

# Quotients - continuation

QNo 12

The easy part of the Uniformization theorem tells us that if  $X$  is a connected Riemann surface, then  $X \cong \tilde{X}/\Gamma$  where  $\tilde{X}$  is a simply connected Riemann surface and a subgroup  $\Gamma$  of  $\text{Aut}(\tilde{X})$  acts on  $\tilde{X}$  properly discontinuously. The hard part says that  $\tilde{X} = \mathbb{C}, \mathbb{C}^*$  or  $\mathbb{H}$ . We give more details on the easy part.

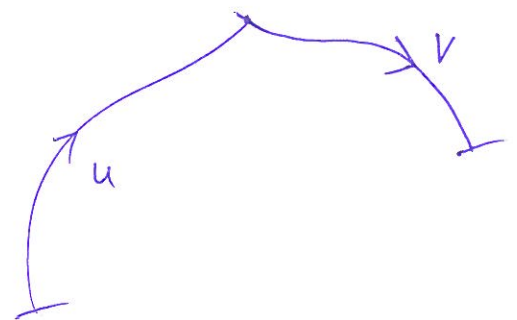
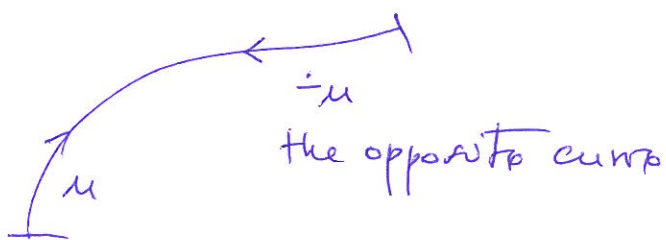
—————  $X$  —————

## Fundamental groups

Let  $X$  be a topological space,  $u: [\alpha, \beta] \rightarrow X$  and  $v: [\gamma, \delta] \rightarrow X$  be continuous curves. As usual we define

$$\begin{aligned} (-u)(t) &:= u(\beta - t), \quad t \in [0, \beta - \alpha] \text{ and} \\ (u+v)(t) &:= u(t), \quad t \in [\alpha, \beta] \\ &:= v(t - \beta + \gamma), \quad t \in [\beta, \beta - \gamma + \delta] \end{aligned}$$

whenever  $u(\beta) = v(\gamma)$ .

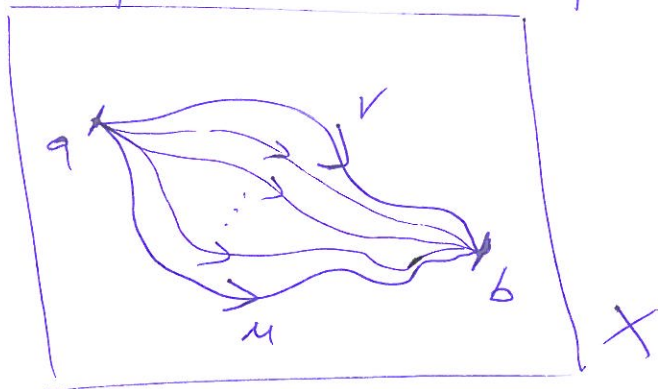


WLOG, we can assume that every curve in  $X$  is

defined ~~by~~ on  $[0, 1]$ . Otherwise, we can  
make a linear reparametrization.

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Let  $a, b \in X$  and  $\gamma, \nu: [0, 1] \rightarrow X$  be (continuous)  
curves from the point  $a$  to the point  $b$ .

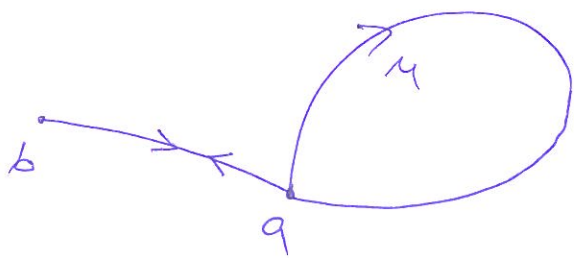


Then the curves  $\gamma, \nu$  are called homotopic (in  $X$ )  
if there is a continuous map  $A: [0, 1] \times [0, 1] \rightarrow X$   
such that, for  $u_s(t) := A(s, t)$ , we have

- (i)  $u_0 = u$
- (ii)  $u_1 = \nu$
- (iii)  $u_s(0) = a, u_s(1) = b \quad \forall s \in [0, 1]$

Remark: The curves  $\gamma, \nu$  are homotopic in  $X$  iff  
 $u$  can be continuously deformed onto  $\nu$  in  $X$ .  
We write  $u \sim \nu$ . This relation is obviously an  
equivalence.

We call  $u: [0, 1] \rightarrow X$  a loop based at Q0014  
 $a \in X$  if  $u$  is a closed continuous curve with  
 $u(0) = a = u(1)$ .



denoted by  $\pi_1(X, a)$  the set of all homotopic classes of loops in  $X$  based at  $a$ . It is easy to see that  $\pi_1(X, a)$  forms a group with the operations

$$[u] + [v] := [u+v], \quad -[u] := [-u]$$

and the neutral element given by the homotopic class of the constant loop at  $a$ .

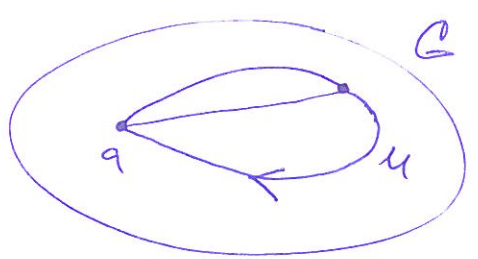
We call  $\pi_1(X, a)$  the fundamental group of  $X$  at  $a$ . If  $X$  is path-connected (i.e., every two points in  $X$  can be connected by a curve), then the groups  $\pi_1(X, a)$  and  $\pi_1(X, b)$  are isomorphic for any  $a, b \in X$ . Then we write simply  $\pi_1(X)$ .

**DEFINITION** A path-connected topological space  $X$  is called simply connected if

$$\pi_1(X) = 0,$$

i.e., every loop in  $X$  is homotopic to a constant one.

**Example** (1) Simply connected spaces:  $\mathbb{R}, \mathbb{C}, \mathbb{D}$   
 Let  $G$  be a convex domain in  $\mathbb{C}$ ,  $a \in G$  and let



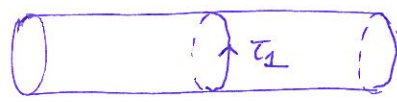
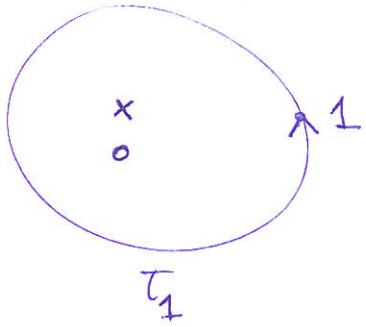
$u$  be a loop in  $G$  based at  $a$ . Then  $u$  is homotopic in  $G$  to the constant loop at  $a$ .

Indeed, use  $A(s, t) := (1-s)u(t) + sa, s, t \in [0, 1]$ .

(2)  $\pi_1(\mathbb{C} \setminus \{0\} \mid 1) = \{n[\tau_1] \mid n \in \mathbb{Z}\} \cong \mathbb{Z}$  where

$$\tau_1(t) := e^{2\pi i t} \mid t \in [0, 1]$$

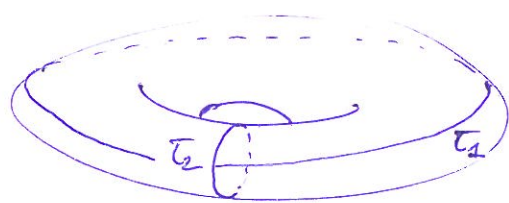
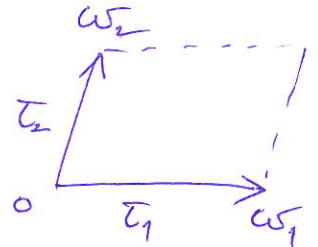
$$n[\tau_1] = \underbrace{[\tau_1] + \dots + [\tau_1]}_{n \text{ times}}$$



Here  $m = \text{ind}_0 u!$

(3)  $\pi_1(\mathbb{C}/L \mid 0) = \{n[\tau_1] + m[\tau_2] \mid n, m \in \mathbb{Z}\} \cong \mathbb{Z} \times \mathbb{Z}$

where  $L = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$



## Universal cover

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DEFINITION: Let  $X, Y$  be topological spaces.

Then a mapping  $p: Y \rightarrow X$  is called a covering map if each  $x \in X$  has a ngl.  $U$  such that

$$(*) \quad p^{-1}(U) = \bigcup_{\alpha \in I} V_{\alpha}$$

where  $V_{\alpha}, \alpha \in I$ , are pairwise disjoint open sets and, for each  $\alpha \in I$ ,  $p|_{V_{\alpha}}$  is a homeomorphism of  $V_{\alpha}$  onto  $U$ .

Remark: A covering map is a local homeomorphism but the converse is not true in general. On the other hand, a proper local homeomorphism is a covering map. Exercise

Examples (1) For  $k \in \mathbb{N}$ ,  $p_k(z) := z^k$  is a covering map of  $\mathbb{C} \setminus \{0\}$  onto  $\mathbb{C} \setminus \{0\}$ .

(2)  $\exp: \mathbb{C} \rightarrow \mathbb{C} \setminus \{0\}$  is a covering map.

(3) For  $\mathbb{R}^n$ , the canonical projection

$$p: X \rightarrow X/\Gamma$$

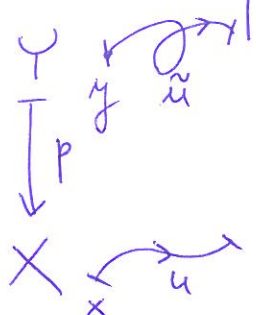
is a covering map. [This can be generalised for a topological space  $X$ .]

(4) The mapping  $p: \mathbb{D} \rightarrow \mathbb{C}$ ,  $p(z) := z$ , is a local homeomorphism but not a covering map.

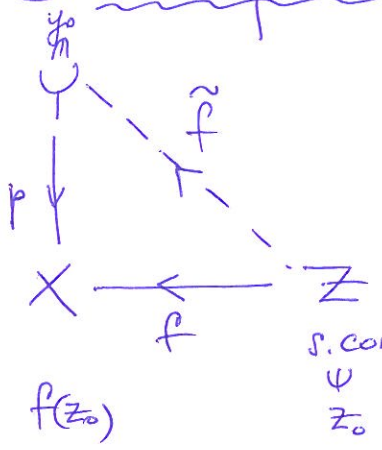
In what follows, we assume that any space  $X, Y, Z, \dots$  is a topological surface (or manifold) unless stated otherwise. | Q00 17

**Theorem 1** Let  $p: Y \rightarrow X$  be a covering map.

① Lifting of curves: Let  $u: [0, 1] \rightarrow X$  be a continuous curve,  $u(0) = x$  and  $y \in p^{-1}(x)$ . Then there is a unique continuous curve  $\tilde{u}: [0, 1] \rightarrow Y$  such that  $p \circ \tilde{u} = u$  and  $\tilde{u}(0) = y$ , the so-called lift of  $u$ .



② Lifting of continuous maps: Let  $Z$  be simply connected,  $f: Z \rightarrow X$  be continuous,  $z_0 \in Z$  and  $y_0 \in p^{-1}(f(z_0))$ . Then there is a unique continuous  $\tilde{f}: Z \rightarrow Y$  such that  $p \circ \tilde{f} = f$  and  $\tilde{f}(z_0) = y_0$ , the so-called lift of  $f$ .



Remark For  $Z = [0, 1]$  we get ①.

③ If  $X$  is simply connected and  $Y$  is connected, then  $p: Y \xrightarrow{\text{onto}} X$  is a homeomorphism. The only cover of a simply connected space is the space itself.

# Proof (sketch)

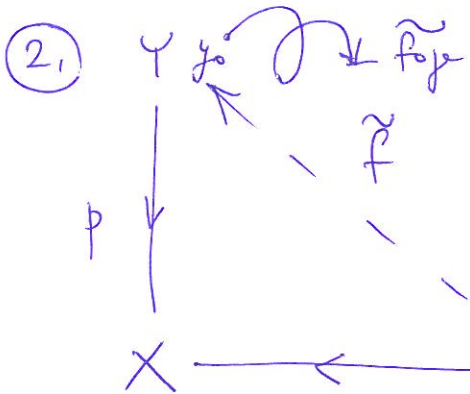
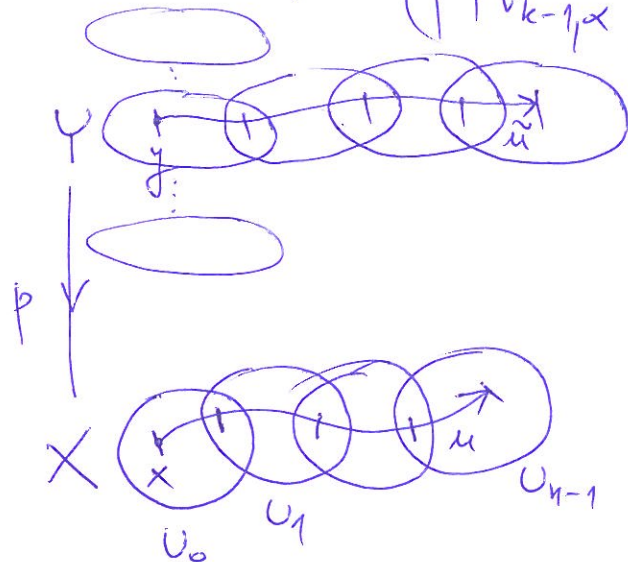
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- (1) By compactness of  $[0, 1]$ , there is a division  $0 = t_0 < t_1 < \dots < t_n = 1$  and open sets  $U_k$  in  $X$  such that (i)  $u([t_k, t_{k+1}]) \subset U_k$ ,  
 (ii)  $p^{-1}(U_k) = \bigcup_{\alpha \in I_k} V_{k,\alpha}$  as in (\*).

By induction, we construct the lift  $\tilde{u}$  as follows. Assume that we have constructed  $\tilde{u}$  on  $[0, t_{k-1}]$  and put  $y_{k-1} := \tilde{u}(t_{k-1})$ .

Since  $p(y_{k-1}) = u(t_{k-1}) \in U_{k-1}$  there is a unique  $\alpha \in I_{k-1}$  such that  $y_{k-1} \in V_{k-1,\alpha}$ .

Put  $\tilde{u}(t) := (p|_{V_{k-1,\alpha}})^{-1}(u(t))$ ,  $t \in [t_{k-1}, t_k]$ .



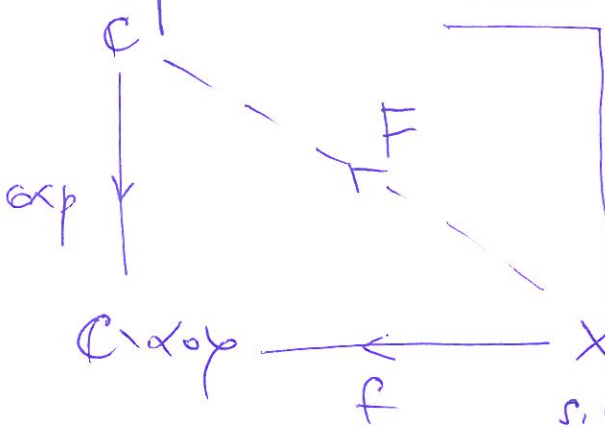
Let  $z \in Z$  and  $\gamma$  be a curve in  $Z$  from  $z_0$  to  $z$ . Put

$$\tilde{f}(z) := (\tilde{f} \circ \gamma)(1)$$

(3) follows from (2).



**Example** Let  $X$  be a simply connected  $\mathbb{R}^2$  and  $f: X \rightarrow \mathbb{C} \setminus \{0\}$  be a holomorphic map. Then the lift  $F$  of  $f$  for the covering map  $\exp: \mathbb{C} \rightarrow \mathbb{C} \setminus \{0\}$  is a holomorphic branch of logarithm of  $f$ , i.e., a holomorphic  $F: X \rightarrow \mathbb{C}$  such that  $\exp(F) = f$ .

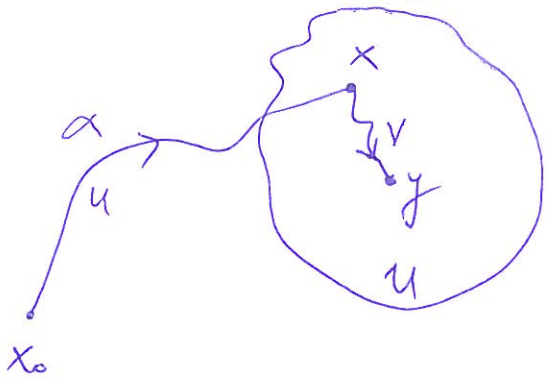


In an analogous way, we get branches of the  $k$ -th root of  $f$  for  $k \in \mathbb{N}$ .

————— X —————

**Theorem 2** Let  $X$  be a connected surface. Then there are uniquely determined (up to an isomorphism) simply connected surface  $\tilde{X}$  and a covering map  $p: \tilde{X} \rightarrow X$ . We call  $\tilde{X}$  the universal cover of  $X$ .

Construction of  $\tilde{X}$ : Let  $x_0 \in X$ . For every  $x \in X$  denote by  $\pi(x_0, x)$  the set of all homotopic classes of curves in  $X$  from  $x_0$  to  $x$ . Put



$$\tilde{X} := \{(x, \alpha) \mid x \in X, \alpha \in \pi(x_0, x)\} \text{ and } p: \tilde{X} \rightarrow X, p(x, \alpha) := x.$$



Topology on  $\tilde{X}$ : Let  $(x, \alpha) \in \tilde{X}$ .

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Let  $U \subset X$  be an open, connected and simply connected set and  $x \in U$ . Then define the set  $[U, \alpha]$  in  $\tilde{X}$  as follows:  $(y, \beta) \in [U, \alpha]$  iff  $y \in U$  and  $\beta = [u+v]$  for curves  $u, v$  in  $X$  such that  $\alpha = [u]$  and  $v$  is a curve in  $U$  connecting  $x$  and  $y$ .

Uniqueness of  $\tilde{X}$  follows from Theorem 1, (3).



For R.S., we have: Let  $X$  be a connected R.S. Let  $\phi: \tilde{X} \rightarrow X$  be the universal covering map. Then  $\tilde{X}$  is simply connected R.S. and  $X \simeq \tilde{X}/\Gamma$  where

$$\Gamma := \{g \in \text{Aut}(\tilde{X}) \mid \phi \circ g = \phi\}.$$

"g commutes with  $\phi$ "

Moreover, we have  $\Gamma \simeq \pi_1(X)$ .

[Actually, an analogue of this result is true for more general topological spaces.]

Application

Little Picard Theorem: If  $f \in \text{Hol}(\mathbb{C})$  does not attain at least two values then  $f$  is constant.

Example  $\exp(\mathbb{C}) = \mathbb{C} \setminus \{0\}$

Proof: WLOG, we can assume that

$f: \mathbb{C} \rightarrow \mathbb{C} \setminus \{0, 1\}$  is holomorphic.

We know that the universal cover of  $\mathbb{C} \setminus \{0, 1\}$  is  $\mathbb{D}$ . Then its lift  $\tilde{f}: \mathbb{C} \rightarrow \mathbb{D}$  is constant by Liouville's theorem, and so is  $f$ .

