

# Symmetric Promise Constraint Satisfaction Problems

## Beyond the Boolean Case

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**STACS 2021**, 17 March 2021



**CoCoSym: Symmetry in Computational Complexity**

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 771005)

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Note that  $\text{CSP}(\mathbf{A}) = \text{PCSP}(\mathbf{A}, \mathbf{A})$ .

# Examples of CSPs and PCSPs

Many computational problems, such as 3-coloring and 3SAT, can be expressed in the language of CSPs: 3-coloring corresponds to the CSP over the clique on three vertices –  $\text{CSP}(\mathbf{K}_3)$  – and 3SAT corresponds to the CSP over a binary domain with all ternary relations.

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Since PCSP is a generalization of CSP, these problems can also be expressed in the language of PCSPs. Moreover, PCSP is capable of expressing a vast number of additional problems, such as the problem of finding an  $l$ -coloring of a  $k$ -colorable graph when  $k \leq l$  –  $\text{PCSP}(\mathbf{K}_k, \mathbf{K}_l)$ .

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CSPs are known to have a hardness dichotomy – all CSPs are either NP-complete or in P (Bulatov, Zhuk '17). No such dichotomy has yet been shown for PCSPs. The strongest classification result obtained so far in this direction is the dichotomy theorem over Boolean *symmetric* templates, i.e., templates whose relations are all invariant under permutations of coordinates (Brakensiek, Guruswami '18, Ficak et al. '19).

# Our Template – PCSP(**1in3**, **B**)

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These problems have a hypergraph coloring interpretation: given a 3-uniform hypergraph that is **1in3**-colorable (that is, each vertex can be assigned a color from  $\{0, 1\}$  so that there is exactly one 1 appearing in each hyperedge), find a **B**-coloring (that is, a coloring by  $B$  such that the three colors appearing in each hyperedge are from  $R$ ).

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So we consider symmetric relational structures with a single ternary relation. We introduce shorthand to describe the structures of this form: to each such structure  $\mathbf{B} = (B; R)$  we associate *its digraph* by taking  $B$  as the vertex set and including the arc  $b \rightarrow b'$  if and only if  $(b, b, b') \in R$ . By  $\mathbf{B}^+$  we denote the structure obtained from  $\mathbf{B}$  by adding to  $R$  all the tuples  $(b, b', b'')$  with  $|\{b, b', b''\}| = 3$ .







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





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


So, for example, **1in3** becomes  $\rightarrow$  and **NAE**, the relation corresponding to Not-All-Equal 3SAT, becomes  $\leftrightarrow$ .

# Diagrams of Three Element Symmetric Structures

|                    |   |   |   |   |  |   |
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| Diagram            |  |  |  |  |  |  |
| Structure <b>B</b> | <b>1in3</b>   | <b>NAE</b>  | <b>D<sub>1</sub></b>  | <b>D<sub>2</sub></b>  | <b>T<sub>1</sub></b>   | <b>T<sub>2</sub></b>  |

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# The Hierarchy of Three Element Symmetric Structures

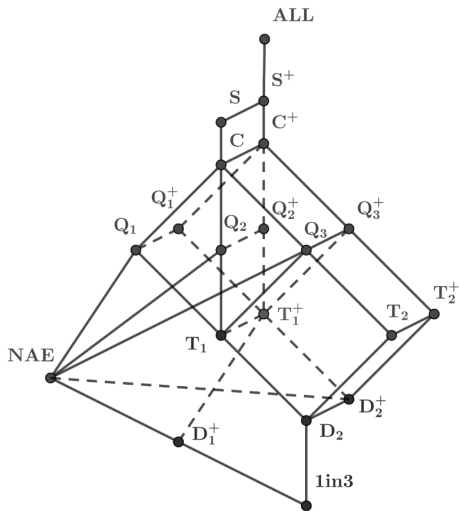


Figure: The templates  $\mathbf{B}$  ordered by the relation  $\mathbf{B} \leq \mathbf{B}'$  if  $\mathbf{B} \rightarrow \mathbf{B}'$ .

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## Theorem

Let  $(\mathbf{1in3}, \mathbf{B})$  be a PCSP template, where  $\mathbf{B}$  has domain-size three.

- If  $\mathbf{NAE} \rightarrow \mathbf{B}$  or  $\mathbf{T}_2 \rightarrow \mathbf{B}$ , then  $\text{PCSP}(\mathbf{1in3}, \mathbf{B})$  is in  $P$ .

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- If  $\mathbf{B} \rightarrow \mathbf{T}_1$  or  $\mathbf{B} \rightarrow \mathbf{D}_1^+$  or  $\mathbf{B} \rightarrow \mathbf{D}_2^+$ , then  $\text{PCSP}(\mathbf{1in3}, \mathbf{B})$  is NP-hard.



# Three Element Symmetric Structures – Hierarchy of Results

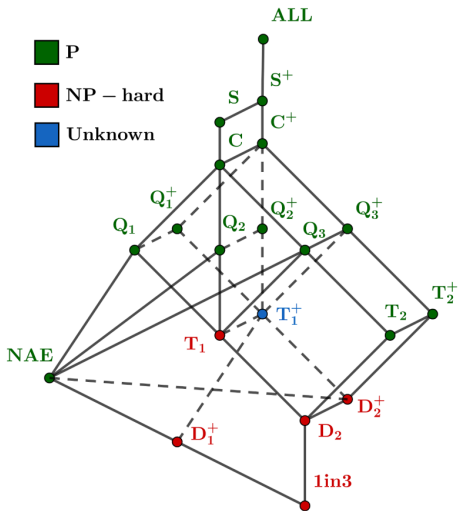


Figure: The templates  $\mathbf{B}$  ordered by the relation  $\mathbf{B} < \mathbf{B}'$  if  $\mathbf{B} \rightarrow \mathbf{B}'$ .

# Preliminaries – Polymorphisms

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## Definition (Polymorphism)

Let  $(\mathbf{A}, \mathbf{B})$  be a PCSP template. A mapping  $f : A^n \rightarrow B$  is a *polymorphism of arity  $n$*  if, for each pair of corresponding relations  $R_i$  and  $R'_i$  in the signatures of  $\mathbf{A}$  and  $\mathbf{B}$ , respectively, and any  $(r_{1,1}, r_{2,1}, \dots, r_{n,1}), \dots, (r_{1,\text{ar}_i}, r_{2,\text{ar}_i}, \dots, r_{n,\text{ar}_i})$  with  $(r_{j,1}, r_{j,2}, \dots, r_{j,\text{ar}_i}) \in R_i$  for all  $j \in [n]$ , we have  $(f(r_{1,1}, r_{2,1}, \dots, r_{n,1}), \dots, f(r_{1,\text{ar}_i}, r_{2,\text{ar}_i}, \dots, r_{n,\text{ar}_i})) \in R'_i$ .

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Let  $f : A^n \rightarrow B$ ,  $\alpha : [n] \rightarrow [m]$  be mappings. A *minor* of  $f$  given by  $\alpha$  is the mapping  $f^\alpha : A^m \rightarrow B$  defined by

$$f^\alpha(a_1, \dots, a_m) = f(a_{\alpha(1)}, \dots, a_{\alpha(n)})$$

for every  $a_1, \dots, a_m \in A$ . A function  $g : A^m \rightarrow B$  is a *minor of  $f$*  if  $g = f^\alpha$  for some  $\alpha$ .

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The significance of polymorphisms and minors stems from the fact that the computational complexity of  $\text{PCSP}(\mathbf{A}, \mathbf{B})$  depends only on the set of all polymorphisms of the template  $(\mathbf{A}, \mathbf{B})$ . This set is a *minion*, i.e., it is closed under taking minors.

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## Definition (Chain of Minors)

A *chain of minors* is a sequence of the form  $(f_0, \alpha_{0,1}, f_1, \alpha_{1,2}, \dots, \alpha_{l-1,l}, f_l)$  where  $f_0, \dots, f_l : A^{n_i} \rightarrow B$ ,  $\alpha_{i-1,i} : [n_{i-1}] \rightarrow [n_i]$ , and  $f_{i-1}^{\alpha_{i-1,i}} = f_i$  for every  $i \in [l]$ . We write  $\alpha_{i,j} : [n_i] \rightarrow [n_j]$  for the composition of  $\alpha_{i,i+1}, \alpha_{i+1,i+2}, \dots, \alpha_{j-1,j}$ . Note that  $f_i^{\alpha_{i,j}} = f_j$ .



# The NP-Hardness Criterion

## Theorem (Brandts, Wrochna, Živný '20)

Let  $(\mathbf{A}, \mathbf{B})$  be a PCSP template. Suppose there are constants  $k, l \in \mathbb{N}$  and an assignment of a set of at most  $k$  coordinates  $\text{sel}(f) \subseteq [\text{ar}(f)]$  to every polymorphism  $f$  of  $(\mathbf{A}, \mathbf{B})$  such that for every chain of minors  $(f_0, \alpha_{0,1}, \dots, f_l)$  with each  $f_i$  a polymorphism of  $(\mathbf{A}, \mathbf{B})$ , there are  $0 \leq i < j \leq l$  such that  $\alpha_{i,j}(\text{sel}(f_i)) \cap \text{sel}(f_j) \neq \emptyset$  (or, equivalently,  $\text{sel}(f_i) \cap \alpha_{i,j}^{-1}(\text{sel}(f_j)) \neq \emptyset$ ). Then  $\text{PCSP}(\mathbf{A}, \mathbf{B})$  is NP-hard.

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Our general approach to showing NP-hardness relies on observing key properties of the polymorphisms for a given template, and using these properties to define “types” of polymorphisms. We then analyze a chain of minors based on these types, and apply the criterion. This is similar to the “smug sets” approach in BWZ '20.

# PCSP( $\mathbf{1in3}$ , $\mathbf{D}_2^+$ )

For our example proof, we consider PCSP( $\mathbf{1in3}$ ,  $\mathbf{D}_2^+$ ), where  $\mathbf{D}_2^+ = (\{0, 1, 2\}, R)$  and  $R$  consists of all the permutations of the tuples  $(0, 0, 1)$ ,  $(1, 1, 2)$ , and  $(0, 1, 2)$ .

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## Lemma

Let  $X$  and  $Y$  be disjoint subsets of  $[n]$ .

(a) If  $f(\emptyset) = 0$ ,  $f(X) = 0$ , and  $f(Y) \in \{0, 2\}$ , then  $f(X \cup Y) \in \{0, 2\}$ .

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## Lemma

*If  $f(\emptyset) = 1$ , then there exists a 0-set or a 2-set of size at most 2.*

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If this conjecture holds, there is a unique source of hardness for our templates.

# Larger Domains

For a 4-element  $B$ , the conjecture would resolve all the cases with the exception of the interval between  $\check{C}$  and  $\check{C}^+$ , where  $\check{C}$  is given by the relation containing the tuples  $(0, 0, 1)$ ,  $(1, 1, 2)$ ,  $(2, 2, 3)$ ,  $(3, 3, 0)$  and their permutations, and  $\check{C}^+$  is given by the same relation with all the “rainbow” tuples  $(i, j, k)$  such that  $|\{i, j, k\}| = 3$ .

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## Theorem

*PCSP( $\mathbf{1in3}, \check{\mathbf{C}}$ ) is NP-hard. The template  $(\mathbf{1in3}, \check{\mathbf{C}}^+)$  does not have a block symmetric polymorphism with two blocks of sizes 23 and 24 (and therefore fails to satisfy the known sufficient condition for tractability in PCSPs from, e.g. Brakensiek, Guruswami '20).*



# Conjectures

Let  $\mathbf{LO}_k$  denote the  $k$ -element domain structure whose relations are permutations relations  $(b, b, c)$  where  $b < c$  in the linear order  $0 < 1 < 2 < \dots < k - 1$ .

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Negative resolution of this conjecture would also be valuable – it would require a polynomial-time algorithm that has not yet been used for PCSPs.

Thank you for your time!