

## Constitutive Equations

$$\begin{aligned} \mathbf{S} &= \nu(e) \mathbf{D}(\mathbf{v}) & 2\mathbf{D}(\mathbf{v}) &= \nabla \mathbf{v} + (\nabla \mathbf{v})^T \\ \mathbf{q} &= \kappa(e) \nabla e \end{aligned}$$

Governing equations

$$\begin{aligned} \operatorname{div} \mathbf{v} &= 0 \\ \mathbf{v}_t + \operatorname{div}(\mathbf{v} \otimes \mathbf{v}) &= -\nabla p + \operatorname{div}(\nu(e) \mathbf{D}(\mathbf{v})) \\ (e + \frac{1}{2}|\mathbf{v}|^2)_t + \operatorname{div}((e + \frac{1}{2}|\mathbf{v}|^2)\mathbf{v}) - \operatorname{div}(\kappa(e)\nabla e) &= \operatorname{div}(p\mathbf{v}) + \operatorname{div}(\nu(e)\mathbf{D}(\mathbf{v})\mathbf{v}) \end{aligned}$$

and

$$e_t + \operatorname{div}(e\mathbf{v}) - \operatorname{div}(\kappa(e)\nabla e) \geq \nu(e)|\mathbf{D}(\mathbf{v})|^2$$

**Weak solution** (BM, BLM, BE) vrs **Suitable weak solution** (BM, BLM, BE + Entropy inequality):

2D, 3D

## Energy estimates and their consequences

$$\operatorname{div} \mathbf{v} = 0 \quad \mathbf{v}_t + \operatorname{div}(\mathbf{v} \otimes \mathbf{v}) = -\nabla p + \operatorname{div}(\nu(\dots)\mathbf{D}(\mathbf{v}))$$

$$\left(e + \frac{|\mathbf{v}|^2}{2}\right)_t + \operatorname{div}\left(\left(e + \frac{|\mathbf{v}|^2}{2}\right)\mathbf{v}\right) - \operatorname{div}(\kappa(\dots)\nabla e) = \operatorname{div}(p\mathbf{v}) + \operatorname{div}(\nu(\dots)\mathbf{D}(\mathbf{v})\mathbf{v})$$

$$e_t + \operatorname{div}(e\mathbf{v}) - \operatorname{div}(\kappa(\dots)\nabla e)(\geq) = \nu(\dots)|\mathbf{D}(\mathbf{v})|^2$$

$$\bullet \int_{\Omega} \left(e + \frac{|\mathbf{v}|^2}{2}\right)(t, x) dx \leq \int_{\Omega} \left(e_0 + \frac{|\mathbf{v}_0|^2}{2}\right) dx \quad \implies \quad \boxed{e \in L^\infty(L^1) \quad \mathbf{v} \in L^\infty(L^2)}$$

$$\bullet \int_0^T \nu(\dots)|\mathbf{D}(\mathbf{v})|^2 dx \leq C \quad \implies \quad \boxed{\nabla \mathbf{v} \in L^2(L^2)}$$

$$\bullet \nu(\dots)|\mathbf{D}(\mathbf{v})|^2 \geq 0, \quad \implies \quad \boxed{e > C^* \text{ a.e.}, e \in L^m(L^m), \nabla(e)^{(1-\lambda)/2} \in L^2(L^2)}$$

Equation for the pressure (Navier's slip)

$$\boxed{p = (-\Delta)^{-1} \operatorname{div} \operatorname{div}(\mathbf{v} \otimes \mathbf{v} - \nu(\dots)\mathbf{D}(\mathbf{v}))}$$

$$\bullet \mathbf{v} \in L^\infty(L^2) \text{ and } \nabla \mathbf{v} \in L^2(L^2) \quad \implies \quad \boxed{\mathbf{v} \in L^{10/3}(L^{10/3}) \quad \text{and} \quad p \in L^{5/3}(L^{5/3})}$$

## Energy estimates and their consequences

$$\begin{aligned} \operatorname{div} \mathbf{v} &= 0 & \mathbf{v}_t + \operatorname{div}(\mathbf{v} \otimes \mathbf{v}) &= -\nabla p + \operatorname{div} \left( \nu(\dots) \mathbf{D}(\mathbf{v}) \right) \\ \left( e + \frac{|\mathbf{v}|^2}{2} \right)_t + \operatorname{div} \left( \left( e + \frac{|\mathbf{v}|^2}{2} \right) \mathbf{v} \right) - \operatorname{div}(\kappa(\dots) \nabla e) &= \operatorname{div}(p\mathbf{v}) + \operatorname{div} \left( \nu(\dots) \mathbf{D}(\mathbf{v}) \mathbf{v} \right) \\ e_t + \operatorname{div}(e\mathbf{v}) - \operatorname{div}(\kappa(\dots) \nabla e) &(\geq) = \nu(\dots) |\mathbf{D}(\mathbf{v})|^2 \end{aligned}$$

- $\mathbf{v}_t \in \left( L^{5/2}(W^{1,5/2}) \right)^* = L^{-5/3}(W^{1,-5/3})$
- $e_t \in L^1(W^{-1,q'})$  with  $q > 10$
- Aubin-Lions lemma and its generalization:  $\mathbf{v}$  and  $e$  precompact in  $L^m(L^m)$  for  $m \in [1, \frac{5}{3})$
- Trace theorem and Aubin-Lions lemma: pre-compactness of  $\mathbf{v}$  on  $\partial\Omega$
- Vitali's theorem

Two steps in the proof of existence

- Stability of the system w.r.t. weakly converging sequences
- Constructions of approximations (several levels), derivation of uniform estimates, weak limits - candidates for the solutions, taking limits in nonlinearities

## Result #1

**Theorem 1.** (M. Bulíček, E. Feireisl, J. Málek, '06-'07)      Assume that

$$\nu_1 \geq \nu(s) \geq \nu_0 > 0 \text{ and } \kappa_1 \geq \kappa(s) \geq \kappa_0 > 0 \text{ for all } s \in \mathbb{R}$$

Let  $\partial\Omega \in C^{1,1}$ ,  $\mathbf{v}_0 \in L^2_{\mathbf{n},div}$  and  $e_0 \in L^1$ ,  $e_0 \geq C^* > 0$  a.a. in  $\Omega$ . Let  $g \in L^1(0, T)$ .

Then for all  $T > 0$  (and any  $\alpha \in [0, \infty)$ ) there exists (suitable) weak solution  $(\mathbf{v}, p)$  to the system in consideration, completed by Navier's slip boundary conditions, such that

$$\mathbf{v} \in C(0, T; \dot{L}^2_{weak}) \cap L^2(0, T; W^{1,2}_{\mathbf{n},div})$$

$$\text{tr } \mathbf{v} \in L^2(0, T; L^2(\partial\Omega))$$

$$p \in L^{\frac{5}{3}}(0, T; L^{\frac{5}{3}}) \quad \int_{\Omega} p(t, x) dx = g(t)$$

$$e \in L^\infty(0, T; L^1) \cap L^m(0, T; L^m) \cap L^n(0, T; W^{1,n}) \quad m \in [1, \frac{5}{3}), n \in [1, \frac{5}{4})$$

$$(p + \frac{|\mathbf{v}|^2}{2})\mathbf{v} \in L^{\frac{10}{9}}(0, T; L^{\frac{10}{9}}) \quad \mathbf{D}(\mathbf{v})\mathbf{v} \in L^{\frac{5}{4}}([0, T]; L^{\frac{5}{4}})$$

## Fluids with shear rate dependent viscosities

$$\mathbf{S} = \nu(|\mathbf{D}|^2)\mathbf{D}(\mathbf{v})$$

If  $\mathbf{v} = (u(x_2), 0, 0)$ , then  $|\mathbf{D}(\mathbf{v})|^2 = 1/2|u'|^2$  ... shear rate.

- $\nu(|\mathbf{D}|^2) = |\mathbf{D}|^{r-2}$        $1 < r < \infty$
- power-law model
- $\nu(|\mathbf{D}|^2) \searrow$  as  $|\mathbf{D}|^2 \nearrow$
- shear thinning fluid ( $r < 2$ )
- $\nu(|\mathbf{D}|^2) = \nu_0 + \nu_1|\mathbf{D}|^{r-2}$        $r > 2$
- Ladyzhenskaya model (65)
- (Smagorinskii turbulence model:  $r = 3$ )

(A) given  $r \in (1, \infty)$  there are  $C_1 > 0$  and  $C_2 > 0$  such that for all symmetric matrices  $\mathbf{B}, \mathbf{D}$

$$C_1(K + |\mathbf{D}|^2)^{\frac{r-2}{2}}|\mathbf{B}|^2 \leq \frac{\partial \left[ (\nu(|\mathbf{D}|^2)\mathbf{D}) \right]}{\partial \mathbf{D}} \cdot (\mathbf{B} \otimes \mathbf{B}) \leq C_2(K + |\mathbf{D}|^2)^{\frac{r-2}{2}}|\mathbf{B}|^2$$

$K$  can be even 0 in many cases.

## Four approaches used in the analysis

- Higher regularity method  $\implies$  regularity for  $r \geq 2 + \frac{1}{5}$ , but gives existence for  $r > 2 - \frac{1}{5}$

- Monotone operator theory - Test by  $\mathbf{u}^n - \mathbf{u}$

$$v_k^n \partial_k \mathbf{v}^n (\mathbf{v}^n - \mathbf{v}) \in L^1(Q) \iff r \geq 2 + \frac{1}{5} \quad \text{O.A. Ladyzhenskaya '65, J.L. Lions '69}$$

- $L^\infty$  - truncation of Sobolev functions - Test by  $(\mathbf{v}^n - \mathbf{v})(1 - \min(1, \frac{|\mathbf{v}^n - \mathbf{v}|}{\lambda}))$

$$v_k^n \partial_k \mathbf{v}^n \in L^1(Q) \iff r > 2 - \frac{2}{5} \quad \text{J. Frehse, J. Málek, M. Steinhauer '00, Wolf '07}$$

- $W^{1,\infty}$  - truncation of Sobolev functions - Test by  $(\mathbf{v}^n - \mathbf{v})_\lambda$

$$\mathbf{v}^n \otimes \mathbf{v}^n \in L^1(Q) \iff r \geq 2 - \frac{4}{5} \quad \text{Conjecture based on J. Frehse, J. Málek, M. Steinhauer '03}$$

**L. Diening, M. Růžička, J. Wölf '07**

## Lemma on Lipschitz approximations of Sobolev functions

**Lemma for one function:** Let  $\Omega$  smooth, bounded and  $u \in W_0^{1,1}(\Omega)$ .

Then for every  $\lambda > 0$ ,  $\theta > 0$  there is  $u_{\theta,\lambda} \in W_0^{1,\infty}(\Omega)$ :

- $\|u_{\theta,\lambda}\|_\infty \leq \theta$ ,
- $\|\nabla u_{\theta,\lambda}\|_\infty \leq c\lambda$ ,
- $\{u \neq u_{\theta,\lambda}\} \subset \Omega \cap (\{M(u) > \theta\} \cup \{M(|\nabla u|) > \lambda\})$

**Lemma (Diening, Málek, Steinhauer)** Let  $\Omega \in \mathcal{C}^{0,1}$  and  $u^n \rightarrow 0$  in  $W_0^{1,r}(\Omega)$ .

Denote  $K := \sup_n \|u^n\|_{1,r}$  and  $\gamma_n := \|u^n\|_r \rightarrow 0$  and  $\mu_j := 2^{2^j}$ . Set  $\theta_n := \sqrt{\gamma_n}$ .

Then there are  $\lambda_{n,j} \in [\mu_j, \mu_{j+1}]$

- $\|u^{n,j}\|_\infty \leq \theta_n$  and  $\|\nabla u^{n,j}\|_\infty \leq C\lambda_{n,j}$
- $u^{n,j} \rightarrow 0$  strongly in  $L^\infty(\Omega)$
- $u^{n,j} \rightharpoonup 0$  weakly in  $W_0^{1,s}(\Omega)$        $s \in \langle 1, \infty \rangle$

Evenmore, for all  $n, j$

- $\|\nabla u^{n,j} \chi_{\{u^{n,j} \neq u^n\}}\|_r \leq c\|\lambda_{n,j} \chi_{\{u^{n,j} \neq u^n\}}\|_r \leq c\frac{\gamma_n}{\theta_n} \mu_{j+1} + cK \frac{1}{2^{j/r}}$

## Fluids with pressure dependent viscosities

$$\mathbf{S} = \nu(p)\mathbf{D}(\mathbf{v})$$

$$\nu(p) = \exp(\gamma p)$$

Bridgman(31): "The physics of high pressure"

Cutler, McMickle, Webb and Schiessler(58)

Johnson, Cameron(67), Johnson, Greenwood(77), Johnson, Tenaarwerk(80)

Paluch et al. (99), Bendler et al. (01)

elastohydrodynamics: Szeri(98) synovial fluids

No global existence result.

- Renardy(86), local, ( $\frac{\nu(p)}{p} \rightarrow 0$  as  $p \rightarrow \infty$ )
- Gazzola(97), Gazzola, Secchi(98): local, severe restrictions

$$\partial_t \mathbf{v} + \mathbb{P} \operatorname{div}(\mathbf{v} \otimes \mathbf{v}) - \mathbb{P} \operatorname{div}(\nu(p) \mathbf{D}(\mathbf{v})) = 0$$

$$p = (-\Delta)^{-1} \operatorname{div} \operatorname{div}(\mathbf{v} \otimes \mathbf{v} - \nu(p) \mathbf{D}(\mathbf{v}))$$

$\mathcal{F} := (-\Delta)^{-1} \operatorname{div} \operatorname{div}$  (a Fourier multiplier)

Minimal requirement:  $\mathbf{v} \mapsto p = p(\mathbf{v})$  is well defined. Let  $p_1, p_2$  be two solutions corresponding to  $\mathbf{v}$ .

$$p_1 - p_2 = \mathcal{F}((\nu(p_2) - \nu(p_1)) \mathbf{D}(\mathbf{v})) = \mathcal{F}(\partial_p \nu(p_2 + \theta_1(p_2 - p_1)) \mathbf{D}(\mathbf{v}) (p_2 - p_1))$$

Not clear which side contains the leading operator. A very complex relation.

$$\nu(p, |\mathbf{D}(\mathbf{v})|^2)$$

$$\begin{aligned} p_1 - p_2 &= -\mathcal{F}\left((\nu(p_1, |\mathbf{D}(\mathbf{v})|^2) - \nu(p_2, |\mathbf{D}(\mathbf{v})|^2)) \mathbf{D}(\mathbf{v})\right) \\ &= \mathcal{F}(\partial_p \nu(p_2 + \theta_1(p_2 - p_1), |\mathbf{D}(\mathbf{v})|^2) \mathbf{D}(\mathbf{v}) (p_2 - p_1)) \end{aligned}$$

$$\begin{aligned} \|p^1 - p^2\|_q &\leq \|\partial_p \nu(p^2 + \theta_1(p^2 - p^1), |\mathbf{D}(\mathbf{v})|^2) \mathbf{D}(\mathbf{v}) (p^1 - p^2)\|_q \\ &\leq \sup_{p, \mathbf{D}} |\partial_p \nu(p, |\mathbf{D}|^2) \mathbf{D}| \|p^1 - p^2\|_q \end{aligned}$$

$$\nu(p, |\mathbf{D}|^2) = \ln(1 + |p| + |\mathbf{D}|)$$

## Fluids with shear rate and pressure dependent viscosities

$$\mathbf{S} = \nu(p, |\mathbf{D}|^2) \mathbf{D}(\mathbf{v})$$

$$\nu(p, |\mathbf{D}|^2) = \left( \eta_\infty + \frac{\eta_0 - \eta_\infty}{1 + \delta |\mathbf{D}|^{2-r}} \right) \exp(\gamma p) \quad r = 1.56$$

Davies and Li(94), Gwynllyw, Davies and Phillips(96)

$$\nu(p, |\mathbf{D}|^2) = c_0 \frac{p}{|\mathbf{D}|} \quad r = 1 \quad \text{Schaeffer(87) - instabilities in granular materials}$$

$$\nu(p, |\mathbf{D}|^2) = (A + (1 + \exp(\alpha p))^{-q} + |\mathbf{D}|^2)^{\frac{r-2}{2}}$$

$$\alpha > 0, A > 0 \quad \boxed{1 \leq r < 2} \quad 0 \leq q \leq \frac{1}{2\alpha} \frac{r-1}{2-r} A^{(2-r)/2}$$

elastohydrodynamics, synovial fluids, film flows, granular materials

## Assumptions on $S = \nu(p, |\mathbf{D}(\mathbf{v})|^2)\mathbf{D}(\mathbf{v})$

**(A1)** given  $r \in (1, 2)$  there are  $C_1 > 0$  and  $C_2 > 0$  such that for all symmetric matrices  $\mathbf{B}$ ,  $\mathbf{D}$  and all  $p$

$$C_1(1 + |\mathbf{D}|^2)^{\frac{r-2}{2}} |\mathbf{B}|^2 \leq \frac{\partial [\nu(p, |\mathbf{D}|^2)\mathbf{D}]}{\partial \mathbf{D}} \cdot (\mathbf{B} \otimes \mathbf{B}) \leq C_2(1 + |\mathbf{D}|^2)^{\frac{r-2}{2}} |\mathbf{B}|^2$$

**(A2)** for all symmetric matrices  $\mathbf{D}$  and all  $p$

$$\left| \frac{\partial [\nu(p, |\mathbf{D}|^2)\mathbf{D}]}{\partial p} \right| \leq \gamma_0(1 + |\mathbf{D}|^2)^{\frac{r-2}{4}} \leq \gamma_0 \quad \gamma_0 < \frac{1}{C_{div,2}} \frac{C_1}{C_1 + C_2}.$$

## Examples of $\nu$ 's fulfilling (A1) and (A2)

Consider

$$\nu_i(p, |\mathbf{D}|^2) = (\mu_i(p) + |\mathbf{D}|^2)^{\frac{r-2}{2}} \quad i = 1, 2, 3$$

$$\mu_1(p) = A + (1 + \alpha^2 p^2)^{\frac{-q}{2}}$$

$$\mu_2(p) = A + (1 + \exp(\alpha p))^{-q}$$

$$\mu_3(p) = \begin{cases} A + \exp(-\alpha q P) & \text{if } p > 0, \\ A + 1 & \text{if } p \leq 0. \end{cases}$$

with

$$\alpha > 0, \quad A > 0, \quad q > 0, \quad r \in (9/5, 2) \text{ and } \boxed{\alpha |q| (2 - r) \leq \frac{r - 1}{4}}$$

$\nu_i(\cdot, |\mathbf{D}|^2)$  is increasing in the first variable for any fixed  $\mathbf{D}$

These models are pressure thickening and shear thinning which is in agreement with experimental observations.

These models fulfil the assumptions **(A1)**–**(A2)**.

## Result #2

**Theorem 2.** (M. Bulíček, J. Málek, K. R. Rajagopal '07) Let **(A1)**–**(A2)** hold and  $r$  in **(A1)** satisfy

$$r \in \left( \frac{8}{5}, 2 \right)$$

Assume that

- $\partial\Omega \in C^{1,1}$
- $\mathbf{v}_0 \in L^2_{\mathbf{n},div}$
- $g \in L^1(0, T)$

Then for all  $T > 0$  (and any  $\alpha \in (0, 1]$ ) there exists at least one weak solution  $(\mathbf{v}, p)$  of the system (\*) completed by Navier's slip boundary conditions such that

$$\begin{aligned} \mathbf{v} &\in C(0, T; L^2_{weak}) \cap L^r(0, T; W^{1,r}_{\mathbf{n},div}) \\ p &\in L^{\frac{5r}{6}}(0, T; L^{\frac{5r}{6}}) \quad \text{and} \quad \int_{\Omega} p(t, x) dx = g(t) \end{aligned}$$

If  $r \in (9/5, 2)$ , the existence of suitable weak solution can be established.

**Assumptions on**  $S = \nu(p, e, |\mathbf{D}(\mathbf{v})|^2)\mathbf{D}(\mathbf{v})$  **and**  $\mathbf{q} = \kappa(p, e, |\mathbf{D}(\mathbf{v})|^2)\nabla e$

**(B1)** given  $r \in (1, 2)$  there are  $C_1 > 0$  and  $C_2 > 0$  and a nonincreasing function  $\gamma_1 \in C(\mathbb{R})$ ,  $\gamma_1 \geq 1$ , such that for all symmetric matrices  $\mathbf{B}$ ,  $\mathbf{D}$  and all  $p \in \mathbb{R}$  and  $e \in \mathbb{R}^+$

$$C_1\gamma_1(e)(1 + |\mathbf{D}|^2)^{\frac{r-2}{2}}|\mathbf{B}|^2 \leq \frac{\partial [\nu(p, |\mathbf{D}|^2)\mathbf{D}]}{\partial \mathbf{D}} \cdot (\mathbf{B} \otimes \mathbf{B}) \leq C_2\gamma_1(e)(1 + |\mathbf{D}|^2)^{\frac{r-2}{2}}|\mathbf{B}|^2$$

**(B2)** there is a  $\gamma_0 \geq 0$  and a function  $\gamma_2$  such that for all symmetric matrices  $\mathbf{D}$  and all  $p, e$

$$\left| \frac{\partial [\nu(p, |\mathbf{D}|^2)\mathbf{D}]}{\partial p} \right| \leq \gamma_0\gamma_2(e)(1 + |\mathbf{D}|^2)^{\frac{r-2}{4}}$$

**(B3)** given  $\beta > -1$  there are  $C_4, C_5 \in (0, \infty)$  such that for all  $\mathbf{D}$  and all  $p, e$

$$C_4e^\beta \leq \kappa(p, e, |\mathbf{D}|^2) \leq C_5e^\beta$$

## Result #3

**Theorem 3.** (M. Bulíček, J. Málek, K. R. Rajagopal '07)

Let **(B1)**–**(B3)** hold and  $r$  and  $\beta$  fulfil

$$r \in \left( \frac{9}{5}, 2 \right) \text{ and } \beta > -\frac{3r - 5}{3(r - 1)}$$

with  $\gamma_0$  "small". Assume that

- $\partial\Omega \in C^{1,1}$
- $\mathbf{v}_0 \in L^2_{\mathbf{n},div}$  and  $e_0 \in L^1$ ,  $e_0 \geq C^* > 0$  a.a. in  $\Omega$
- $g \in L^{r'}(0, T)$

$$\int_{\Omega_0} p(t, x) dx = g(t)$$

Then for all  $T > 0$  (and any  $\alpha \in (0, 1]$ ) and any  $(\mathbf{v}_0, e_0)$  there exists at least one suitable weak solution  $(\mathbf{v}, p, e)$  of the system relevant system completed by Navier's slip boundary conditions (mechanically and thermally isolated domain).

**Assumptions on**  $S = \nu(e, |D(v)|^2)D(v)$  **and**  $q = \kappa(e, \nabla e)\nabla e$

**(C1)** given  $r > 1$  there are  $C_1 > 0$  and  $C_2 > 0$  such that for all symmetric matrices  $B, D$  and  $e \in \mathbb{R}^+$

$$C_1(1 + |D|^2)^{\frac{r-2}{2}} |B|^2 \leq \frac{\partial [\nu(e, |D|^2)D]}{\partial D} \cdot (B \otimes B) \leq C_2(1 + |D|^2)^{\frac{r-2}{2}} |B|^2$$

**(C2)** given  $q > 1$  there are  $C_3 > 0$  and  $C_4 > 0$  such that for all vectors  $u, w$  and  $e \in \mathbb{R}^+$

$$C_3(1 + |u|^2)^{\frac{q-2}{2}} |w|^2 \leq \frac{\partial [\kappa(e, u)u]}{\partial u} \cdot (w \otimes w) \leq C_4(1 + |u|^2)^{\frac{q-2}{2}} |w|^2$$

## Result #4

**Theorem 4.** (M. Bulíček, L. Consiglieri, J. Málek '07)

Let **(C1)**–**(C2)** hold and  $r$  and  $q$  fulfil

$$r > \frac{9}{5} \text{ and } q > \frac{7}{4}$$

Assume that

- $\partial\Omega \in C^{1,1}$
- $\mathbf{v}_0 \in L^2_{\mathbf{n},div}$  and  $e_0 \in L^1$ ,  $e_0 \geq C^* > 0$  a.a. in  $\Omega$

Then for all  $T > 0$  (and any  $\alpha \in (0, 1]$ ) and any  $(\mathbf{v}_0, e_0)$  there exists at least one suitable weak solution  $(\mathbf{v}, p, e)$  of the system relevant system completed by Navier's slip boundary conditions (mechanically and thermally isolated domain).