## Algebras with few subpowers are finitely related

Erhard Aichinger, Peter Mayr, Ralph McKenzie

CAUL, Lisbon, Portugal JKU Linz, Austria stein@cii.fc.ul.pt

Praha, June 2010

Aichinger, Mayr, McKenzie (CAUL, Lisbon, Algebras with few subpowers are fin. relatec

#### Algebras

## What is the number of algebras on a finite set?

On A finite,  $|A| \ge 2$ , there are:

- $\omega$  distinct finitary operations,
- $2^{\omega}$  distinct algebraic structures.

Many, like the Boolean lattice  $\langle A, \wedge, \vee, \neg \rangle$  and the Boolean ring  $\langle A, +, \cdot, 1 \rangle$ , have the same term functions. They are **term equivalent**.

#### Fact

On A finite, there are:

- $\omega$  term inequivalent algebras if |A| = 2 (Post, 1941),
- $2^{\omega}$  term inequivalent algebras if  $|A| \ge 3$  (Yanov, Muchnik, 1959).

## Question (McKenzie, Rosenberg 1988)

How many finite, term inequivalent algebras generate a congruence permutable (CP) variety?

## Clones of term functions

#### Definition

 $\operatorname{Clo}(\mathsf{A})$ ...all finitary term functions on an algebra  $\mathsf{A} := \langle \mathsf{A}, \mathsf{F} \rangle$ 

#### Remark

 $Clo(\mathbf{A})$  contains all finitary projections on A and is closed under composition. Such a set of functions is called a **clone** on A.

#### Example

$$\begin{aligned} &\operatorname{Clo}(\mathbb{Z}_5,+)\ldots(x_1,x_2,x_3)\mapsto 2x_1+3x_2\\ &\operatorname{Clo}(\{0,1\},\wedge,\vee)\ldots(x_1,x_2,x_3)\mapsto (x_1\wedge x_2)\vee x_3 \end{aligned}$$

伺 ト イヨト イヨト

#### Relations

# Describing functions by invariant relations

## $\mathbb{S}(\mathbf{A})$ ... subuniverses of $\mathbf{A}$

## Fact

- Every  $f \in Clo(\mathbf{A})$  preserves every  $R \in S(\mathbf{A}^n)$ .
- ② If A is finite and  $f : A^k \to A$  preserves every  $R \in S(\mathbf{A}^n)$  for every  $n \in \mathbb{N}$ , then  $f \in Clo(\mathbf{A})$ .

## Definition

 $R \subseteq A^n \dots$  n-ary relation  $\mathcal{R} \dots$  set of finitary relations on A $\operatorname{Pol}(\mathcal{R}) \dots$  functions that preserve every  $R \in \mathcal{R}$  (polymorphisms) A (resp.  $\operatorname{Clo}(A)$ ) is finitely related if  $\exists$  finite  $\mathcal{R}$ :  $\operatorname{Clo}(A) = \operatorname{Pol}(\mathcal{R})$ .

## Theorem (Baker, Pixley, 1975)

Lattices (more general, algebras with NU-term) are finitely related.

## Are Malcev algebras finitely related?

Theorem (Malcev, 1954)  $\mathbb{HSP}(\mathbf{A})$  is CP iff  $\exists m \in Clo_3(\mathbf{A}) \ \forall x, y \in A$ :

$$m(x, y, y) = m(y, y, x) = x$$
 (Malcev term).

#### Example

For a group 
$$\langle G, +, -, 0 \rangle$$
 consider  $m(x, y, z) := x - y + z$ .

## Question (McKenzie, Rosenberg 1988, Idziak 1999)

Let C be a clone with Malcev operation on a finite set. Is C fin. related? Verified in special cases, eg. Idziak 1999, Bulatov 2001, Kearnes, Szendrei 2005, Aichinger, Mudrinski 2008, M 2008.

2009: Yes, if C contains all constants (Aichinger, to appear Proc. AMS).

# An overview of Malcev conditions

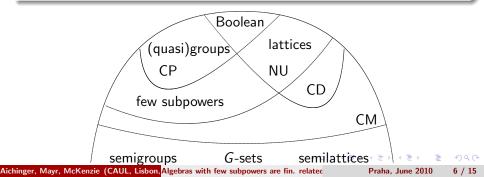
#### Definition

**A**, finite, has **few subpowers** if  $\exists$  polynomial  $p \forall n \in \mathbb{N}$ :  $|\mathbb{S}(\mathbf{A}^n)| \leq 2^{p(n)}$ .

The set  $\mathbf{A} := \langle A, \emptyset \rangle$  has many subpowers,  $|\mathbb{S}(\mathbf{A}^n)| = 2^{|A|^n}$ .

Fact (Idziak, Markovic, McKenzie, Valeriote, Willard, 2007)

If **A** has few subpowers, then  $CSP(\mathbf{A})$  is tractable.



## The result

## Theorem (Aichinger, M, McKenzie, manuscript 2009)

Every finite algebra with few subpowers is finitely related.

This applies to algebras with Malcev term and generalizes the Baker-Pixley Theorem for algebras with NU-term.

#### Corollary

On A finite, there exist at most countably many term inequivalent algebras with few subpowers (in particular, with Malcev term).

## How to represent functions on a group

Let *C* be a clone on 
$$A := \{0, ..., n-1\}$$
.  
 $\leq_{lex} ...$  lexicographical order on  $A^k$   
 $f \in C_k$  jumps to *c* at  $\bar{a} \in A^k$  if  $\forall \bar{x} <_{lex} \bar{a} : f(\bar{x}) = 0$  and  $f(\bar{a}) = c$ .

#### Lemma 1

Let  $G \subseteq C_k$  such that  $\forall f \in C_k \forall \bar{a} \in A^k \forall c \in A$ : if f jumps to c at  $\bar{a}$ , then  $\exists g \in G$  that jumps to c at  $\bar{a}$ . If C contains a group operation +, then G generates  $C_k$  as subgroup of  $\langle A, + \rangle^{A^k}$ .

Problem: What is the connection between jumpy functions in  $C_k$  and  $C_l$ ?

# Embedding order on words

#### Definition

```
\bar{a}, \bar{b} \in A^+ \dots words over A
\bar{a} \leq_E \bar{b} if \bar{b} is obtained from \bar{a} by inserting letters after their first occurence in \bar{a}.
```

## Example

```
hedgo \leq_E hedgehog
an \not\leq_E ant
```

## Lemma 2 (cf. Higman's Theorem, 1952)

Let A finite. Then  $\langle A^+, \leq_E \rangle$  is partially ordered with (DCC) and without infinite antichains (i.e., well partially ordered).

## Ordering jumps

#### Lemma 3

Let *C* be a clone on 
$$\{0, ..., n-1\}$$
.  
If  $f \in C$  jumps to *c* at  $\overline{b}$ , then  $\forall \overline{a} \leq_E \overline{b} \exists f' \in C$  that jumps to *c* at  $\overline{a}$ .

#### Example

Let 
$$\bar{a} := (h, e, d, g, o), \ \bar{b} := (h, e, d, g, \frac{e}{h}, o, \frac{e}{g}).$$

$$f'(x_1, x_2, x_3, x_4, x_5) := f(x_1, x_2, x_3, x_4, x_2, x_1, x_5, x_4)$$

satisfies  $f'(\bar{a}) = f(\bar{b})$ . If  $(x_1, x_2, x_3, x_4, x_5) <_{lex} \bar{a}$ , then  $(x_1, x_2, x_3, x_4, x_2, x_1, x_5, x_4) <_{lex} \bar{b}$  and  $f'(x_1, x_2, x_3, x_4, x_5) = 0$ .

## A finite representation of all jumps

Let C be a clone on  $A := \{0, \ldots, n-1\}$ . For  $c \in A$ , let

$$\lambda(c) := \{ ar{a} \in A^+ \mid \ 
ot \exists f \in C \colon f \text{ jumps to } c \text{ at } ar{a} \}.$$

- $\lambda(c)$  is upward closed wrt.  $\leq_E$  (Lemma 3).
- 2 λ(c) is determined by its finitely many minimal elements (Lemma 2).
  3 Then

$$m := \max_{c \in A} \{ |\bar{a}| \mid \bar{a} \text{ is minimal wrt. } \leq_E \text{ in } \lambda(c) \}$$

is finite.

- 4 緑 6 4 日 6 4 日 6 - 日

#### Generating clones

# $C_m$ determines C

#### Recall

$$\begin{split} \lambda(c) &= \{ \overline{a} \in A^+ \mid \ \ \nexists f \in C : f \text{ jumps to } c \text{ at } \overline{a} \} \\ m &= \max_{c \in A} \{ |\overline{a}| \mid \overline{a} \text{ is minimal wrt. } \leq_E \ \text{ in } \lambda(c) \} \end{split}$$

#### Claim

If C contains a group operation, then C is equal to the greatest clone Don A with  $D_m = C_m$  (Note  $C \subseteq D = \operatorname{Pol}(\{C_m\})$ ).

**1** If  $\overline{b} \in \lambda(c)$ , then  $\exists \overline{a} \in \lambda(c)$ :  $\overline{a} \leq_F \overline{b}$  and  $|\overline{a}| \leq m$  (Lemma 3). Since  $D_m = C_m$ ,  $\exists f \in D$  that jumps to c at  $\bar{a}$  (or at  $\bar{b}$ ).

Conversely, all jumps in D are already witnessed in C.

3 Hence C = D (Lemma 1), and C is finitely related.

#### Edge terms

# Combining NU and Malcev operations

#### Definition

For  $k \ge 2$ ,  $t: A^{k+1} \to A$  is a *k*-edge operation if for all  $x, y \in A$ 

$$t\begin{pmatrix} y & y & x & x & \cdots & x \\ y & x & y & x & & x \\ x & x & x & y & & x \\ \vdots & & & \ddots & \vdots \\ x & x & x & x & \cdots & y \end{pmatrix} = \begin{pmatrix} x \\ x \\ x \\ \vdots \\ x \end{pmatrix}.$$

#### Example

If f is k-NU, then  $t(x_1, \ldots, x_{k+1}) := f(x_2, \ldots, x_k)$  is k-edge. t is a 2-edge operation iff m(x, y, z) := t(y, x, z) is Malcev.

Theorem (Berman, Idziak, Markovic, McKenzie, Valeriote, Willard, 2008) A finite algebra **A** has few subpowers iff **A** has an edge term.

Aichinger, Mayr, McKenzie (CAUL, Lisbon, Algebras with few subpowers are fin. related

Praha, June 2010 13 / 15

# How to represent functions on an algebra with few subpowers

Let *C* be a clone on  $A := \{0, \ldots, n-1\}$ . (*c*, *d*) is a **splitting pair at**  $\bar{a} \in A^m$  in  $C_m$  if  $\exists f, g \in C_m \forall \bar{x} <_{lex} \bar{a}$ :  $f(\bar{x}) = g(\bar{x})$  and  $(f(\bar{a}), g(\bar{a})) = (c, d)$ .

Lemma (Berman, Idziak, Markovic, McKenzie, Valeriote, Willard, 2008) Let C be a clone with k-edge term t, let  $G \subseteq C_m$  such that  $\forall T \subseteq A^m, |T| < k : G|_T = C_m|_T$ , and  $\forall \overline{a} \in A^m$ : every splitting pair at  $\overline{a}$  in  $C^m$  is a splitting pair at  $\overline{a}$  in G.

Then G generates  $C_m$  as subalgebra of  $\langle A, t \rangle^{A^m}$ .

## Problems

#### Question

- Given a clone C with Malcev operation on A, finite. Find a relation R such that C = Pol({R}).
   Possible in special cases by "constructive pre-AMM proofs".
- Given functions f<sub>1</sub>,..., f<sub>n</sub> on A and a relation R on A, finite.
   Is Clo((A, f<sub>1</sub>,..., f<sub>n</sub>)) = Pol({R}) decidable?
- (Valeriote) Let A finite in a CM variety with Clo(A) finitely related. Does A have few subpowers?
   Barto, 2009: Yes, if HSP(A) is CD (Zadori's conjecture).