

*Proof.* Let  $\phi(x)$  be a  $\Sigma_i^b$ -formula in prenex normal form. We want to show that  $\phi$  is in  $S_2^i$  equivalent to a formula  $\psi$  in prenex form, in which all sharply bounded quantifiers follow after all bounded but not sharply bounded ones. Let

$$\forall i \leq |t| \exists y \leq s, \theta(i, y)$$

be a subformula of  $\phi$ : That is,  $\theta$  is a  $\Sigma_i^b$ -formula too. By the sharply bounded collection  $BB\Sigma_i^b$  available through Lemma 5.2.12 in  $S_2^i$  this subformula is equivalent to

$$\exists w \leq t \# s \forall i \leq |t|, \theta(i, (w)_i)$$

This demonstrates how to switch a pair of sharply bounded/bounded quantifiers. Repeating this yields  $\psi$ .

To get from  $\psi$  a strict  $\Sigma_i^b$ -formula we only have to replace two consecutive occurrences of the same bounded, but not sharply bounded quantifier by one; but this is easily achieved by a pairing function that can be defined by using the function  $(w)_i$ . Q.E.D.

**Lemma 5.2.15.** *The theory  $S_2$  is a conservative extension of the theory  $I\Delta_0 + \Omega_1$ . That is: Any formula in language  $L_{PA}$  provable in  $S_2$  is also provable in  $I\Delta_0 + \Omega_1$ .*

*In fact, every model  $M$  of  $I\Delta_0 + \Omega_1$  can be expanded to a model of  $S_2$ .*

*Proof.* The theory  $S_2$  defines the function  $\omega_1(x)$  and proves the axiom  $\Omega_1$ : This follows from a trivial bound

$$\omega_1(x) \leq ((x\#x) + 2)^2$$

This shows that  $I\Delta_0 + \Omega_1 \subseteq S_2$ .

Let  $M$  be a model of  $I\Delta_0 + \Omega_1$ . By Theorem 3.2.6 there is a  $\Delta_0$ -definition of the graph of exponentiation and by the remark before Lemma 5.1.5 there is such definition for which  $I\Delta_0$  can prove the recursive equations. It follows that  $I\Delta_0$  can also  $\Delta_0$ -define the graph of the function  $a\#b$ , and from the bound

$$a\#b \leq \omega_1(a \cdot b + 2)$$

it follows that  $I\Delta_0 + \Omega_1$  proves the totality of  $a\#b$ . The axioms of BASIC pose no problem; nor do the  $\Delta_0$ -definitions of  $|x|$  and  $\lfloor (x/2) \rfloor$ . This demonstrates that  $M$  can be extended by functions to obey BASIC, and from the fact that they are  $\Delta_0$ -definable in  $M$  it follows that induction will hold for all bounded formulas in the expanded language. Q.E.D.

### 5.3. Theory PV

Building on an earlier work of Bennett (1962), Cobham (1965) characterized the class of polynomial time functions in the following “machine independent” way.

We say that a function  $f$  is defined from functions  $g$ ,  $h_0$ ,  $h_1$ , and  $\ell$  by *limited recursion on notation* if:

1.  $f(\bar{x}, 0) = g(\bar{x})$ ,
2.  $f(\bar{x}, s_i(y)) = h_i(\bar{x}, y, f(\bar{x}, y))$ , for  $i = 0, 1$ ,
3.  $f(\bar{x}, y) \leq \ell(\bar{x}, y)$ ,

where  $s_0(y)$  and  $s_1(y)$  are two functions adding 0, respectively 1, to the right of the binary representation of  $y$

$$s_0(y) := 2y$$

$$s_1(y) := 2y + 1$$

**Theorem 5.3.1** (Cobham 1965). *The class of polynomial time functions is the smallest class of functions containing constant 0, functions  $s_0(y)$ ,  $s_1(y)$  and  $x\#y$ , and closed under:*

1. *permutation and renaming of variables*
2. *composition of functions*
3. *limited recursion on notation*

We might note at this point that it is possible to enlarge basic functions by finitely many polynomial time functions such that requirement 3 becomes redundant in the theorem: That is, the class of polynomial time functions has a *finite basis* (cf. Muchnik 1970).

Building on this theorem Cook (1975) defined formal system PV (for *polynomially verifiable*). There are two motivations for considering a system like that: One is its relation to the *extended Frege* system (Corollary 9.2.4); another is more philosophical, to define a system in which instances of general proofs can be verified by constructive, computationally feasible procedures.

**Definition 5.3.2.** *We simultaneously define function symbols of rank  $k$  and PV-derivations of rank  $k$ ,  $k = 0, 1, \dots$ . The language of PV will then consist of all function symbols of any rank, and a PV-derivation will be a PV-derivation of any rank.*

- (a) *Function symbols of rank 0 are constant 0; unary  $s_0(y)$ ,  $s_1(y)$ , and  $Tr(x)$ ; and binary  $x \frown y$ ,  $x\#y$ , and  $Less(x, y)$ .*
- (b) *Defining equations of rank 0 are:*

$$Tr(0) = 0$$

$$Tr(s_i(x)) = x, \quad i = 0, 1$$

$$x \frown 0 = x$$

$$x \frown (s_i(y)) = s_i(x \frown y), \quad i = 0, 1$$

$$x\#0 = 0$$