A few questions on nonlinear embeddings into Banach spaces.

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Isometric embeddings

- Four fundamental results on isometries
- Other consequences of Figiel and Godefroy-Kalton
- Applications of descriptive set theory

2 Lipschitz embeddings

3 Coarse and uniform embeddings

Metric invariants

- Examples of local properties
- Asymptotic properties

I. ISOMETRIC EMBEDDINGS.

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In other words, there exists a linear quotient map $Q : \overline{sp}(U(X)) \to X$ such that $QU = I_X$ and ||Q|| = 1.

Proof of Figiel's Theorem :

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Letting t tend to $+\infty$ and $-\infty$, we get $v_x^*(u) = f(u)$.

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because S is dense in S_X .

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More precisely, if E = T(X), then P = TQ is a projection of norm 1 from Y onto E and we can decompose $Y = E \oplus Ker P = Y = E \oplus Ker Q$ and $\forall x \in X \quad U(x) = (T(x), U(x) - T(x)).$

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Let (M, d) be a metric space with origin 0. Then

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So $B(\mathcal{F}(M), Y) \equiv Lip_0(M, Y)$ and in particular $\mathcal{F}(M)^* \equiv Lip_0(M)$. <u>Weaver - arXiv 2017.</u> If M is bounded or is complete and convex, then $Lip_0(M)$ has a unique predual.

• If X is a Banach space, then there exists a quotient map $\beta_X : \mathcal{F}(X) \to X$ such that $\|\beta_X\| \leq 1$ and $\beta_X \delta_X = Id_X$.

• The map δ_X is an isometric (non linear) lifting of β_X . Godefroy and Kalton showed that if X is separable, then β_X admits a linear isometric lifting V. Then the general case follows.

Let $(x_n)_{n=1}^{\infty} \subset X$ be linearly independent, such that $\overline{sp}(x_n) = X$ and $||x_n|| = 2^{-n}$.

Denote λ_N the Lebesgue measure on $[0, 1]^N$, $E_N = sp\{x_1, .., x_N\}$.

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Then V extends to a linear isometry from X to Y so that $\beta_X V = Id_X$.

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Definition

Let X be a Banach space and F be a subset of X. We say that F is an *isometrically representing subset* (IRS) for X if all Banach spaces containing an isometric copy of F contain a subspace (linearly) isometric to X.

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3. Assume that X and Y are separable Banach spaces with the same compact subsets. Do they embed isometrically into each other?

Let X be a separable Banach space and F be a closed convex and total subset of X, with $0 \in F$. Assume that there exists an isometry U from F into a Banach space Y such that U(0) = 0 and

$$\forall x_1, .., x_n \in F \quad \forall \lambda_1, .., \lambda_n \in \mathbb{R} \quad \left\| \sum_{k=1}^n \lambda_k U(x_k) \right\|_Y \ge \left\| \sum_{k=1}^n \lambda_k x_k \right\|_X. \quad (*)$$

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Let X be a separable Banach space and F be a closed convex and total subset of X, with $0 \in F$. We say that F has the Uniform Figiel Property (UF) if there exists $r \in (0, 1]$ such that

$$\forall x_1, .., x_n \in r F \quad \forall \lambda_1, .., \lambda_n \in \mathbb{R} \quad \left\| \sum_{k=1}^n \lambda_k U(x_k) \right\|_{Y} \geq \left\| \sum_{k=1}^n \lambda_k x_k \right\|_{X},$$

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It follows from the previous Proposition that F is (IRS) for X, whenever it has property (UF).

Let X be a separable Banach space and F be a closed convex and total subset of X, with $0 \in F$. We say that F has the Uniform Figiel Property (UF) if there exists $r \in (0, 1]$ such that

$$\forall x_1, .., x_n \in rF \quad \forall \lambda_1, .., \lambda_n \in \mathbb{R} \quad \left\| \sum_{k=1}^n \lambda_k U(x_k) \right\|_Y \ge \left\| \sum_{k=1}^n \lambda_k x_k \right\|_X,$$

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It follows from the previous Proposition that F is (IRS) for X, whenever it has property (UF).

Example 1

Let X be a finite dimensional polyhedral Banach space. Then B_X has property (UF) (and is therefore IRS for X).

Proof. Let $U: B_X \to Y$ be an isometry with U(0) = 0.

Proof. Let $U: B_X \to Y$ be an isometry with U(0) = 0. We can write $B_X = \bigcap_{i=1}^{l} \{x \in X, |x_i^*(x)| \le 1\}$, with $x_i^* \in S_{X^*}$.

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Thus K has property (UF).

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Failure of the Figiel property.

Example - J. Melleray (unpublished).

There exists a Banach space Y and an isometry $U: B = B_{\ell_2^2} \to Y$ such that U(0) = 0 and :

$$\forall r > 0 \; \exists x, y \in rB \; \|U(x) + U(y)\|_{Y} < \|x + y\|_{2}.$$

I.3. Applications of descriptive set theory.

Godefroy-Kalton (2006)

If a separable Banach space X contains an isometric copy of every separable strictly convex Banach space, then X contains an isometric copy of every separable Banach space.

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If a separable Banach space X contains an isometric copy of every separable reflexive Fréchet smooth Banach space, or if it contains an isometric copy of every separable Banach space with Fréchet smooth dual norm, then X contains an isometric copy of every separable Banach space.

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Tools. Build Banach spaces E(T) for all subtrees T of $\omega^{<\omega}$ so that if T is well founded, then E(T) is strictly convex and if T is not well founded, E(T) is universal. Equip the set of subspaces of $E(\omega^{<\omega})$ with the Effros-Borel structure. The set A of trees T such that E(T) embeds into X is analytic and contains all well founded trees, but the set of well founded trees is not analytic. So, there is T not well founded such that E(T) embeds into X.

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Open question? Assume that a separable Banach space X contains an isometric copy of every locally finite metric space. Does this imply that X contains an isometric copy of C([0, 1])?

II. LIPSCHITZ EMBEDDINGS.

Let (M, d) and (N, δ) be two metric spaces and $f : M \to N$. We say that f is a *Lipschitz embedding* if there exist A, B > 0 such that

 $\forall x, y \in M \ Ad(x, y) \leq \delta(f(x), f(y)) \leq Bd(x, y).$

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3) $c_0 \underset{L}{\hookrightarrow} X \Rightarrow c_0 \overset{1+\varepsilon}{\hookrightarrow} X$, for all $\varepsilon > 0$?
4) Assume that for any M metric compact (or locally finite, or proper) and any $\varepsilon > 0$, $M \overset{1+\varepsilon}{\hookrightarrow} X$.
Does this imply that $c_0 \simeq Y \subset X$?

Let X be a Banach. Then every locally finite metric space (i.e with finite balls) Lipschitz embeds into X if and only if X contains uniformly the ℓ_{∞}^{n} 's.

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Baudier, L. (2008)

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So it follows from Heinrich-Mankiewicz's weak*-differentiability theorem, local reflexivity and finite representability of $X_{\mathcal{U}}$ into X that X uniformly contains the ℓ_{∞}^{n} 's.

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M. Ostrovskii (2012)

Let X and Y be two Banach spaces such that Y is finitely crudely representable in X and M be a locally finite subset of Y. Then M admits a bilipschitz embedding into X.

III. COARSE AND UNIFORM EMBEDDINGS.

Let (M, d) and (N, δ) be two <u>unbounded</u> metric spaces. A map $f : M \to N$ is said to be a *coarse embedding* if there exist two increasing functions $\rho_1, \rho_2 : [0, \infty) \to [0, \infty)$ such that $\lim_{\infty} \rho_1 = +\infty$ and

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Kalton's graphs : Let \mathbb{M} be an infinite subset of \mathbb{N} and $k \in \mathbb{N}$. We denote

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We say that $\overline{n} \neq \overline{m} \in G_k(\mathbb{M})$ are adjacent (or $d(\overline{n}, \overline{m}) = 1$) if $m_1 \leq n_1 \leq ... \leq m_k \leq n_k$ or $n_1 \leq m_1 \leq ... \leq n_k \leq m_k$.

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Let $\varepsilon > 0$, X be a separable reflexive Banach space and I be an uncountable set. Assume that for each $i \in I$, $f_i : G_k(\mathbb{N}) \to X$ is a bounded map. Then there exist $i \neq j \in I$ and an infinite subset \mathbb{M} of \mathbb{N} such that

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<u>**Proof.</u>** Since X is separable and I is uncountable, there exists $i \neq j \in I$, $\|\partial^k f_i - \partial^k f_j\| < \varepsilon/2$. Then just apply Lemma 3 to $f_i - f_i$.</u> **End of proof.** Assume X is reflexive and let $h : c_0 \to X$ be a map which is bounded on bounded subsets of c_0 . Let $(e_k)_k$ be the canonical basis of c_0 .

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 $s_A(n) = \sum_{k \leq n, \ k \in A} e_k$

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<u>**Problem.**</u> Describe the Banach spaces containing uniform bi-Lipschitz copies of the $G_k(\mathbb{N})$'s.

Almost Lipschitz embeddability - with F. Baudier (2015).

Definition

Let (M, d) be a metric space and Y be a Banach space. We say that (M, d) almost Lipschitz embeds into Y if there exist $D \ge 1$ such that for any continuous function $\varphi \colon [0, +\infty) \to [0, 1)$ satisfying $\varphi(0) = 0$ and $\varphi(t) > 0$ for all t > 0, there exists a map $f_{\varphi} \colon M \to Y$ such that

 $\forall x,y \in M \ \varphi(d_X(x,y)) \, d_X(x,y) \leq d_Y(f_{\varphi}(x),f_{\varphi}(y)) \leq Dd_X(x,y).$

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Theorem

Let $p \in [1, +\infty]$, M a proper subset of L_p , and Y a Banach space containing uniformly the $\ell_p^{n'}$ s. Then M almost Lipschitz embeds into Y.

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Optimality

Let X be a separable Banach space. Then, there exists a compact subset K of X such that, whenever K almost Lipschitz embeds into a Banach space Y, then X is crudely finitely representable into Y.

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Let *M* be a proper subset of L_p and $B_k = \{x \in M, \|x\|_p \le 2^{k+1}\}$, $k \in \mathbb{Z}$.

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• Finally, we use the convex-gluing technique to define, for $x \in B_k \setminus B_{k-1}$:

$$f(x) = \lambda f_k(x) + (1-\lambda) f_{k+1}(x), \ \ ext{with} \ \ \lambda = rac{2^{k+1} - \|x\|_p}{2^k}.$$

• Let $(x_n, x_n^*)_{n=1}^{\infty}$ biorthogonal in $X \times X^*$ such that $\overline{sp}\{x_n: n \ge 1\} = X$. Pick a decreasing sequence $(a_n)_{n=1}^{\infty}$ of positive real numbers such that

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• Therefore X linearly embeds into the bidual of the ultrapower and is therefore finitely crudely representable into Y.

IV. METRIC INVARIANTS.

Definition

Let (M, d) and (N, δ) be two <u>unbounded</u> metric spaces. A map $f : M \to N$ is said to be a *coarse Lipschitz embedding* if there exist A, B, C, D > 0 such that

 $\forall x, y \in M \ Ad(x, y) - B \leq \delta(f(x), f(y)) \leq Cd(x, y) + D.$

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Ribe program (Bourgain-Lindenstrauss). Characterize the local properties of Banach spaces in purely metric terms.

linear type et cotype

Let X be a Banach space, $p \in [1, 2]$ et $q \in [2, +\infty[$. We say that X is of type p if there exists C > 0 so that

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$$\forall x_1,..,x_n \in X \quad 2^{-n} \sum_{\varepsilon_i = \pm 1} \left\| \sum_{i=1}^n \varepsilon_i x_i \right\| \le C \left(\sum_{i=1}^n \|x_i\|^p \right)^{1/p}.$$

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Idea of proof : Assume X is not super-reflexive. Combine Bourgain's embedding technique of (D_{2^N}, ρ) into X with the usual convex-gluing technique.

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Try to describe the (uniform) Lipschitz embeddability of the countably branching trees : $T_N = \{\emptyset\} \cup \bigcup_{k=1}^N \mathbb{N}^k$ or of $T_\infty = \bigcup_{N \in \mathbb{N}} T_N$ (all equipped with the geodesic distance).

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 $\underline{\textbf{Question.}}$ We do not know if both exponents can be preserved in (ii) \Rightarrow (iii).

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FIN.