotland, UK Doc. Stanislav Katina, Masaryk University, Brno, CZ Computer Vision:

Dr. J. Paul Siebert, The University of Glasgow, Scotland, UK Prof. Paul F. Whelan, Dublin City University, Ireland Dr. Federico Sukno, Dublin City University, Ireland Mathematics and Geometry:

Dr. Kevin Hayes, The University of Limerick, Ireland Dr. Brendan Guilfoyle, Institute of Technology, Tralee, Ireland Medicine:

Prof. Ashraf Ayoub, The University of Glasgow, Scotland, UK Dr. Balvinder Khambay, The University of Glasgow, Scotland, UK Prof. John Waddington, Royal College of Surgeons in Ireland, Dublin, Ireland



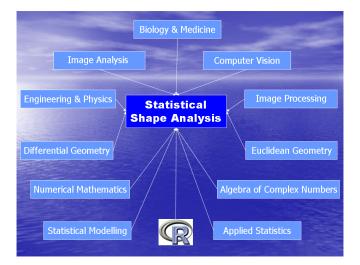
- distances vs. (semi)landmarks on curves and surfaces
- 2D shapes vs 3D/4D shapes
- static vs animated
- boring vs interesting
- abstract vs real
- wrong vs good direction of model choice
- partial (local) vs complex (global) knowledge
- biology/medicine vs statistics/mathematics
- one scientific field vs interdisciplinary science

What I would like to present you?

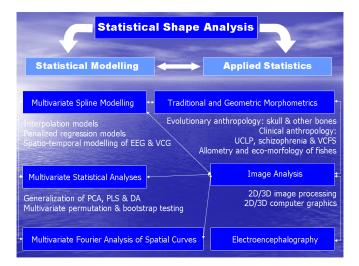
Research ideas through examples calculated in \mathbb{R} , originated in my teaching and research experience, all in interesting, animated, and complex interdisciplinary form, using examples from **2D**, **3D**, or **4D shape and image analysis**, fusing five scientific fields

- Mathematics
- Euclidean and Differential Geometry
- Statistics
- Computer Vision
- Image Processing
- Biology, and Medicine

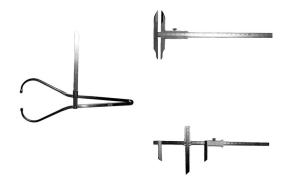
Shape Analysis—Interdisciplinary View



Shape Analysis Vision pprox My Partial Research Tree



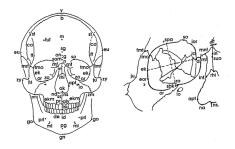
Traditional Morphometrics Anthropological Measurement Devices

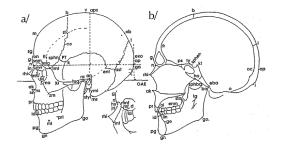


Rudolf Martin's classification

- classic system nearly a century ago
- mainly list of endpoints for conventional distance or angle measurements
- planes and lines
- standardized views (frontalis, lateralis, verticalis, basilaris, occipitalis, sagittalis)
- lengths, widths, heights
- circumferences and surface arc length
- angles
- volumes and weights
- radii (distances of points to curves)
- indices (ratios)

- a great diversity of points (landmarks) in one or more of those standardized views
- total number of different points 68 [Figs 286–292]
- nowadays 158 (including some synonyms)





Fish Neurocrania—Rutilus rutilus and R.pigus (Cyprinidae)

- neurocrania–roaches Rutilus rutilus and Rutilus virgo (Actinopterygii: Cyprinidae)
- *R. rutilus* ($n_{rr} = 30$) and *R. pigus* neurocrania ($n_{rp} = 50$), 27 measurements

Fish Neurocrania—Rutilus rutilus and R.pigus (Cyprinidae)

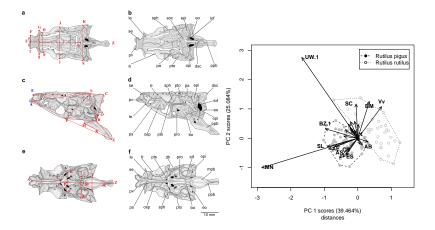


Figure: Triangulated distances and PCA of inter-landmark distances

- form—information about object geometry that remains after translation and rotation effects are removed
- shape—information about object geometry that remains after translation, rotation, and size effects are removed
- object geometry—2D/3D Cartesian coordinates in k × d configuration matrix X
- Shape components—affine (uniform) X_A, non-affine (nonuniform) X_{NA} [local bending and global bending]
- biological homology—biologically correspondent parts of an organism but point locations with respect to deformation TPS model—landmarks
- geometrical homology—with respect to some minimization criteria (bending energy of TPS model) between source and target configuration— semilandmarks on curves and surfaces

Definition (Generalized Procrustes Analysis, GPA)

Procrustes shape coordinates $\mathbf{x}_{P,ij} = c_i \Gamma_i(\mathbf{x}_{ij} - \mathbf{t}_i)$, where c_i is *scale*, Γ_i is *rotation matrix* and \mathbf{t}_i is *translation*, $\mathbf{x}_{P,ij}$ are rows of $\mathbf{X}_{P,i}$, i = 1, ..., n. Then we say that \mathbf{X}_i , i = 1, 2, ..., n are in *optimal position* or have *the best Procrustes fit* in the sense of 'shape' if

$$\arg \inf \sum_{1 \le i \le j \le n} \| \mathbf{X}_{P,i} - \mathbf{X}_{P,j} \|^{2} = \left\{ \sum_{1 \le i \le j \le n} \left\| c_{i} \mathbf{\Gamma}_{i} \left(\mathbf{X}_{i} - \mathbf{1}_{k} \mathbf{t}_{i}^{T} \right)^{T} - c_{j} \mathbf{\Gamma}_{j} \left(\mathbf{X}_{j} - \mathbf{1}_{k} \mathbf{t}_{j}^{T} \right)^{T} \right\|^{2} \right\}$$

Geometric Morphometrics

Generalized Procrustes Analysis—Procrustes k-point registration

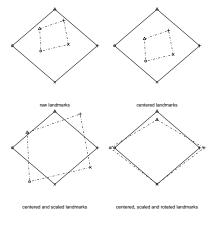


Figure: Procrustes Geometry

Geometric Morphometrics Bending Energy

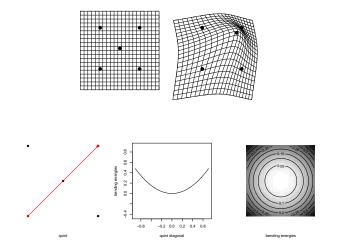


Figure: TPS deformation grid, bending, and bending energy J(f)

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Fish Neurocrania—Rutilus rutilus and R.pigus (Cyprinidae)

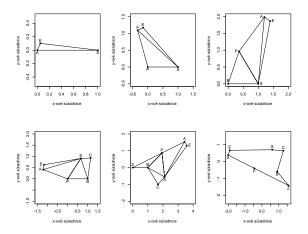


Figure: Sequential algorithm combining Triangle Geometry, Bookstein two-point registration

Fish Neurocrania--Rutilus rutilus and R.pigus (Cyprinidae)

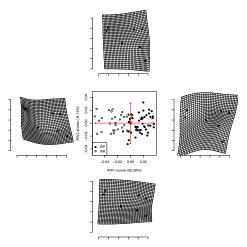
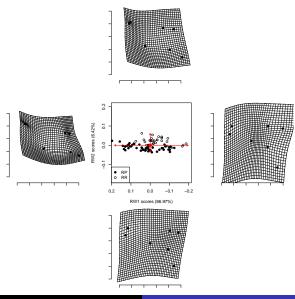


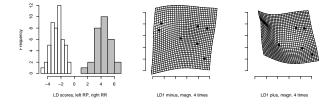
Figure: Model Choice—Shape Space PCA

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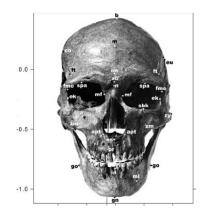
Fish Neurocrania—Rutilus rutilus and R.pigus (Cyprinidae)—Form Space PCA

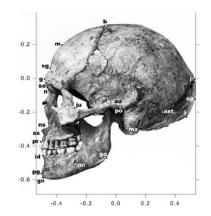


Fish Neurocrania--Rutilus rutilus and R.pigus (Cyprinidae)-LDA



- professionally digitised glass plate negatives of fossil skulls (Předmostí 1 – P1, Předmostí 3 – P3, Předmostí 4 – P4, Předmostí 9 – P9, Předmostí 10 – P10)
- in the accessible norms: frontal, lateral sin., occipital, basal, and vertical views
- the skulls in question are those determined by Matiegka to have been females (P1, P4, P10) and males (P3, P9)
- Katina, S., Sefcakova, A., Veleminska, J., Bruzek, J., Veleminska, P., 2004: A Geometric approach to cranial sexual dimorphism in the upper palaeolithic skulls from Předmostí (Upper Palaeolithic, Czech Republic). *Journal of the National Museum, Natural History Series* 173, 1–4:133–144





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- lateral x-rays of the patients heads-complete unilateral cleft of lip and palate (UCLP)
- Velemínská J., Katina, S., Šmahel, Z., Sedláčková, M., 2006: Analysis of facial skeleton shape in patients with complete unilateral cleft lip and palate: Geometric morphometrics. Acta Chirurgiae Plasticae, 48,1: 26–32
- Velemínská J., Šmahel, Z., Katina, S., 2006: Development prediction of sagittal intermaxillary relations in patients with complete unilateral cleft lip and palate during puberty. Acta Chirurgiae Plasticae, 49,2: 41–46

UCLP

- 48 boys, complete unilateral cleft of lip and palate (UCLP), without symptoms of other associated malformations, Clinic of Plastic Surgery in Prague
- homogenously operated by the same team of surgeons (cheiloplasty according to Tennison, periosteoplasty without the nasal septum repositioning
- patients monitored during puberty, at the ages of 10 and 15 (born between 1972 and 1978)
- 22 landmarks (x-rays of the patients' heads, under standard conditions, SigmaScan Pro 5 software)





Figure: www.craniofacial.net

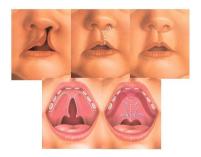


Figure: http://www.plasticsurgery.org

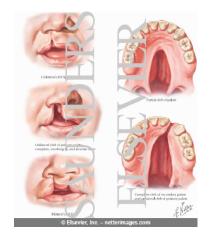


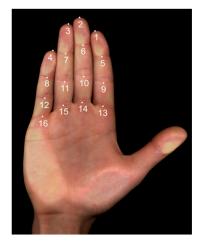
Figure: UCLP example

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Morphology of human hand

- two-dimensional morphology of human hand in palmar view
- hands recorded as digital images (TIFF format, 24 colours, 150dpi, 100
- subjects—100 females and 75 males—recruited predominantly from population of college students of cities Brno and Ostrava (Czech Republic)
- 16 landmarks
- Kralik, M., **Katina, S.**, 2014: Distal Part of the Human Hand: Study of Form Variations and Sexual Dimorphism using Geometric Morphometrics. Submitted

Morphology of human hand



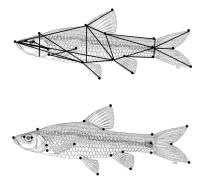
Eco-morpology of fishes

- Tomeček, J., Kovač, V., Katina, S., 2005: Ontogenetic variability in external morphology of native (Canadian. and nonnative (Slovak. populations of pumpkinseed (Lepomis gibbosus, Linnaeus 1758. *Journal of Applied Ichthyology* 21: 335–344
- Zahorska, E., Kovač, V, Falka, I., Beyer, K., Katina, S., Copp, G.H., Gozlan, R., 2009: Morphological variability of the Asiatic cyprinid, topmouth gudgeon Pseudorasbora parva, in its introduced European range. *Journal of Fish Biology* 74: 167–185
- Čapova, M., Zlatnicka, I., Kovač, V., Katina, S., 2008. Ontogenetic variability in external morphology of monkey goby, Neogobius fluviatilis (Pallas, 1814) and its relevance to invasion potential. *Hydrobiologia* 607: 17–26
- Novomeska, A., Katina, S., Copp, G.H., Pedicillo, G., Lorenzoni, M., Pompei, L., Cucherousset, J., Kovač, V., 2013: Morphological variability of black bullhead Ameiurus melas (Rafinesque, 1820) in its non-native European populations. *Journal of Fish Biology* 82, 4: 1103–1451

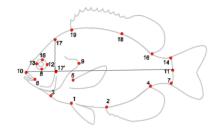
- 1. total length (1-2)
- 2. standard length (1–3)
- 3. head length (1-4)
- 4. preorbital distance (1–5)
- 5. eye diameter (5-6)
- 6. postorbital distance (6–4)
- 7. head depth (7–8)
- 8. predorsal distance (1–9)
- 9. preventral distance (1–10)
- 10. preanal distance (1–11)
- 11. postdorsal distance (12–3)
- 12. V–A distance (10–11)
- 13. D–A distance (9–11)
- 14. D-adip distance (9-13)
- 15. adipA distance (13–11)
- 16. adip post. A distance (13-14)

- 17. post. adip C fin base (15-3)
- 18. C peduncle length (14–3)
- 19. C peduncle depth (14-16)
- 20. minimum body depth (17-18)
- 21. body depth (9-19)
- 22. D-fin depth (9-20)
- 23. V-fin depth (10-21)
- 24. A-fin depth (22-23)
- 25. C-fin depth (24-25)
- 26. D-fin length (9–12)
- 27. adip length (13-15)
- 28. A-fin length (11-14)
- 29. C-fin length (2–3)
- 30. P-fin length (26-27)
- 31. interorbital distance(28-29)
- 32. head width (30-31)

Eco-morpology of fishes Topmouth gudgeon (*Pseudorasbora parva*)



Eco-morpology of fishes Pumpkinseed (*Lepomis gibosus*)



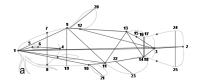
Eco-morpology of fishes Monkey goby (*Neogobius fluviatilis*)





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Eco-morpology of fishes Black bullhead (*Ameiurus melas*)

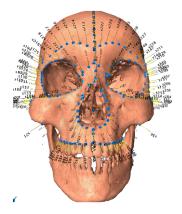


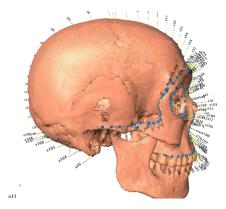
Skulls

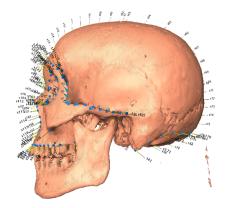
- example re-uses part of a Vienna data set of 372 skulls from various collections
- 106 human crania (38 adult females, 54 males, 3 juvenile females, 11 juvenile males, 14 unknown sex; from newborns to adults)
- Dept. of Archaeological Biology and Anthropology, Natural History Museum, Vienna, Austria
- Dept. of Anthropology, University of Vienna, Vienna, Austria
- Weisbach collection acquired and exhumed skeletons of soldiers of the Austro-Hungarian monarchy, sex and age of these crania are known from military records
- *Hallstatt collection* from ossuary in Hallstatt, sex and age are known from the church-books

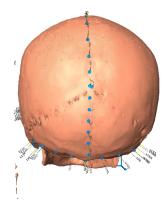
Skulls

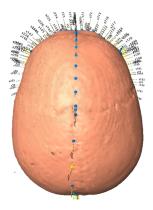
- data 347 landmarks and semilandmarks 32 landmark points, 7 ridge curves totalling 161 semilandmarks and 154 surface semilandmarks [5 – base, 184 – face, 158 – neurocranium]
- landmark points on **both sides** of every cranium and semilandmarks (on curves and surface) **on the left side** of every cranium were digitalized using a MicroScribe 3DX (Mitteroecker et al, 2004, Gunz, 2005)
- Katina, S., Bookstein, FL., Gunz, P., Schaefer, K., 2007: Was it worth digitizing all those curves? A worked example from craniofacial primatology. *American Journal of Physical Anthropology* Suppl. 44: 140.

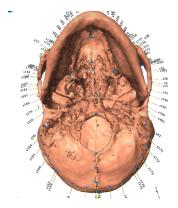












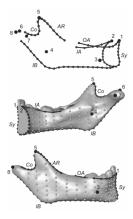
Growth of modern human mandible-3D, CTs

- CTs of 151 modern humans (78 females and 73 males) of mixed ethnicity, living in France, from birth to adulthood [Pellegrin Hospital (Bordeaux), Necker Hospital (Paris) and Clinique Pasteur (Toulouse)]
- each mandibular surface was reconstructed from the CT-scans via the software package Amira (Mercury Computer Systems, Chelmsford, MA)
- open-source software Edgewarp3D (Bookstein & Green 2002), a 3D-template of 415 landmarks and semilandmarks was created to measure the mandibular surface and was warped onto each mandible

Growth of modern human mandible-3D, CTs

 Coquerelle, M., Bayle, P., Bookstein, F.L. Braga, J., Halazonetis, D.J., Katina, S., Weber, G.W., 2010: Covariation between dental development and mandibular form changes: a study combining additive conjoint measurement and geometric morphometrics. *Journal of Anthropological Sciences* 88: 129-150

Growth of modern human mandible–3D, CTs



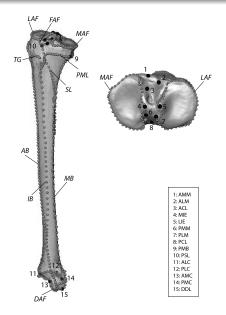
Tibial shape analysis–3D, CTs

- 77 tibiae of four extant primate species: Homo sapiens, Gorilla gorilla, Pan troglodytes, Pongo pygmaeus
- each tibial surface was reconstructed from the CT-scans via the software package Rapidform 2006
- 15 landmarks and 500 semilandmarks
- Frelat, M., Katina, S., Weber, G.W., Bookstein, F.L., 2012: Technical note: A novel Geometric Morphometric approach to the study of long bone shape variation. *American Journal of Physical Anthropology* 149, 4: 628–638

Tibial shape analysis–3D, CTs



Tibial shape analysis–3D, CTs



Human faces in 2D

- Oberzaucher, E., Katina, S., Holzleitner, I.J., Schmehl, S.F., Mehu-Blantar, I., Grammer, K., 2012: The myth of hidden ovulation: Shape and texture changes in the face during the menstrual cycle. *Journal of Evolutionary Psychology* 10, 4: 163–175
- Pflüger, L.S., Oberzaucher, E., Katina, S., Holzleitner, I.J., Gramer, K., 2012: Cues to fertility: perceived attractiveness and facial shape predict reproductive success. *Evolution and Human Behaviour* 33, 6: 108–114

Human faces in 2D

- 20 young women (aged between 19 and 31) who reported to have a regular menstrual cycle and did not take any hormonal contraceptives
- standardized facial photographs—one taken in the ovulatory and one in the luteal phase
- in a forced choice task, 50 male and 50 female subjects were presented with these photographs of each participant-to pick out the more attractive, healthy, sexy, and likeable, of the two
- skin patches sized 150 × 150 pixels from the cheek and subjected them to the same forced choice task with slightly modified adjectives

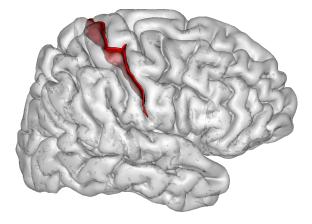
'Hidden' ovulation signals-2D, facial photographs



• MRI and BrainVisa software

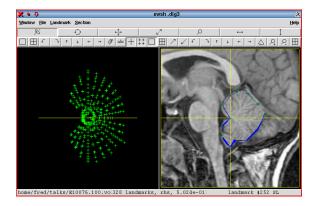
- human brain folding patterns cortical folds of central sulcus
- 62 left and right curves following the bottom of central sulcus
- from 35 to 149 semilandmarks on the curves

Folding of human cortex



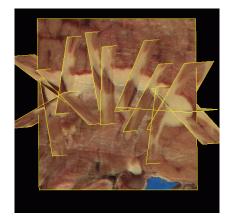
Powered by VTK - Anatomist

Human brain again



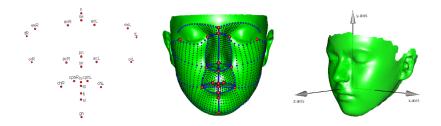
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Human brain again

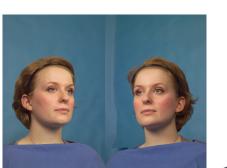


- 72 laser-scans of human faces
- 45 velo-cardio-facial syndrome (VCFS; chromosome 22 deletion syndrome associated with very high risk of schizophrenia?like psychosis; 25 females and 20 males)
- 27 controls (14 females and 13 males; siblings or closed relatives of similar age)
- from these, after coupling, it remains 42 pairs (also after exclusion of several laser-scans with low quality)
- 23 biologically homologous anatomical landmarks and 1664 geometrically homologous semilandmarks on curves and surface patches
- mesh of 59242 points triangulated by 117386 faces (triangulated mesh)

3D facial laser-scans VCFS - -Royal College of Surgeons, Dublin, Ireland



3D face—stereo-camera campture Control data—Dental clinic, The University of <u>Glasgow, UK</u>





Landmarks to curves to surfaces Triangle meshes

A triangle mesh \mathcal{M}_i , i = 1, 2, ..., n, consists of

- a set of vertices $V_i = \{v_{i1}, v_{i2}, ..., v_{iV}\}$
- a set of triangular faces connecting them $\mathcal{F}_i = \{f_{i1}, f_{i2}, \dots, f_{iF}\}, f_j \in \mathcal{V}_i \times \mathcal{V}_i \times \mathcal{V}_i$
- a set of edges $\mathcal{E}_i = \{e_{i1}, e_{i2}, \dots, e_{iE}\}, e_j \in \mathcal{V}_i \times \mathcal{V}_i$
- a 3D position \mathbf{p}_j to each vertex $\mathbf{v}_j \in \mathcal{V}$

$$\mathcal{P} = \{\mathbf{p}_{i1}, \mathbf{p}_{i2}, \dots, \mathbf{p}_{iV}\}, \mathbf{p}_j = \mathbf{p}(v_j) = \begin{pmatrix} \mathbf{x}(v_j) \\ \mathbf{y}(v_j) \\ \mathbf{z}(v_j) \end{pmatrix} \in \mathbb{R}^3$$
, such

that each face $f \in \mathcal{F}_i$ corresponds to a triangle in 3D space specified by its three vertex position

Landmarks to curves to surfaces

Configuration matrices and (semi)landmarks

A configuration matrix

$$\begin{aligned} \mathbf{X}_{i} &= \left(\mathbf{x}_{i}^{(1)}, \mathbf{x}_{i}^{(2)}, \mathbf{x}_{i}^{(3)}\right), \, \mathbf{x}_{i}^{(\cdot)} &= \left(x_{i1}^{(\cdot)}, x_{i2}^{(\cdot)}, \dots, x_{ik}^{(\cdot)}\right)^{T}, \, k \ll V \\ \mathbf{X}_{i} &= \left(\mathbf{x}_{i1}, \mathbf{x}_{i2}, \dots, \mathbf{x}_{ik}\right)^{T}, \, \mathbf{x}_{ij} &= \left(x_{ij}^{(1)}, x_{ij}^{(2)}, x_{ij}^{(3)}\right)^{T}, \, j = 1, 2, \dots, k \end{aligned}$$

The (semi)landmarks x_{ii}

- k₁ landmarks
- k_2 semilandmarks on curve $(k_{21}, k_{22}, \ldots, k_{2C})$
- k_3 semilandmarks on surface $(k_{31}, k_{32}, \ldots, k_{3S})$

Definition (Affine and non-affine coordinates)

Regressing each $k \times d$ matrix $\mathbf{X}_{P,i}$ (d = 2, 3) onto the $\overline{\mathbf{X}}_P$ can be defined by the *MMLRM* (*Multivariate Multiple Linear Regression Model*)

$$\mathbf{X}_{P,i} = \overline{\mathbf{X}}_{P}\beta_{i} + \epsilon_{i}; \widehat{\beta}_{i} = \left(\overline{\mathbf{X}}_{P}^{T}\overline{\mathbf{X}}_{P}\right)^{-1}\overline{\mathbf{X}}_{P}^{T}\mathbf{X}_{P,i}, i = 1, 2, ...n.$$

Let
$$\widehat{\beta}_i = \left(\widehat{\beta}_{i1} \vdots \widehat{\beta}_{i2}\right)$$
 for 2D and $\widehat{\beta}_i = \left(\widehat{\beta}_{i1} \vdots \widehat{\beta}_{i2} \vdots \widehat{\beta}_{i3}\right)$ for 3D, then

1 affine Procrustes coordinates: $X_{A,i} = X_{P,i}\widehat{\beta}_i$

Inon-affine Procrustes coordinates (residuals of MMLRM): X_{NA,i} = X_P + (X_{P,i} - X_{A,i})

Geometric Morphometrics

Interpolačný a vyhladzovací splajn

Example (IM 1 a PRM 1)

Majme interpolačný model [IM1] ($f : \mathbb{R} \to \mathbb{R}$)

$$\left(\begin{array}{c} \mathbf{y} \\ \mathbf{0} \\ \mathbf{0} \end{array}\right) = \mathbf{X}\beta, \mathbf{X} = \left(\begin{array}{cc} \mathbf{S} & \mathbf{1}_k & \mathbf{x} \\ \mathbf{1}_k^T & \mathbf{0} & \mathbf{0} \\ \mathbf{x}^T & \mathbf{0} & \mathbf{0} \end{array}\right), \beta = \left(\begin{array}{c} \mathbf{w} \\ \mathbf{c} \\ \mathbf{a} \end{array}\right)$$

kde $\mathbf{x}_{k \times 1} = (x_1, ..., x_k)^T$, $\mathbf{y}_{k \times 1} = (y_1, y_2, ..., y_k)^T$, $(\mathbf{S})_{ij} = \phi(x_i, x_j) = \frac{1}{12} |x_i - x_j|^3$. Majme penalizovaný regresný model [PRM1] $(f : \mathbb{R} \to \mathbb{R})$

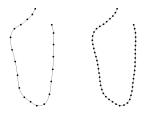
$$\mathbf{y}_{P} = \begin{pmatrix} \mathbf{y} \\ \mathbf{0}_{k+2} \end{pmatrix} = \mathbf{X}_{P}\beta + \epsilon, \mathbf{X}_{P} = \begin{pmatrix} \mathbf{X}_{dm} \\ \sqrt{\lambda}\mathbf{R} \end{pmatrix}, \mathbf{S}_{P} = \begin{pmatrix} \mathbf{0}_{2\times 2} & \mathbf{0}_{2\times k} \\ \mathbf{0}_{k\times 2} & \mathbf{S} \end{pmatrix},$$

kde $\mathbf{X}_{dm} = (\mathbf{1}_k : \mathbf{x}: \mathbf{S})$ je penalizovaná časť matice plánu, $(\mathbf{S})_{ij} = \phi(x_i, x_j) = \frac{1}{12} |x_i - x_j|^3$, $\mathbf{S}_P = \mathbf{R}^T \mathbf{R}$ a $\sqrt{\lambda} \mathbf{R}$ je penalizovaná časť matice plánu. Potom penalizovanú sumu štvorcov budeme písať v tvare $SS_{pen} = (\mathbf{y} - \mathbf{X}_{dm}\beta)^T (\mathbf{y} - \mathbf{X}_{dm}\beta) + \lambda\beta^T \mathbf{S}_P \beta = \mathbf{y}^T \mathbf{y} - 2\beta^T \mathbf{X}_{dm}^T \mathbf{y} + \beta^T (\mathbf{X}_{dm}^T \mathbf{X}_{dm} + \lambda \mathbf{S}_P)\beta$.

Geometric Morphometrics

Example (IM 1)

Majme interpolačný model [IM1] a krivku X definovanú bodmi $(x_j^{(1)}, x_j^{(2)})$, kde j = 1, 2, ...k a X je matica rozmerov $k \times 2$. Nech \mathbf{d}_{ch} je vektor k **chordálnych** (uhlových) vzdialeností, kde $d_{ch}^{(j)}$ zodpovedá vzdialenosti bodov $(x_{j-1}^{(1)}, x_{j-1}^{(2)})$ a $(x_j^{(1)}, x_j^{(2)})$ krivky X, j = 2, 3, ...k; $d_{ch}^{(1)} = 0$. IM1 je počítan pre $(d_{ch}^{(j)}, x_j^{(1)})$ a $(d_{ch}^{(j)}, x_j^{(2)})$; j = 1, 2, ...k osobitne; vizualizujeme krivku $\widehat{\mathbf{X}}$ s k odhadnutými bodmi, kde j-ty bod je rovný $(\widehat{x}_j^{(1)}, \widehat{x}_j^{(2)})$, $j = 1, 2, ...k_l \ge k$. X sa nazýva resamplovaná interpolovaná krivka X.



Example (IM 3)

Majme interpolačný model [IM3; thin-plate splajn, TPS] ($f : \mathbb{R}^2 \to \mathbb{R}^2$)

$$\left(\begin{array}{c} \mathbf{Y}\\ \mathbf{0}\\ \mathbf{0} \end{array}\right) = \left(\begin{array}{cc} \mathbf{S} & \mathbf{1}_k & \mathbf{X}\\ \mathbf{1}_k^T & \mathbf{0} & \mathbf{0}\\ \mathbf{X}^T & \mathbf{0} & \mathbf{0} \end{array}\right) \left(\begin{array}{c} \mathbf{W}\\ \mathbf{c}^T\\ \mathbf{A} \end{array}\right)$$

kde $\mathbf{X} = (\mathbf{x}_1, \dots \mathbf{x}_k)^T$ a $\mathbf{Y} = (\mathbf{y}_1, \dots \mathbf{y}_k)^T$, $(\mathbf{S})_{ij} = \phi(\mathbf{x}_i - \mathbf{x}_j), \phi(\mathbf{x}) =$ $\|\mathbf{x}\|_2^2 \log \left(\|\mathbf{x}\|_2^2\right), \forall \|\mathbf{x}\|_2 > 0$, ak $\|\mathbf{x}\|_2 = 0$, potom $\phi(\mathbf{x}) = 0$. Potom extrapolácia IM3 bude definovaná ako $\mathbf{Y}_I \longmapsto \mathbf{X} + I \times (\mathbf{X} - \mathbf{Y})$, kde $I \in \mathbb{R}$. *TPS* používame aj ako zobrazovaciu metódu, kedy hovoríme o **(ne)deformovanej štvorcovej TPS sieti** – kde ide o (nedeformovanú) štvorcovú sieť pre model $f : \mathbf{X} \mapsto \mathbf{X}$ a deformovanú štvorcovú sieť pre model $f : \mathbf{X} \mapsto \mathbf{Y}_{l}$. Ide v podstate o IM3 definovaný pre všetky uzly siete, kde $\mathbf{y}_{j} = \mathbf{f}(\mathbf{x}_{j}), j = 1, ..., n_{cp}; n_{cp}$ (*cp* znamená "crossing points") je počet uzlov siete (je jednoduché model predefinovať na (ne)deformovanú obdĺžnikovú TPS sieť). V TPS $f : \mathbf{X} \longmapsto \mathbf{Y}_{l}$ sa použijú odhadnuté koeficienty \mathbf{W} , \mathbf{c} a \mathbf{A} na interpolovanie uzlov siete. Jednotlivé uzly sú potom pospájané (v smere oboch osí pre rovnaké *j*) *lokálne lineárne* (úsečkami) alebo *interpolovanou krivkou* (použitím IM1).

Geometric Morphometrics

Affine and non-affine deformations, and geometrical homology

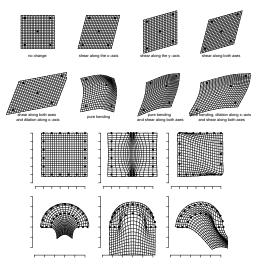


Figure: Affine, non affine-deformations, geometrical homology

Stanislav Katina Statistical shape and image analysis

Definition (Relative Warp Analysis (RWA))

If bending energy matrix \mathbf{B}_e is calculated for the mean shape $\overline{\mathbf{X}}_P$, then $dk \times dk$ matrix $\mathbf{B} = \mathbf{I}_{d \times d} \otimes \mathbf{B}_e$. Let *Generalized* covariance matrix with respect to bending energy is equal to

 $\mathbf{S}_{B}^{(\alpha)} = \left(\mathbf{B}^{-}\right)^{\alpha/2} \mathbf{S} \left(\mathbf{B}^{-}\right)^{\alpha/2},$

where $(\mathbf{B}^{-})^{\alpha/2} = \sum_{j} \hat{\lambda}_{j}^{-\alpha/2} \hat{\gamma}_{j}^{T} \hat{\gamma}_{j}$ is *Moore-Penrose generalized* inverse of $\mathbf{B}^{\alpha/2}$. The non-zero eigenvalues of $\mathbf{S}_{B}^{(\alpha)}$ calculated by SVD are $\hat{\mathbf{I}}_{j}$ and corresponding eigenvectors $\hat{\mathbf{g}}_{j}$ (*relative warps, RW*). Then *RW scores*

$$r_{ij} = \widehat{\mathbf{g}}_{j}^{T} \left(\mathbf{B}^{-}
ight)^{lpha/2} \operatorname{Vec} \left(\mathbf{X}_{\mathcal{S},i}
ight), i = 1, 2, ..., n; j = 1, 2, ..., J_{d},$$

where J_d is the number of non-zero eigenvalues (d = 2, 3).

Definition (Relative Warp Analysis (RWA), cont.)

The effect of the *j*th *RW* can be viewed by plotting

 $\operatorname{Vec}\left(\mathbf{X}_{P}\left(\boldsymbol{c},\boldsymbol{j},\boldsymbol{\alpha}\right)\right) = \operatorname{Vec}(\overline{\mathbf{X}}_{P}) \pm c_{j}\mathbf{B}^{\alpha/2}\widehat{\mathbf{g}}_{j}\widehat{l}_{j}^{1/2}, r_{j} = c_{j}\widehat{l}_{j}^{1/2}$

for various values of $r_j \in \langle 0, \max(|r_{ij}|) \rangle$ (or reasonable magnification of $\max(|r_{ij}|)$; alternatively, either $c_j \sim N(0, 1)$ or fixing $c_j = 1$, magnification of $\widehat{I}_j^{1/2}$, standard deviation of \mathbb{RW}_j scores), where $\mathbf{B}_e^{\alpha/2} = \sum_j \widehat{\lambda}_j^{\alpha/2} \widehat{j} \widehat{\gamma}_j^T$. To emphasize **a** large scale variability (global bending), $\alpha = 1$,

Small scale variability (local bending), $\alpha = -1$,

3 $\alpha = 0$, then we take $\mathbf{B}^0 = \mathbf{I}$ as the $dk \times dk$ identity matrix and the procedure is equivalent to <u>PCA of Procrustes</u> shape coordinates

Definition (Relative Warp Analysis (RWA), cont.)

- Affine contribution to the variability by performing affine subspace *PCA* on the covariance matrix S_A of $n \times dk$ matrix X_A with the rows *Vec* $(X_{A,i})$, i = 1, 2, ..., n (which is equivalent to the *RWA* with $\alpha = 0$)
- **2 Non-affine contribution** to the variability by performing non-affine subspace *PCA* on the covariance matrix S_{NA} of $n \times dk$ matrix X_{NA} with the rows $Vec(X_{NA,i})$, i = 1, 2, ... n
- Contribution of (a)symmetry by augmenting relabeled and reflected Procrustes configurations to vectorized matrix of Procrustes shape coordinates and performing SVD of S_{AS}

Size contribution by augmenting vectorized matrix of Procrustes shape coordinates by column of centroid sizes

 $\mathbf{x}_{size} = (ln(CS_1), ..., ln(CS_n))^T$, where $CS_i = \sqrt{(\sum_{j=1}^k \|\mathbf{x}_{ij} - \overline{\mathbf{x}}_i\|_2^2)} =$

 $\|\mathbf{X}_i\| = tr(\mathbf{X}_i \mathbf{X}_i^T)$, then $n \times (dk + 1)$ matrix of vectorized form

coordinates $X_F = (X_S : x_{size})$, and finally performing SVD of S_F

Data—human faces in 2D

- Oberzaucher, E., Katina, S., Holzleitner, I.J., Schmehl, S.F., Mehu-Blantar, I., Grammer, K., 2012: The myth of hidden ovulation: Shape and texture changes in the face during the menstrual cycle. *Journal of Evolutionary Psychology* **10**, **4**: 163–?175
- Pflüger, L.S., Oberzaucher, E., Katina, S., Holzleitner, I.J., Gramer, K., 2012: Cues to fertility: perceived attractiveness and facial shape predict reproductive success. *Evolution and Human Behaviour* 33, 6: 108–?114
- 20 young women (aged between 19 and 31) who reported to have a regular menstrual cycle and did not take any hormonal contraceptives
- standardized facial photographs—one taken in the ovulatory and one in the luteal phase
- in a forced choice task, 50 male and 50 female subjects were presented with these photographs of each participant-to pick out the more attractive, healthy, sexy, and likeable, of the two
- skin patches sized 150 × 150 pixels from the cheek and subjected them to the same forced choice task with slightly modified adjectives
- 46 landmarks and 26 semilandmarks

Data—human faces in 2D 2D Facial Analysis—two group differences

20 young women, 19 - 31yrs old, 46 + 26 (semi)landmarks

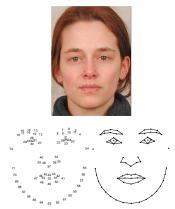


Figure: Design of facial (semi)landmarks

[Dpt. of Anthropology, University of Vienna, Vienna, Austria]

Geometric Morphometrics

2D Facial Analysis—searching for biological signal in the data

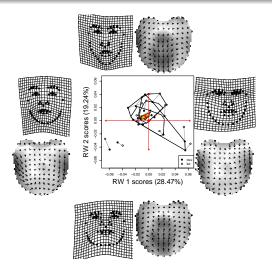


Figure: Shape space PCA—RWA of S (RW₁,RW₂ subspace)

Geometric Morphometrics 2D Facial Analysis—searching for biological signal in the data

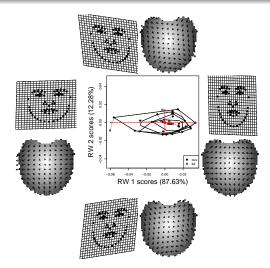


Figure: Affine subspace PCA—RWA of S_A (RW₁,RW₂ subspace)

Geometric Morphometrics

2D Facial Analysis—searching for biological signal in the data

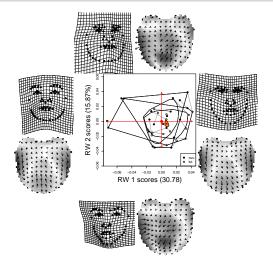


Figure: **Nonaffine** space PCA—RWA of S_{AN} (RW₁,RW₂ subspace)

Geometric Morphometrics

2D Facial Analysis—searching for biological signal in the data

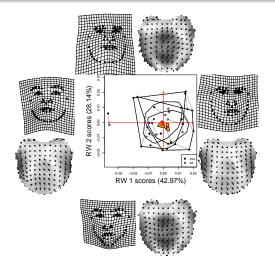


Figure: **Nonaffine** space PCA—RWA of $\mathbf{S}_{B}^{(1)}$ (RW₁,RW₂ subspace)

Geometric Morphometrics 2D Facial Analysis—searching biological signal in the data

RW 2 scores (10.36%) RW 1 scores (20.76 %)

Figure: **Nonaffine** space PCA—RWA of $\mathbf{S}_{B}^{(-1)}$ (RW₁,RW₂ subspace)

Results of RWA—estimated shapes, RW1 and RW2

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Geometric Morphometrics 2D Facial Analysis—searching biological signal in the data

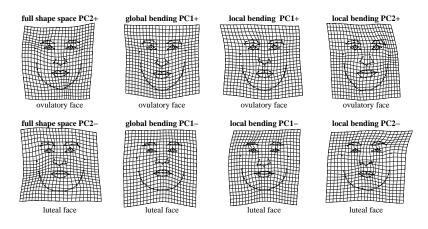


Figure: Summary of RWA/PCA analyses in all subspaces of *paired shape differences* [statistically significant RWs/PCs]

Data—human faces in 2D 2D Facial Analysis—two group differences

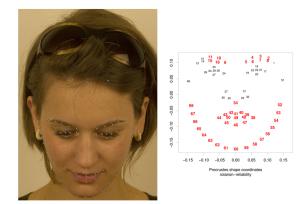


Figure: Head tilt vs reliability

2D tv?r – sn?mok z klasick?ho fotoapar?tu

Dpt. of Anthropology, University of Vienna, Vienna, Austria

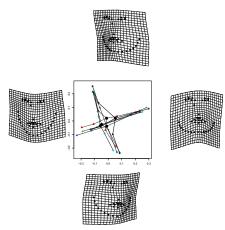


Figure: Head tilt PCA

Data—human faces in 2D

2D Facial Analysis—rural sample



Figure: Do we have other choice?

Data—human faces in 2D

2D Facial Analysis—rural sample

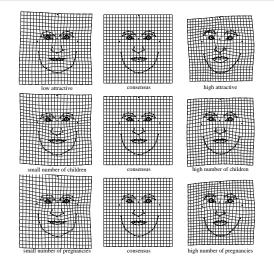
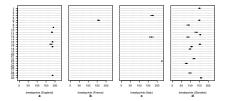
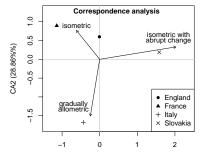


Figure: Do we have other choice?

Eco-morpology of fishes

Black bullhead (Ameiurus melas)

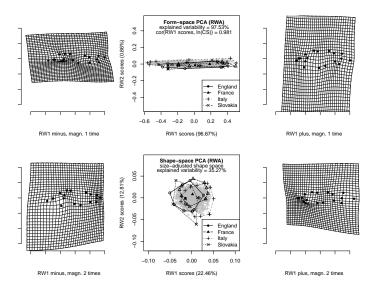




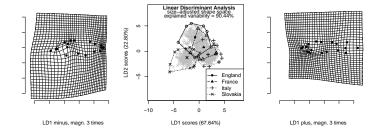


Eco-morpology of fishes

Black bullhead (Ameiurus melas)



Eco-morpology of fishes Black bullhead (*Ameiurus melas*)



Eco-morpology of fishes Black bullhead (*Ameiurus melas*)

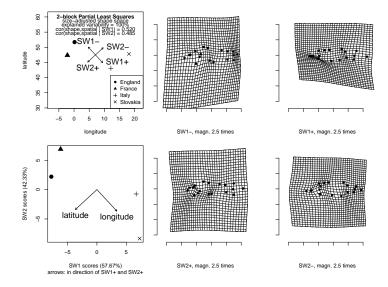




Figure: Originálny obraz metakarpu ľudskej ruky

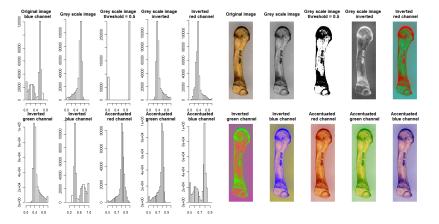


Figure: Histogramy rôznych transformácií farebných komponentov obrazu a nim zodpovedajúce obrazy metakarpu ľudskej ruky

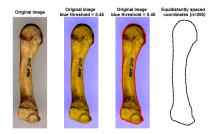


Figure: Extrahovaný obrys metakarpu ľudskej ruky

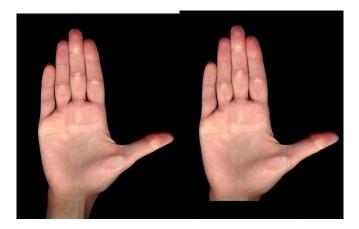


Figure: Obraz ľudskej ruky

Human Hand Closed outline

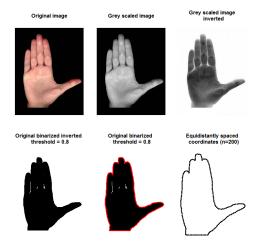


Figure: Extrakcia obrysu ľudskej ruky z vedeckej fotografie

Eco-morphology of fishes

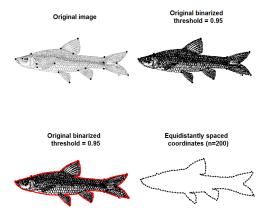


Figure: Extrakcia obrysu hrúzovca sieťovaného (Pseudorasbora parva)

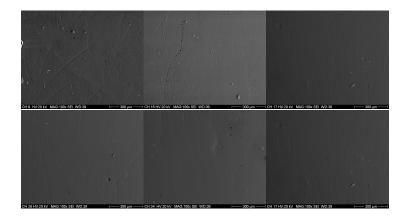
Eco-morphology of fishes



Figure: Extrakcia sumčeka čierneho (*Ameiurus melas*) z pozadia vedeckej fotografie

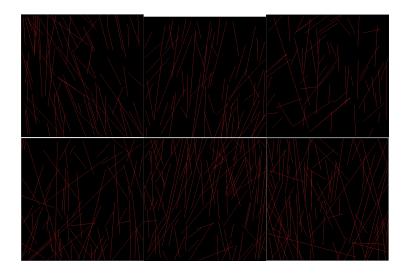
Reconstruction of human diet

Images of teeth surfaces



Reconstruction of human diet

Images of teeth surfaces



3D stereo-camera capture 2D frontal projection

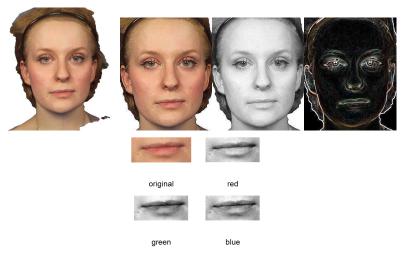
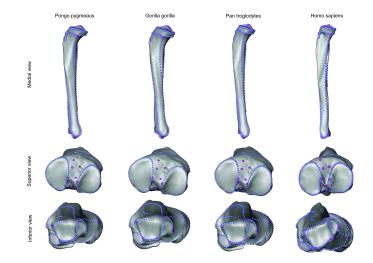
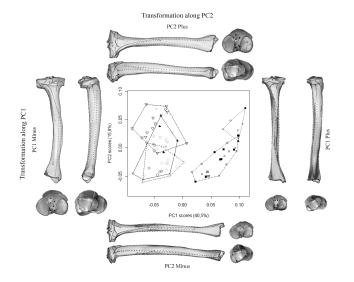


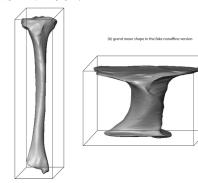
Figure: Image Processing of intensity in RGB space—2D example

2D facial imaging Image warping—Prof. Fred Bookstein, the co-founder of shape analysis

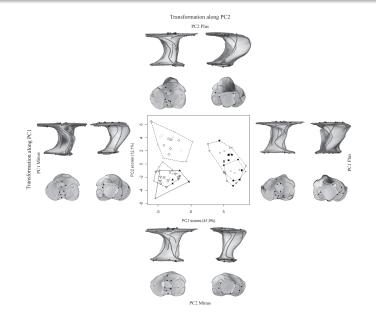








(a) grand mean shape in the original geometry



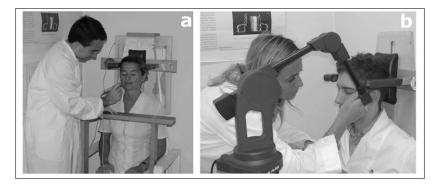
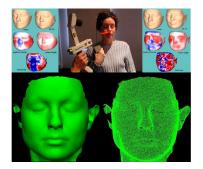


Figure: Why not?

42 pairs of laser-scanned faces \approx 60000 mesh-points triangulated with 120000 faces



Royal College of Surgeons in Ireland, Dublin; Face 3D data FastSCAN[™] Polhemus handheld 3D laser scanner

Why we study the VCFS faces?

- multiple abnormalities; extensive and variable phenotype that includes psychiatric disorders and craniofacial dysmorphology
- increased risk for psychotic illness [≈ 25-fold]; second only to having an affected monozygotic co-twin ≈ 45-fold]
- schizophrenia characterised by subtle craniofacial dysmorphology that reflects underlying disturbance in early brain development
- To what extent is craniofacial dysmorphology in VCFS similar to or different from that evident in schizophrenia?
- OVER EARLY FETAL LIFE THE BRAIN AND FACE DEVELOP IN EXQUISITE EMBRYOLOGICAL INTIMACY

3D stereo-camera capture System of 3D cameras—School of Maths & Stats, The University of Glasgow, UK



Di3D camera system

Stanislav Katina

\approx 300 stereo-photogrammetric images \approx 150000 mesh-points triangulated with 300000 faces



School of Maths & Stats, The University of Glasgow, UK; Face 3D data Di3D camera system

3D stereo-camera capture 3D facial shape—image quality



3D stereo-camera capture 3D facial shape—image quality



3D stereo-camera capture

3D facial shape—image quality

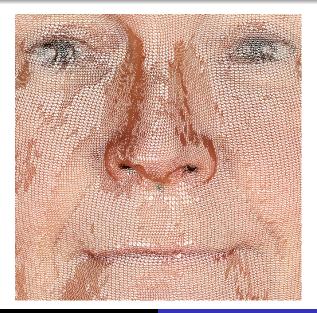


the effect of flat and smooth shading



the effect of lighting calculation in geometry

3D stereo-camera capture 3D facial shape—image quality



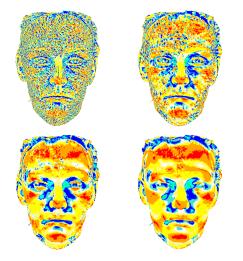
Stanislav Katina

3D face—laser-scan and stereo-camera capture Data acquisition and pre-processing

Data acquisition and pre-processing:

- Iaser-scan or stereo-camera capture—data capture protocol (questionnaire, equipment calibration, participants, and timing)
- extraction of 3D coordinates, surface normals, faces (the mixture of triangles and quadrangles), and color intensity in RGB space—from .obj, .ply, .wrl, and .jpeg files to .dmp files readable in QR; and valid .ply files (with rescaled intensity) readable in Landmark software [IDAV, University of California, Davis, US]
- image capture validation (reliability) study—selected distances measured with calipers, reconstruction of the coordinates, image landmarking



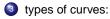


$$s = \frac{2}{\pi} \arctan(\frac{\kappa_2 + \kappa_1}{\kappa_2 - \kappa_1}) = \frac{2}{\pi} \arctan(\frac{-H}{\sqrt{H^2 - K}}), \kappa_1 \ge \kappa_2$$

3D face—anatomical curve identification Summary

Outline:

- the identification of anatomical curves, with the aim of providing a much richer characterisation of surface shape than landmarks and as a potential intermediate step to a suitable characterisation of the full anatomical surface
- curves often define the boundaries of particular anatomical features of interest, allowing the position of these to be identified and, if appropriate, extracted from the larger object for separate analysis



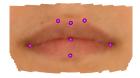
- valley curve—the curve following deepest path in the valley
- ridge curve—the curve following the ridge
- geodesic—shortest path between two (semi)landmarks
- smooth curves across the surface with "orange peel" effect—disregarding these locally noisy areas

3D face—anatomical curve identification Summary

Surface navigation

- each anatomical surface of interest—a two-dimensional manifold in three-dimensional space (a suitably oriented local surface patch)
- while moving around this manifold it is necessary to remain on the surface
- a co-ordinate system which indexes locations on this manifold, but does not index locations off the manifold, is required
- construct local co-ordinate systems through planar transects of the surface, which create one-dimensional planar curves
- this reduces the dimensionality of the problem, while allowing the information derived from these curves to be collated across the surface at a later stage





3D face—anatomical curve identification Summary

Identification of boundary points

- for each one-dimensional curve derived from the planar transects, the point of intersection with the boundary curve of interest can be identified
- these intersection points are often defined by the locations of maximum or minimum curvature
- on some occasions it is necessary to assess the evidence for whether any intersection point exists or whether there is more than one intersection point (points of interest)





 the collection of candidate boundary points provides the key information from which a boundary curve can then be constructed

3D face—anatomical curve identification

Geodesic curvature along the curve (Koenderink 1990)

How quickly the curve bends within the surface? geodesic curvature along a curve $\kappa(s)$ at the point $\{\widehat{x}(s), \widehat{y}(s), \widehat{z}(s)\}$ is defined as

 $\frac{\sqrt{\{\hat{x}''(s)\hat{y}'(s)-\hat{y}''(s)\hat{x}'(s)\}^2+\{\hat{x}''(s)\hat{z}'(s)-\hat{z}''(s)\hat{x}'(s)\}^2+\{\hat{y}''(s)\hat{z}'(s)-\hat{z}''(s)\hat{y}'(s)\}^2}{(\hat{x}'(s)^2+\hat{y}'(s)^2+\hat{z}'(s)^2)^{3/2}}$

P-spline—illustration in the case of x

Smoothing spline idea leads to the popular penalized least square regression with the familiar spline penalty on the integral of the squared second derivative (Fan & Gijbels 1996)

$$\widehat{m}_{\lambda}(\mathbf{x}) = \arg\min_{\forall \lambda \in \mathbb{R}^+} \sum_{j=1}^{k_c} \left\{ \mathbf{x}_j - \mathbf{m}(\mathbf{s}_j) \right\}^2 + \lambda \int \left\{ \mathbf{m}''(\mathbf{s}) \right\}^2 d\mathbf{x}$$

P-spline idea leads to the popular penalized least square regression with a difference penalty on coefficients of adjacent *B*-splines (Eiler & Marx 1996)

$$\widehat{m}_{\lambda}(\mathbf{x}) = \arg\min_{\forall \lambda \in \mathbb{R}^+} \sum_{j=1}^{k_c} \left\{ \mathbf{x}_j - \sum_{i=1}^m \alpha_i \mathbf{B}_i(\mathbf{s}_j) \right\}^2 + \lambda \sum_{i=d+1}^m (\Delta^d \alpha_i)^2$$

3D face—anatomical curve identification

P-spline with linear constraint—illustration in the case of x

A **p-spline curve** takes the form of a **linear regression**, $\mathbf{x} = \mathbf{B}\boldsymbol{\beta}$, where

- the columns of the design matrix B evaluate a set of local, B-spline basis functions at the values of the observed covariate
- the regression coefficients $\hat{\beta}$ minimise **the penalized sum-of-squares** $SS(\beta) = (x - B\beta)^T (x - B\beta) + \lambda \beta^T D_2^T D_2 \beta$, where the matrix D_2 creates the second differences of the elements of the β vector

Linear constraint (Seber 1977)

- to force the solution to pass through particular landmarks
- constraint A\(\beta = c\), where the columns of the matrix A evaluate the basis functions at the constraint locations and the vector c contains the constrained response values
- A has two rows which evaluate the basis functions at s_l and s_r, the arc length values at which the left and right hand corner points are located
- c is the vector (x_l, x_r)
- the constrained coefficients β̂_c are given by

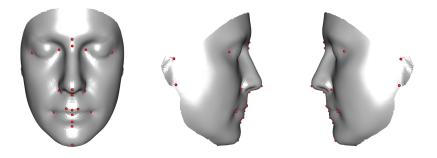
$$\hat{\beta}_{c} = \hat{\beta} + (\boldsymbol{B}^{T}\boldsymbol{B} + \boldsymbol{D}_{2}^{T}\boldsymbol{D}_{2})^{-1}\boldsymbol{A}^{T} \left[\boldsymbol{A}(\boldsymbol{B}^{T}\boldsymbol{B} + \boldsymbol{D}_{2}^{T}\boldsymbol{D}_{2})^{-1}\boldsymbol{A}^{T}\right]^{-1} (\boldsymbol{c} - \boldsymbol{A}\hat{\beta})$$

Shape constraints (Bollaerts, Eilers and van Mechelen 2006) through further use of penalty terms [in our case to adopt the anatomy of upper and lower lip to the model]

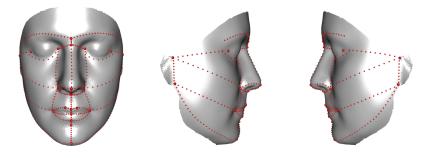
- the penalty for monotonicity is $\kappa\beta^T D_1^T V_1 D_1\beta$, where the matrix D_1 constructs *the first differences* of the elements of β and the matrix V_1 is diagonal with elements which are 1 when the required monotonicity constraint is violated and 0 otherwise
- the penalty for the second derivatives is $\kappa \beta^T D_2^T V_2 D_2 \beta$, where the matrix V_2 is diagonal with elements which are 1 when the change in *the second differences* of the elements of β has a sign which is inconsistent with the increasing/decreasing criterion for the second derivative
- the penalized sum-of-squares function is now

 $SS(\beta) = (\mathbf{x} - \mathbf{B}\beta)^{\mathsf{T}} (\mathbf{x} - \mathbf{B}\beta) + \lambda \beta^{\mathsf{T}} \mathbf{D}_{2}^{\mathsf{T}} \mathbf{D}_{2}\beta + \kappa \beta^{\mathsf{T}} \mathbf{D}_{1}^{\mathsf{T}} \mathbf{V}_{1} \mathbf{D}_{1}\beta + \kappa \beta^{\mathsf{T}} \mathbf{D}_{2}^{\mathsf{T}} \mathbf{V}_{2} \mathbf{D}_{2}\beta$

Symmetric Template Symmetrically cut symmetric mesh with landmarks

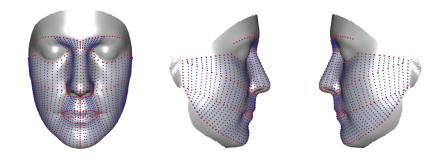


Symmetric Template Symmetrically cut symmetric mesh with landmarks and curves

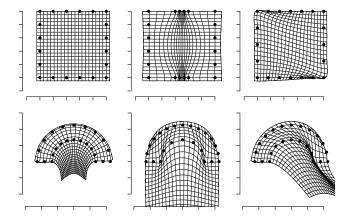


Semilandmarks on surface

Full set of anatomical curves and geodesics



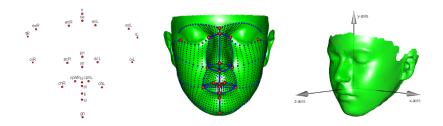
Semilandmarks on curves Sliding – minimising bending energy



Semilandmarks on surface Full set of anatomical curves and geodesics



Symmetric Template Symmetrically cut symmetric mesh

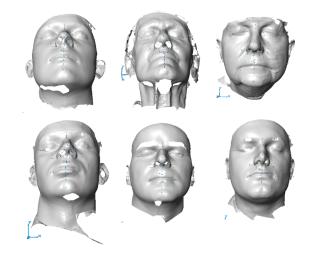


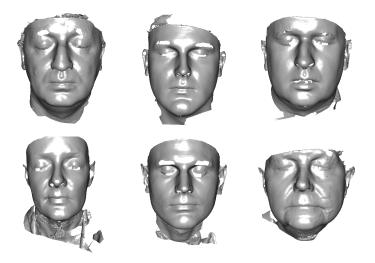
Hierarchical representation of a human face

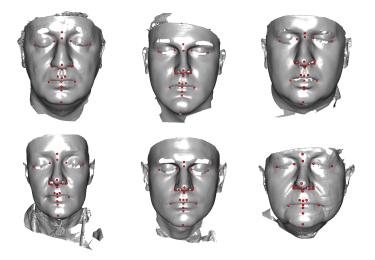
Iandmarks, curves (ridges, valleys, geodesics) [semilandmarks on curves], surfaces [semilandmarks on surfaces]

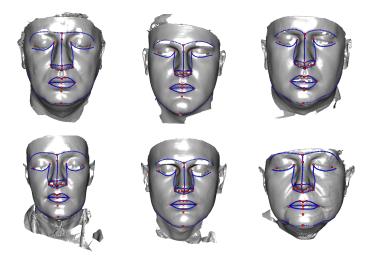
the jaw line, the boundary between the lower and upper lip and surrounding skin, the philtrum valley, the nasal profile, the boundary between the nose and surrounding skin, the nasal ridge, the boundary between the lower eyelid and the surrounding skin, the brow ridges, and some geodesics on the nose and cheeks (*the areas without valleys or ridges*) between two carefully chosen anatomical landmarks

- automatically identified by curvature in particular local surface patches, detection of slope discontinuities in local principal curves or optimised surface cuts
- a full standardised surface representation is then available by interpolation across the relatively flat surface patches between identified curves
- a high resolution template can be fitted to the semi-landmark surface by warping









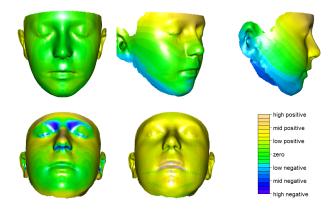






PCA for matched-pair shape data

Different types of visualisation





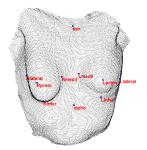


Figure: Examples of automatic breast curves identification

Breast curves

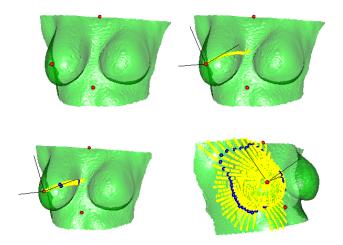


Figure: Examples of automatic breast curves identification

Breast curves

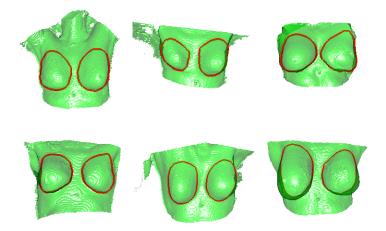
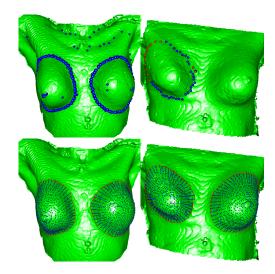


Figure: Examples of automatic breast curves identification

Breast curves



Breast curves

