
BIFURCATION OF SYMMETRIC DOMAIN WALLS FOR THE BÉNARD-RAYLEIGH CONVECTION PROBLEM

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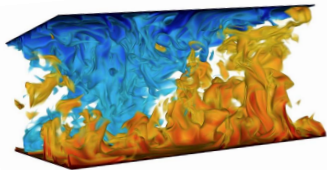
BÉNARD-RAYLEIGH PROBLEM

BÉNARD-RAYLEIGH PROBLEM

OR . . .

RAYLEIGH-BÉNARD PROBLEM

BÉNARD-RAYLEIGH CONVECTION



[Erwin P. van der Poel
& Rodolfo Ostilla Mónico]

- viscous fluid layer between two horizontal plates
- heated from below and cooled from above

-
- *classical problem in hydrodynamics*
 - *extensively studied . . . (experiments, analysis)*

GOVERNING EQUATIONS

■ three-dimensional convection

$$\begin{aligned}\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + \nabla p &= \mathcal{P}(\theta \mathbf{e}_z + \Delta \mathbf{V}) \\ \nabla \cdot \mathbf{V} &= 0 \\ \frac{\partial \theta}{\partial t} + \mathbf{V} \cdot \nabla \theta &= \Delta \theta + \mathcal{R}(\mathbf{V} \cdot \mathbf{e}_z)\end{aligned}$$

- velocity field $\mathbf{V} = (V_x, V_\perp)$, $V_\perp = (V_y, V_z)$
- deviation of temperature from conduction profile θ , pressure p
- Rayleigh number \mathcal{R} , Prandtl number \mathcal{P}

■ Boussinesq approximation :

$$\rho = \rho_0 (1 - \alpha(T - T_0))$$

$$\mathcal{P} = \frac{\nu}{\kappa}, \quad \mathcal{R} = \frac{\alpha g d^3 (T_0 - T_1)}{\nu \kappa}$$

ρ density, α volume expansion coefficient, ν viscosity, κ thermal diffusivity, d distance between the planes, T temperature, $T_0 > T_1$

GOVERNING EQUATIONS

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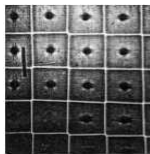
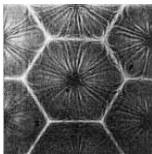
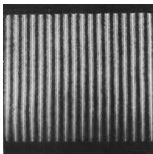
■ boundary conditions : rigid-rigid, rigid-free, or free-free

- rigid : $\mathbf{V} = \theta = 0, \quad z = 0 \text{ and/or } 1$
- free : $\partial_z V_x = \partial_z V_y = V_z = \theta = 0, \quad z = 0 \text{ and/or } 1$

■ symmetries : *horizontal translations and rotations*

REGULAR PATTERNS

■ rolls, hexagons, squares, ...



[Yudovich '65 – '67, Rabinowitz '68, Sattinger '70 – '71, Iooss '71,
Kielhöfer & Kirchgässner '73, Golubitsky, Stewart & Schaeffer '88,
...]

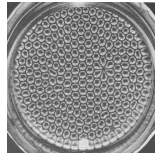
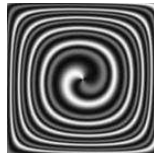
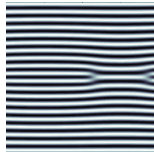
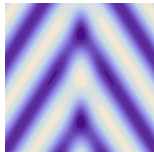
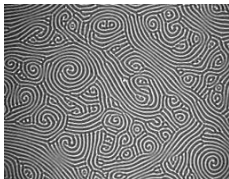
DEFECTS

■ grain boundaries/domain walls, dislocations, ...



DEFECTS

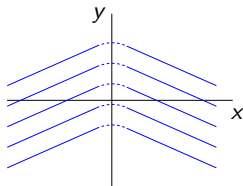
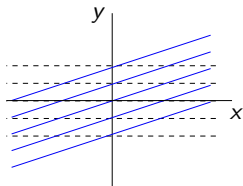
- grain boundaries/domain walls, dislocations, ...



- *many results for simpler models ...*
- **Swift-Hohenberg equation** : [H. & Scheel, 2012]
see also [Lloyd, Scheel, 2017; Ercolani, Kamburov, Lega, 2018]

ROLLS AND DOMAIN WALLS

ROLLS \rightsquigarrow ROTATED ROLLS \rightsquigarrow DOMAIN WALLS



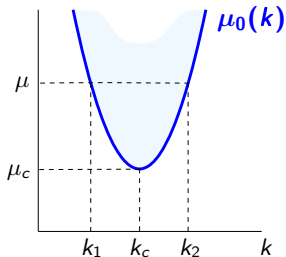
EXISTENCE OF ROLLS

■ classical 2d convection problem

■ approach : e.g., use a *Lyapunov-Schmidt reduction*

[Yudovich, 1966-67]

- bifurcation parameter : $\mu = \mathcal{R}^{1/2}$
- wavenumber k in the horizontal variable y
- rolls exist in the shaded region above the curve $\mu_0(k)$:

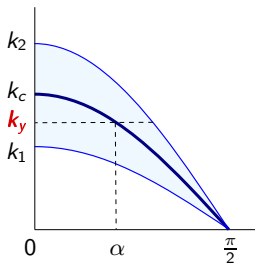
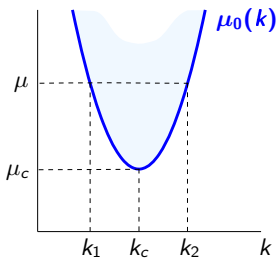


[Anne Pellew & Southwell, 1940]

ROTATED ROLLS

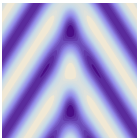
■ invariance under horizontal rotations

- rotation with angle α
- wavenumber $k_y = k \cos \alpha$ in the horizontal variable y



APPROACH : SPATIAL DYNAMICS

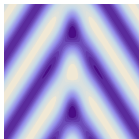
■ domain walls



- *steady solutions*
 - *connect rolls with different orientations*
-

APPROACH : SPATIAL DYNAMICS

■ domain walls



- *steady solutions*
- *connect rolls with different orientations*

■ steady problem : *dynamical system*

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

- *rolls* \longleftrightarrow *equilibria (relative)*
- *domain walls* \longleftrightarrow *heteroclinic orbits*

MAIN STEPS

■ dynamical system (*infinite-dimensional*)

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

MAIN STEPS

- dynamical system (*infinite-dimensional*)

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

- parameters : *find the bifurcation points*

- particular solution $\mathbf{U}_* = \mathbf{0}$
- linear operator $D_U \mathcal{F}(\mathbf{U}_*; \mu, \mathcal{P})$
- ex. *purely imaginary eigenvalues*

MAIN STEPS

- **dynamical system** (*infinite-dimensional*)

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

- **parameters** : *find the bifurcation points*

- **reduction** : *use center manifolds*

- locally invariant manifolds
- *contain all small bounded solutions*

MAIN STEPS

□ ■ **dynamical system** (*infinite-dimensional*)

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

□ ■ **parameters** : *find the bifurcation points*

□ ■ **reduction** : *use center manifolds*

□ ■ **reduced dynamics** : *finite-dimensional dynamical system*

- use normal forms
- study the leading order dynamics (*ex. heteroclinic orbits*)
- show persistence of heteroclinic orbits

DYNAMICAL SYSTEM

steady system

$$\begin{aligned}\mathbf{V} \cdot \nabla \mathbf{V} + \nabla p &= \mathcal{P}(\theta \mathbf{e}_z + \Delta \mathbf{V}) \\ \nabla \cdot \mathbf{V} &= 0 \\ \mathbf{V} \cdot \nabla \theta &= \Delta \theta + \mathcal{R}(\mathbf{V} \cdot \mathbf{e}_z)\end{aligned}$$

new variables

$$\mathbf{U} = (\mathbf{V}, \mathbf{W}, \theta, \varphi),$$

$$\mathbf{W} = \partial_x \mathbf{V} - \mathcal{P}^{-1} p \mathbf{e}_x, \quad \varphi = \partial_x \theta$$

dynamical system

$$\frac{d\mathbf{U}}{dx} = \mathcal{F}(\mathbf{U}; \mu, \mathcal{P})$$

DYNAMICAL SYSTEM

■ symmetries

- **reversibility** : *the vector field anti-commutes with*

$$\mathcal{S}_1 \mathbf{U} = (-V_x, V_\perp, W_x, -W_\perp, \theta, -\varphi)$$

- **reflection** : *the vector field commutes with*

$$\mathcal{S}_2 \mathbf{U}(y, z) = (V_x, -V_y, V_z, W_x, -W_y, W_z, \theta, \varphi)(-y, z)$$

- **translations in y**

PARAMETERS

- particular solution $U_* = 0$; linear operator

$$\mathcal{L}_\mu = D_U \mathcal{F}(0; \mu, \mathcal{P})$$

- bifurcation points
 - ex. purely imaginary eigenvalues (here : discrete spectrum)
 - co-existence of rolls with different orientations
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PARAMETERS

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- co-existence of rolls with different orientations

-
- connection with the classical evolution problem :
three-dimensional convection with periodic boundary conditions in the horizontal directions (x, y)

$$\frac{dU}{dt} = \mathbf{L}_\mu U + \mathbf{R}(U)$$

■ spatial dynamics

- *eigenvalue problem* : $ik_x U = \mathcal{L}_\mu U$
- *with Fourier series in y* : $U(y, z) = e^{ink_y y} U_n(z)$

↪ solutions of the linear system :

$$\mathbf{U}(x, y, z) = e^{ik_x x + ink_y y} U_n(z)$$

spatial dynamics

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temporal dynamics

- *solutions in the kernel of \mathbf{L}_μ* are of the form

$$U(x, y, z) = e^{ik_1 x + ik_2 y} U_{(k_1, k_2)}(z)$$

temporal dynamics

- solutions in the kernel of \mathbf{L}_μ are of the form

$$U(x, y, z) = e^{ik_1x + ik_2y} U_{(k_1, k_2)}(z)$$

- with $k^2 = k_1^2 + k_2^2$, for any $k > 0$, there exists a sequence

$$\mu_0(k) < \mu_1(k) < \dots \rightarrow \infty, \quad k \rightarrow 0, \infty$$

for which such solutions exist

- $\mu_0(k)$ has a unique global minimum μ_c for $k = k_c$ (numerical result)

[Yudovich, 1966]

 spatial dynamics

- bifurcation parameter $\varepsilon = \mu - \mu_c$

\rightsquigarrow for $\varepsilon = 0$, purely imaginary eigenvalues satisfy :

$$k_x^2 + n^2 k_y^2 = k_c^2, \quad n \in \mathbb{Z}$$

spatial dynamics

- bifurcation parameter $\varepsilon = \mu - \mu_c$

\rightsquigarrow for $\varepsilon = 0$, purely imaginary eigenvalues satisfy :

$$k_x^2 + n^2 k_y^2 = k_c^2, \quad n \in \mathbb{Z}$$

- choose $k_y = k_c \cos \alpha, \quad 0 < \alpha < \pi/3$

(co-existence of rolls with different orientations)

\rightsquigarrow six (counting geometric multiplicities) purely imaginary eigenvalues for $n = 0, \pm 1$:

$$\pm i k_c, \quad \pm i k_x, \quad k_x = k_c \sin \alpha$$

-
- for $\pi/3 < \alpha < \pi/2$ there are additional purely imaginary eigenvalues for some $n = 2, 3, \dots, N$ [Scheel & Wu, 2013]

REDUCTION

- **center manifold theorem** : *close to the bifurcation point (small ε), small bounded solutions lie on a finite-dimensional center manifold*
 - *dimension : sum of the algebraic multiplicities of the purely imaginary eigenvalues $\pm ik_c, \pm ik_x \rightsquigarrow$ **12-dimensional***
-

- **12-dimensional reduced system (ODEs)**

REDUCTION

center manifold theorem : *close to the bifurcation point (small ε), small bounded solutions lie on a finite-dimensional center manifold*

- dimension* : *sum of the algebraic multiplicities of the purely imaginary eigenvalues $\pm ik_c, \pm ik_x \rightsquigarrow$ 12-dimensional*

12-dimensional reduced system (ODEs)

- normal form** : *apply a general method ...*



$$A'_0 = ik_c A_0 + B_0 + iA_0 P_{0i} + V_0$$

$$B'_0 = ik_c B_0 + iB_0 P_{0i} + A_0 Q_0 + V'_0 + W_0$$

$$A'_+ = ik_x A_+ + B_+ + iA_+ P_{+i} + A_- R_+ + \beta_{11} v_{+0}$$

$$B'_+ = ik_x B_+ + iB_+ P_{+i} + B_- R_+ + A_+ Q_+ + ib_{11} v_{+0} + \beta_{11} w_{+0}$$

$$A'_- = -ik_x A_- + B_- + iA_- P_{-i} - A_+ \overline{R_+} + \beta_{11} v_{-0}$$

$$B'_- = -ik_x B_- + iB_- P_{-i} - B_+ \overline{R_+} + A_- Q_- + ib_{11} v_{-0} + \beta_{11} w_{-0}$$

in which ...

$$\begin{aligned}
P_0 &= i(\alpha_0 \delta \mu + \alpha_1 u_1 + \alpha_2 u_2 + \alpha_3 (u_3 + u_5) + \alpha_4 (u_4 + u_6)), \\
Q_0 &= a_0 \delta \mu + a_1 u_1 + a_2 u_2 + a_3 (u_3 + u_5) + a_4 (u_4 + u_6), \\
P_+ &= i(\beta_0 \delta \mu + \beta_1 u_1 + \beta_2 u_2 + \beta_3 u_3 + \beta_4 u_4 + \beta_5 u_5 + \beta_6 u_6), \\
P_- &= i(\beta_0 \delta \mu + \beta_1 u_1 + \beta_2 u_2 + \beta_5 u_3 + \beta_6 u_4 + \beta_3 u_5 + \beta_4 u_6), \\
Q_+ &= b_0 \delta \mu + b_1 u_1 + b_2 u_2 + b_3 u_3 + b_4 u_4 + b_5 u_5 + b_6 u_6, \\
Q_- &= b_0 \delta \mu + b_1 u_1 + b_2 u_2 + b_5 u_3 + b_6 u_4 + b_3 u_5 + b_4 u_6, \\
R_+ &= i\gamma_7 u_7 + \gamma_9 u_9, \quad R_- = -\overline{R_+}, \quad S_+ = c_7 u_7 + ic_9 u_9, \quad S_- = \overline{S_+},
\end{aligned}$$

$$\begin{aligned}
V_0 &= \alpha_{11}(v_{0+} + v_{0-}), \quad W_0 = \alpha_{11}(w_{0+} + w_{0-}), \quad V'_0 = ia_{11}(v_{0+} + v_{0-}), \\
V_+ &= \beta_{11}v_{+0} + \beta_{14}v_{-+}, \quad W_+ = \beta_{11}w_{+0} + \beta_{14}w_{-+}, \quad V'_+ = i(b_{11}v_{+0} + b_{14}v_{-+}), \\
V_- &= \beta_{11}v_{-0} + \beta_{14}v_{+-}, \quad W_- = \beta_{11}w_{-0} + \beta_{14}w_{+-}, \quad V'_- = i(b_{11}v_{-0} + b_{14}v_{+-}).
\end{aligned}$$

$$\begin{aligned}
v_{0+} &= A_0 A_+ \overline{B_+} - B_0 A_+ \overline{A_+}, \quad w_{0+} = A_0 B_+ \overline{B_+} - B_0 \overline{A_+} B_+, \\
v_{0-} &= A_0 A_- \overline{B_-} - B_0 A_- \overline{A_-}, \quad w_{0-} = A_0 B_- \overline{B_-} - B_0 \overline{A_-} B_-, \\
v_{0+-} &= A_0 \overline{B_+} A_- - B_0 \overline{A_+} A_-, \quad w_{0+-} = A_0 \overline{B_+} B_- - B_0 \overline{A_+} B_-, \\
v_{0-+} &= A_0 A_+ \overline{B_-} - B_0 A_+ \overline{A_-}, \quad w_{0-+} = A_0 B_+ \overline{B_-} - B_0 B_+ \overline{A_-}, \\
v_{+0} &= A_0 \overline{B_0} A_+ - A_0 \overline{A_0} B_+, \quad w_{+0} = B_0 \overline{B_0} A_+ - \overline{A_0} B_0 B_+, \\
v_{-0} &= A_0 \overline{B_0} A_- - A_0 \overline{A_0} B_-, \quad w_{-0} = B_0 \overline{B_0} A_- - \overline{A_0} B_0 B_-, \\
v_{+-} &= \overline{A_+} B_+ A_- - A_+ \overline{A_+} B_-, \quad w_{+-} = B_+ \overline{B_+} A_- - A_+ \overline{B_+} B_-, \\
v_{-+} &= A_+ \overline{A_-} B_- - B_+ A_- \overline{A_-}, \quad w_{-+} = A_+ B_- \overline{B_-} - B_+ A_- \overline{B_-}.
\end{aligned}$$

$$\begin{aligned}
u_1 &= A_0 \overline{A_0}, \quad u_2 = i(A_0 \overline{B_0} - \overline{A_0} B_0), \quad u_3 = A_+ \overline{A_+}, \quad u_4 = i(A_+ \overline{B_+} - \overline{A_+} B_+), \\
u_5 &= A_- \overline{A_-}, \quad u_6 = i(A_- \overline{B_-} - \overline{A_-} B_-), \quad u_7 = A_+ \overline{A_-}, \quad u_8 = \overline{A_+} A_-, \\
u_9 &= (A_+ \overline{B_-} - \overline{A_-} B_+), \quad u_{10} = (\overline{A_+} B_- - A_- \overline{B_+}).
\end{aligned}$$

REDUCED DYNAMICS

■ further 2 transformations ... **new system**

$$C_0'' = C_0 (a_0 \text{sign}(\varepsilon) + a_1 |C_0|^2 + a_3 (|C_+|^2 + |C_-|^2))$$

$$C_+'' = C_+ (b_0 \text{sign}(\varepsilon) + b_1 |C_0|^2 + b_3 |C_+|^2 + b_5 |C_-|^2)$$

$$C_-'' = C_- (b_0 \text{sign}(\varepsilon) + b_1 |C_0|^2 + b_5 |C_+|^2 + b_3 |C_-|^2)$$

+ $O(|\varepsilon|^{1/2})$

■ **existence of domain walls** (*heteroclinic orbit*)

REDUCED DYNAMICS

- further 2 transformations ... **new system**

$$\begin{aligned} C_0'' &= C_0 (a_0 \text{sign}(\varepsilon) + a_1 |C_0|^2 + a_3 (|C_+|^2 + |C_-|^2)) \\ C_+'' &= C_+ (b_0 \text{sign}(\varepsilon) + b_1 |C_0|^2 + b_3 |C_+|^2 + b_5 |C_-|^2) \\ C_-'' &= C_- (b_0 \text{sign}(\varepsilon) + b_1 |C_0|^2 + b_5 |C_+|^2 + b_3 |C_-|^2) \end{aligned} + O(|\varepsilon|^{1/2})$$

- **existence of domain walls** (*heteroclinic orbit*)

- $\varepsilon > 0, a_0 < 0, a_1 > 0$ (*existence of rolls*)

[Yudovich, 1966]

- $0 < b_3 < b_5$ (*existence of the leading order heteroclinic*)

[van den Berg & van der Vorst, 2000]

- $1 < \frac{b_5}{b_3} < (4 + \sqrt{3}), \frac{a_3}{a_1} \geq \max \left(2, \frac{b_3 + b_5}{2b_3} \right)$

(*persistence of the heteroclinic*) [H. & Scheel, 2012]

PERSISTENCE

■ solve $\mathcal{T}(C_0, C_+, C_-; \varepsilon) = 0$

$$\mathcal{T}(C_0, C_+, C_-; \varepsilon) = \begin{pmatrix} C_0'' - C_0(a_0 + a_1|C_0|^2 + a_3(|C_+|^2 + |C_-|^2)) + O(|\varepsilon|^{1/2}) \\ C_+'' - C_+(b_0 + b_1|C_0|^2 + b_3|C_+|^2 + b_5|C_-|^2) + O(|\varepsilon|^{1/2}) \\ C_-'' - C_-(b_0 + b_1|C_0|^2 + b_5|C_+|^2 + b_3|C_-|^2) + O(|\varepsilon|^{1/2}) \end{pmatrix}$$

■ *particular solution* : $(0, C_+^*, C_-^*; 0)$

PERSISTENCE

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■ *particular solution* : $(0, C_+^*, C_-^*; 0)$

■ **linear operator** : $D_{(C_0, C_+, C_-)}\mathcal{T}(0, C_+^*, C_-^*; 0) = \mathcal{L}_*$

- *space of (small) exponentially weighted functions*
- *injective Fredholm operator with index -1*
- *kernel of the adjoint operator spanned by $(0, iC_+^*, -iC_-^*)$*

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- *space of (small) exponentially weighted functions*
- *injective Fredholm operator with index -1*
- *kernel of the adjoint operator spanned by $(0, iC_+^*, -iC_-^*)$*

■ **implicit function theorem**

- *second parameter* : $k_x + \varepsilon$
- $\partial_\varepsilon \mathcal{T}(0, C_+^*, C_-^*; 0, 0) \notin \text{Im}(\mathcal{L}_*)$
- **solution** $(C_0, C_+, C_-)(\varepsilon), \varepsilon(\varepsilon)$

COMPUTE COEFFICIENTS . . .

- use the classical theory : $\epsilon > 0, a_0 < 0, a_1 > 0$

COMPUTE COEFFICIENTS . . .

■ use the classical theory : $\epsilon > 0, a_0 < 0, a_1 > 0$

■ direct computation of b_5/b_3 . . .

$$\frac{b_5}{b_3} = \frac{b_{53}(\sin^2 \alpha) + b_{53}(\cos^2 \alpha) + b_{53}(0)}{\frac{1}{2} b_{53}(1) + b_{53}(0)}$$

$$b_{53}(\Theta) = A_{53}(\Theta) + B_{53}(\Theta)\mathcal{P}^{-1} + C_{53}(\Theta)\mathcal{P}^{-2}, \quad \Theta = \sin^2 \alpha$$

$$A_{53}(\Theta) = 2\mu_c^3 \langle (D^2 - 4k_c^2\Theta)^2 V_1, R_1 \rangle, \quad B_{53}(\Theta) = 4\mu_c^3 \Theta (\langle V_1, R_2 \rangle + \langle V_2, R_1 \rangle)$$

$$C_{53}(\Theta) = -\frac{2\mu_c\Theta}{k_c^2} \langle (D^2 - 4k_c^2\Theta) V_2, R_2 \rangle$$

$$R_1 = VD\phi + (1 - 2\Theta)\phi DV, \quad R_2 = (D^2 - 4k_c^2(1 - \Theta))(VDV) - 4\Theta(DV)(D^2V)$$

V, ϕ solutions of the boundary value problems

$$(D^2 - k^2)^3 V + \mu^2 k^2 V = 0, \quad V = DV = (D^2 - k^2)V = 0 \text{ in } z = 0, 1$$

$$(D^2 - k^2)\phi = V, \quad \phi = 0 \text{ in } z = 0, 1$$

V_1, V_2 (unique) solutions of the boundary value problems

$$(D^2 - 4k_c^2\Theta)^3 V_1 + 4k_c^2\mu_c^2\Theta V_1 = R_1, \quad V_1 = DV_1 = (D^2 - 4k_c^2\Theta)^2 V_1 = 0 \text{ in } z = 0, 1$$

$$(D^2 - 4k_c^2\Theta)^3 V_2 + 4k_c^2\mu_c^2\Theta V_2 = R_2, \quad V_2 = (D^2 - 4k_c^2\Theta)V_2 = (D^2 - 4k_c^2\Theta)DV_2 = 0 \text{ in } z = 0, 1$$

COMPUTE COEFFICIENTS . . .

■ use the classical theory : $\epsilon > 0, a_0 < 0, a_1 > 0$

■ analytical computations : $2b_3 = b_5 > 0$, when $\alpha = 0$

■ *the conditions on b_3 and b_5 hold, for small angles α*

■ *it remains to check $\frac{a_3}{a_1} \geq \max\left(2, \frac{b_3 + b_5}{2b_3}\right) !!$*

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■ go back to the symmetries : *additional reflection symmetry for the rigid-rigid and free-free boundary conditions*

■ *the subspace $C_0 = 0$ is invariant*

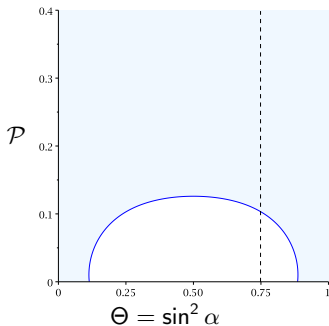
■ *we can restrict to an 8-dimensional system for C_+ and C_-*

■ *we are left with the conditions on b_3 and b_5 , which hold at least for small angles α*

RIGID-RIGID BOUNDARY CONDITIONS

check that $1 < \frac{b_5}{b_3} < (4 + \sqrt{3})$

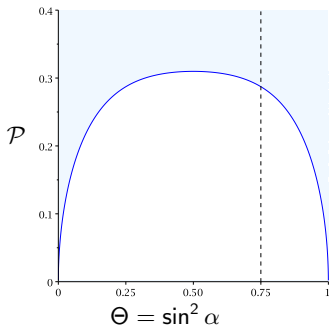
(very long) **Maple computations** : *the inequalities hold in the shaded region*



FREE-FREE BOUNDARY CONDITIONS

check that $1 < \frac{b_5}{b_3} < (4 + \sqrt{3})$

(easy) **computations** : *the inequalities hold in the shaded region*

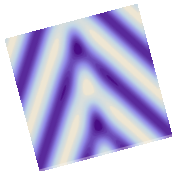


RIGID-FREE BOUNDARY CONDITIONS

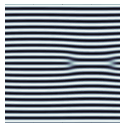
- no invariant subspace (12-dimensional system)
- check all conditions

... work in progress

FURTHER ISSUES



- large angles ... $\pi/2$
- asymmetric domain walls
- other defects :



- stability ...

