# A few questions on nonlinear embeddings into Banach spaces. 

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## Overview

(1) Isometric embeddings

- Four fundamental results on isometries
- Other consequences of Figiel and Godefroy-Kalton
- Applications of descriptive set theory
(2) Lipschitz embeddings
(3) Coarse and uniform embeddings
(4) Metric invariants
- Examples of local properties
- Asymptotic properties
I. ISOMETRIC EMBEDDINGS.


## I.1. Four fundamental results on isometries.

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Then for all $x_{1}, . ., x_{n}$ in $X$ and all $\lambda_{1}, . ., \lambda_{n}$ in $\mathbb{R}$ :

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\left\|\sum_{k=1}^{n} \lambda_{k} U\left(x_{k}\right)\right\|_{Y} \geq\left\|\sum_{k=1}^{n} \lambda_{k} x_{k}\right\|_{X}
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In other words, there exists a linear quotient map $Q: \overline{s p}(U(X)) \rightarrow X$ such that $Q U=I_{X}$ and $\|Q\|=1$.

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Let $x \in S_{X}$ such that $\left\|\| x\right.$ is G-smooth at $x$ and denote $v_{X}^{*} \in S_{X^{*}}$ its differential.
Then $v_{x}^{*}$ is the unique 1-Lipschitz function $f: X \rightarrow \mathbb{R}$ such that $f(t x)=t$ for all $t \in \mathbb{R}$.

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Letting $t$ tend to $+\infty$ and $-\infty$, we get $v_{x}^{*}(u)=f(u)$.

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because $\mathcal{S}$ is dense in $S_{X}$.

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More precisely, if $E=T(X)$, then $P=T Q$ is a projection of norm 1 from $Y$ onto $E$ and we can decompose $Y=E \oplus \operatorname{Ker} P=Y=E \oplus \operatorname{Ker} Q$ and $\forall x \in X \quad U(x)=(T(x), U(x)-T(x))$.

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Typical example : $U: \mathbb{R} \rightarrow \ell_{\infty}^{2}, U(t)=(t, \sin t)$.

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Fundamental property: If $Y$ is a Banach space and $L: M \rightarrow Y$ is Lipschitz with $L(0)=0$, then there exists $\bar{L}: \mathcal{F}(M) \rightarrow Y$ linear such that $\|\bar{L}\|=\operatorname{Lip}(L)$ and $\bar{L} \delta_{M}=L$.

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Then $V$ extends to a linear isometry from $X$ to $Y$ so that $\beta_{X} V=I d_{X}$.

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## Questions.

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2. Let $X$ be a separable Banach space. Does $X$ admit a compact IRS subset?
3. Assume that $X$ and $Y$ are separable Banach spaces with the same compact subsets. Do they embed isometrically into each other?

## Proposition

Let $X$ be a separable Banach space and $F$ be a closed convex and total subset of $X$, with $0 \in F$. Assume that there exists an isometry $U$ from $F$ into a Banach space $Y$ such that $U(0)=0$ and

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\begin{equation*}
\forall x_{1}, . ., x_{n} \in F \quad \forall \lambda_{1}, . ., \lambda_{n} \in \mathbb{R}\left\|\sum_{k=1}^{n} \lambda_{k} U\left(x_{k}\right)\right\|_{Y} \geq\left\|\sum_{k=1}^{n} \lambda_{k} x_{k}\right\|_{X} \tag{*}
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Finally $Q \bar{U} V=\beta_{X} V=I_{X}$. So $\bar{U} V$ is a linear isometric embedding from $X$ into $Y$.

## Definition

Let $X$ be a separable Banach space and $F$ be a closed convex and total subset of $X$, with $0 \in F$. We say that $F$ has the Uniform Figiel Property (UF) if there exists $r \in(0,1]$ such that

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\forall x_{1}, . ., x_{n} \in r F \quad \forall \lambda_{1}, . ., \lambda_{n} \in \mathbb{R} \quad\left\|\sum_{k=1}^{n} \lambda_{k} U\left(x_{k}\right)\right\|_{Y} \geq\left\|\sum_{k=1}^{n} \lambda_{k} x_{k}\right\|_{X}
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## Example 1

Let $X$ be a finite dimensional polyhedral Banach space. Then $B_{X}$ has property (UF) (and is therefore IRS for $X$ ).

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For $t \in[0,1]$, we denote $\varphi_{t} \in K$ the function, which affine with slope 1 on
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& \quad=\sup _{t \in[0,1]}\left|\sum_{k=1}^{n} \lambda_{k} f_{k}(t)\right|=\left\|\sum_{k=1}^{n} \lambda_{k} f_{k}\right\|_{\infty}
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Thus $K$ has property (UF).

## Corollary - Dutrieux-L. (2008)

- The compact space $K$ is $\operatorname{IRS}$ for $C([0,1])$.


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There exists a Banach space $Y$ and an isometry $U: B=B_{\ell_{2}^{2}} \rightarrow Y$ such that $U(0)=0$ and :

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\forall r>0 \quad \exists x, y \in r B \quad\|U(x)+U(y)\| y<\|x+y\|_{2} .
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## I.3. Applications of descriptive set theory.

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Tools. Build Banach spaces $E(T)$ for all subtrees $T$ of $\omega^{<\omega}$ so that if $T$ is well founded, then $E(T)$ is strictly convex and if $T$ is not well founded, $E(T)$ is universal. Equip the set of subspaces of $E\left(\omega^{<\omega}\right)$ with the Effros-Borel structure. The set $A$ of trees $T$ such that $E(T)$ embeds into $X$ is analytic and contains all well founded trees, but the set of well founded trees is not analytic. So, there is $T$ not well founded such that $E(T)$ embeds into $X$.

Rolewicz question : Assume that a separable Banach space $X$ contains an isometric copy of every finite dimensional Banach space. Does this imply that $X$ contains an isometric copy of $C([0,1])$ ?

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Open question? Assume that a separable Banach space $X$ contains an isometric copy of every locally finite metric space. Does this imply that $X$ contains an isometric copy of $C([0,1])$ ?
II. LIPSCHITZ EMBEDDINGS.

## Definition

Let $(M, d)$ and $(N, \delta)$ be two metric spaces and $f: M \rightarrow N$. We say that $f$ is a Lipschitz embedding if there exist $A, B>0$ such that

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4) Assume that for any $M$ metric compact (or locally finite, or proper) and any $\varepsilon>0, M \stackrel{1+\varepsilon}{\hookrightarrow} X$.
Does this imply that $c_{0} \simeq Y \subset X$ ?

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So it follows from Heinrich-Mankiewicz's weak*-differentiability theorem, local reflexivity and finite representability of $X_{\mathcal{U}}$ into $X$ that $X$ uniformly contains the $\ell_{\infty}^{n}$ 's.
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## M. Ostrovskii (2012)

Let $X$ and $Y$ be two Banach spaces such that $Y$ is finitely crudely representable in $X$ and $M$ be a locally finite subset of $Y$. Then $M$ admits a bilipschitz embedding into $X$.
III. COARSE AND UNIFORM EMBEDDINGS.

## Definition

Let $(M, d)$ and $(N, \delta)$ be two unbounded metric spaces. A map $f: M \rightarrow N$ is said to be a coarse embedding if there exist two increasing functions $\rho_{1}, \rho_{2}:[0, \infty) \rightarrow[0, \infty)$ such that $\lim _{\infty} \rho_{1}=+\infty$ and

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Kalton's graphs : Let $\mathbb{M}$ be an infinite subset of $\mathbb{N}$ and $k \in \mathbb{N}$. We denote

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G_{k}(\mathbb{N})=\left\{\bar{n}=\left(n_{1}, . ., n_{k}\right), n_{i} \in \mathbb{M} n_{1}<. .<n_{k}\right\}
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We say that $\bar{n} \neq \bar{m} \in G_{k}(\mathbb{M})$ are adjacent $(\operatorname{or} d(\bar{n}, \bar{m})=1$ ) if $m_{1} \leq n_{1} \leq . . \leq m_{k} \leq n_{k}$ or $n_{1} \leq m_{1} \leq . . \leq n_{k} \leq m_{k}$.

Proof : Assume that $X$ is reflexive and fix a non principal ultrafilter $\mathcal{U}$ on $\mathbb{N}$. For a bounded function $f: G_{k}(\mathbb{N}) \rightarrow X$ we define $\partial f: G_{k-1}(\mathbb{N}) \rightarrow X$ by

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Then $\partial^{2}(f \otimes g)=\partial f \otimes \partial g \ldots . \quad \partial^{2 k}(f \otimes g)=\left\langle\partial^{k} f, \partial^{k} g\right\rangle \in \mathbb{R}$.

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Then write $\mathbb{M}_{0}=\left\{n_{1}<m_{1}<. .<n_{i}<m_{i}<..\right\}$ and set $\mathbb{M}=\left\{n_{1}<n_{2}<. .<n_{i}<..\right\}$. Thus for all $\bar{n}=\left(n_{i_{1}}, . ., n_{i_{k}}\right) \in G_{k}(\mathbb{M})$,

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\begin{aligned}
\|f(\bar{n})\|=\langle f(\bar{n}), g(\bar{n})\rangle & \leq\left|\left\langle f\left(n_{i_{1}+1}, . ., n_{i_{k}+1}\right), g\left(n_{i_{1}}, . ., n_{i_{k}}\right)\right\rangle\right|+\omega_{f}(1) \\
& \leq\left\|\partial^{k} f\right\|+\varepsilon+\omega_{f}(1)
\end{aligned}
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## Lemma 4

Let $\varepsilon>0, X$ be a separable reflexive Banach space and $I$ be an uncountable set. Assume that for each $i \in I, f_{i}: G_{k}(\mathbb{N}) \rightarrow X$ is a bounded map. Then there exist $i \neq j \in I$ and an infinite subset $\mathbb{M}$ of $\mathbb{N}$ such that

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Proof. Since $X$ is separable and $I$ is uncountable, there exists $i \neq j \in I$, $\left\|\partial^{k} f_{i}-\partial^{k} f_{j}\right\|<\varepsilon / 2$.

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Proof. Since $X$ is separable and $I$ is uncountable, there exists $i \neq j \in I$, $\left\|\partial^{k} f_{i}-\partial^{k} f_{j}\right\|<\varepsilon / 2$.
Then just apply Lemma 3 to $f_{i}-f_{j}$.

End of proof. Assume $X$ is reflexive and let $h: c_{0} \rightarrow X$ be a map which is bounded on bounded subsets of $c_{0}$. Let $\left(e_{k}\right)_{k}$ be the canonical basis of $c_{0}$.

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$\left(h \circ f_{A}\right)_{A}$ is an uncountable family of bounded maps from $G_{k}(\mathbb{N})$ to $X$.

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Problem. Describe the Banach spaces containing uniform bi-Lipschitz copies of the $G_{k}(\mathbb{N})$ 's.

## Almost Lipschitz embeddability - with F. Baudier (2015).

## Definition

Let $(M, d)$ be a metric space and $Y$ be a Banach space. We say that ( $M, d$ ) almost Lipschitz embeds into $Y$ if there exist $D \geq 1$ such that for any continuous function $\varphi:[0,+\infty) \rightarrow[0,1)$ satisfying $\varphi(0)=0$ and $\varphi(t)>0$ for all $t>0$, there exists a map $f_{\varphi}: M \rightarrow Y$ such that

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## Theorem

Let $p \in[1,+\infty], M$ a proper subset of $L_{p}$, and $Y$ a Banach space containing uniformly the $\ell_{p}^{n}$ 's. Then $M$ almost Lipschitz embeds into $Y$.

## Corollary 1

Any proper metric space almost Lipschitz embeds into any Banach space without cotype.

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## Optimality

Let $X$ be a separable Banach space. Then, there exists a compact subset $K$ of $X$ such that, whenever $K$ almost Lipschitz embeds into a Banach space $Y$, then $X$ is crudely finitely representable into $Y$.

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Tools for the embedding result.
Let $M$ be a proper subset of $L_{p}$ and $B_{k}=\left\{x \in M,\|x\|_{p} \leq 2^{k+1}\right\}, k \in \mathbb{Z}$.

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- $f_{k}=\sum_{n=1}^{\infty} 2^{-n} \varphi_{n}^{k}$ embeds $B_{k}$ into $Z$.
- Finally, we use the convex-gluing technique to define, for $x \in B_{k} \backslash B_{k-1}$ :

$$
f(x)=\lambda f_{k}(x)+(1-\lambda) f_{k+1}(x), \text { with } \lambda=\frac{2^{k+1}-\|x\|_{p}}{2^{k}} .
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## Steps of the proof of optimality.

- Let $\left(x_{n}, x_{n}^{*}\right)_{n=1}^{\infty}$ biorthogonal in $X \times X^{*}$ such that $\overline{s p}\left\{x_{n}: n \geq 1\right\}=X$. Pick a decreasing sequence $\left(a_{n}\right)_{n=1}^{\infty}$ of positive real numbers such that

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- Then, the usual argument shows that $K$ bi-Lipschitz embeds into an ultrapower of $Y$.
- Therefore $X$ linearly embeds into the bidual of the ultrapower and is therefore finitely crudely representable into $Y$.


## IV. METRIC INVARIANTS.

## Definition

Let $(M, d)$ and $(N, \delta)$ be two unbounded metric spaces. A map $f: M \rightarrow N$ is said to be a coarse Lipschitz embedding if there exist $A, B, C, D>0$ such that

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\forall x, y \in M \quad A d(x, y)-B \leq \delta(f(x), f(y)) \leq C d(x, y)+D
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In other words : the local linear properties of Banach spaces (such as type, cotype, superreflexivity...) are stable under coarse Lipschitz embeddings.

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Let $(M, d)$ and $(N, \delta)$ be two unbounded metric spaces. A map $f: M \rightarrow N$ is said to be a coarse Lipschitz embedding if there exist $A, B, C, D>0$ such that

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\forall x, y \in M \quad A d(x, y)-B \leq \delta(f(x), f(y)) \leq C d(x, y)+D
$$

We denote $M \underset{C L}{\hookrightarrow} N$.

## Ribe 1976

Let $X$ and $Y$ be two Banach spaces such that $X \underset{C L}{\hookrightarrow} Y$. Then $X$ is finitely crudely representable into $Y$ : there exists a $C \geq 1$ such that every finite dimensional subspace of $X$ is $C$-isomorphic to a subspace of $Y$.

In other words : the local linear properties of Banach spaces (such as type, cotype, superreflexivity...) are stable under coarse Lipschitz embeddings.
Ribe program (Bourgain-Lindenstrauss). Characterize the local properties of Banach spaces in purely metric terms.

## IV. 1 Examples of local properties.

## linear type et cotype

Let $X$ be a Banach space, $p \in[1,2]$ et $q \in[2,+\infty[$.
We say that $X$ is of type $p$ if there exists $C>0$ so that

$$
\forall x_{1}, . ., x_{n} \in X \quad 2^{-n} \sum_{\varepsilon_{i}= \pm 1}\left\|\sum_{i=1}^{n} \varepsilon_{i} x_{i}\right\| \leq C\left(\sum_{i=1}^{n}\left\|x_{i}\right\|^{p}\right)^{1 / p}
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## Enflo's metric type

Let $(M, d)$ be a metric space and $p \geq 1$. We say that $M$ is of metric type $p$, if there exists $C>0$ such that for all $\left(x_{\varepsilon}\right)_{\varepsilon \in\{-1,1\}^{n}} \subset M$ :

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2^{-n} \sum \text { diagonals } \leq C\left(2^{-n} \sum(\text { edges })^{p}\right)^{1 / p},
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## Bourgain-Milman-Wolfson (1986)

A metric space is of trivial metric type iff it contains uniformly bi-Lipschitz copies of the Hamming cubes $H_{n}=\left(\{-1,1\}^{n},\| \|_{1}\right)$.

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For $N \in \mathbb{N}$, denote $D_{N}=\{\emptyset\} \cup \cup_{k=1}^{N}\{0,1\}^{k}$ the dyadic tree of height $N$, equipped with its geodesic distance $\rho$.

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Idea of proof : Assume $X$ is not super-reflexive. Combine Bourgain's embedding technique of $\left(D_{2^{N}}, \rho\right)$ into $X$ with the usual convex-gluing technique.
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Similarly, there is in $X^{*}$ a modulus of weak* asymptotic uniform convexity :

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## Property $(\beta)$ of Rolewicz

We say that $X$ has property $(\beta)$ of Rolewicz if for every $t>0$, there exists $\delta>0$ such that for any $x \in B_{X}$ and any $t$-separated sequence $\left(x_{n}\right)$ in $B_{X}$ :

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\exists n \in \mathbb{N} \quad\left\|\frac{x+x_{n}}{2}\right\| \leq 1-\delta
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## Examples:

1) Uniformly convex spaces.
2) $X=\left(\sum_{n=1}^{\infty} F_{n}\right)_{\ell_{p}}$, where $\left.p \in\right] 1,+\infty\left[\right.$ and the $F_{n}$ 's are finite dimensional.

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Try to describe the (uniform) Lipschitz embeddability of the countably branching trees: $T_{N}=\{\emptyset\} \cup \cup_{k=1}^{N} \mathbb{N}^{k}$ or of $T_{\infty}=\cup_{N \in \mathbb{N}} T_{N}$ (all equipped with the geodesic distance).

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Finish it on the board.

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FIN.

