

Weak Fraïssé classes and \aleph_0 -categoricity

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The setting

We work in a fixed **finite** and **relational** language \mathcal{L} .

All classes of finite structures will be supposed to be **closed under isomorphism**, **hereditary**, to satisfy the **joint embedding property**, and to **contain arbitrarily large structures**.

- **Hereditary**: if $\mathcal{A} \in \mathfrak{F}$ and $\mathcal{B} \leq \mathcal{A}$ then $\mathcal{B} \in \mathfrak{F}$.
- **Joint embedding property**: if $\mathcal{A}, \mathcal{B} \in \mathfrak{F}$ then there exists $\mathcal{C} \in \mathfrak{F}$ into which both \mathcal{A} and \mathcal{B} can embed.

If \mathcal{X} is an (infinite) countable structure, denote by $\text{Age}(\mathcal{X})$ the class of all finite structures that are embeddable into \mathcal{X} .

If \mathfrak{F} is a class of finite structures, denote by $\sigma\mathfrak{F}$ the class of all countable structures \mathcal{X} with $\text{Age}(\mathcal{X}) \subseteq \mathfrak{F}$.

Question

When does $\sigma\mathfrak{F}$ have a generic element, and how does this element look like?

Mod(\mathcal{L})

Denote by $\text{Mod}(\mathcal{L})$ the set of all countable structures with underlying set \mathbb{N} .

$\text{Mod}(\mathcal{L})$ can be endowed with a compact Polish space structure, by identifying it with $\prod_{i < n} 2^{\mathbb{N}^{a_i}}$, where $\mathcal{L} = \{R_i \mid i < n\}$ and R_i has arity a_i .

$\sigma_{\mathbb{N}}\mathfrak{F} := \sigma\mathfrak{F} \cap \text{Mod}(\mathcal{L})$ is a **closed** subset of $\text{Mod}(\mathcal{L})$.

For \mathcal{X} countable, let $\langle \mathcal{X} \rangle := \{\mathcal{Y} \in \text{Mod}(\mathcal{L}) \mid \mathcal{Y} \cong \mathcal{X}\}$.

Observation

$$\sigma_{\mathbb{N}} \text{Age}(\mathcal{X}) = \overline{\langle \mathcal{X} \rangle}.$$

Theorem (Cameron 1991)

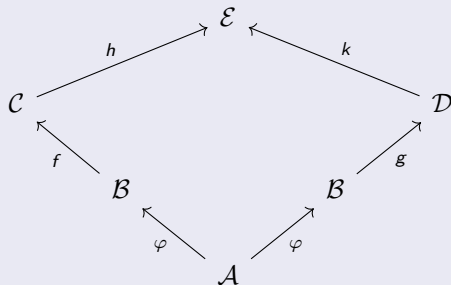
If \mathfrak{F} is a Fraïssé class, then its Fraïssé limit has a comeager isomorphism class in $\sigma\mathfrak{F}$.

Weak amalgamation

We work in the category of all \mathcal{L} -structures with embeddings as arrows.

Definition

An arrow $\varphi: \mathcal{A} \rightarrow \mathcal{B}$, where $\mathcal{A}, \mathcal{B} \in \mathfrak{F}$, is \mathfrak{F} -amalgamable if $\forall \mathcal{C}, \mathcal{D} \in \mathfrak{F}$, $\forall f: \mathcal{B} \rightarrow \mathcal{C}$, $\forall g: \mathcal{B} \rightarrow \mathcal{D}$, $\exists \mathcal{E} \in \mathfrak{F}$, $\exists h: \mathcal{C} \rightarrow \mathcal{E}$, $\exists k: \mathcal{D} \rightarrow \mathcal{E}$ such that the following diagram commutes:



Weak amalgamation

Say that the class \mathfrak{F} :

- satisfies the **amalgamation property (AP)** if for every $\mathcal{A} \in \mathfrak{F}$, $\text{Id}_{\mathcal{A}}$ is \mathfrak{F} -amalgamable;
- satisfies the **cofinal amalgamation property (CAP)** if for every $\mathcal{A} \in \mathfrak{F}$, there exists $\mathcal{B} \in \mathfrak{F}$ with $\mathcal{A} \leq \mathcal{B}$ such that $\text{Id}_{\mathcal{B}}$ is \mathfrak{F} -amalgamable;
- satisfies the **weak amalgamation property (WAP)** if for every $\mathcal{A} \in \mathfrak{F}$, there exists an \mathfrak{F} -amalgamable arrow with domain \mathcal{A} .

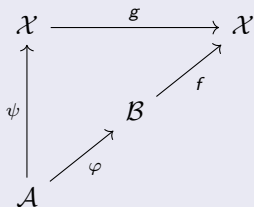
Theorem (Krawczyk–Kubiś 2021)

$\sigma_{\aleph_0} \mathfrak{F}$ contains a comeager isomorphism class if and only if the class \mathfrak{F} has the WAP.

Weak/pre-(ultra)homogeneity

Definition

A countable structure \mathcal{X} is said to be **weakly ultrahomogeneous** (or **weakly homogeneous**, or **prehomogeneous**) if for every $\mathcal{A} \in \text{Age}(\mathcal{X})$ and every $\psi: \mathcal{A} \rightarrow \mathcal{X}$, there exists $\mathcal{B} \in \text{Age}(\mathcal{X})$ and $\varphi: \mathcal{A} \rightarrow \mathcal{B}$ such that for every $f: \mathcal{B} \rightarrow \mathcal{X}$, there exists $g \in \text{Aut}(\mathcal{X})$ such that the following diagram commutes:

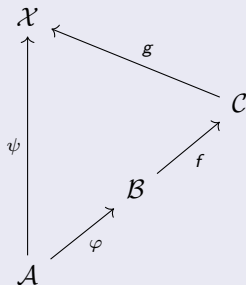


Such a φ will be called a **ψ -homogeneity witness**.

Weak injectivity

Definition

A countable structure \mathcal{X} is said to be **weakly injective** if for every $\mathcal{A} \in \text{Age}(\mathcal{X})$ and every $\psi: \mathcal{A} \rightarrow \mathcal{X}$, there exists $\mathcal{B} \in \text{Age}(\mathcal{X})$ and $\varphi: \mathcal{A} \rightarrow \mathcal{B}$ such that for every $\mathcal{C} \in \text{Age}(\mathcal{X})$ and every $f: \mathcal{B} \rightarrow \mathcal{C}$, there exists $g: \mathcal{C} \rightarrow \mathcal{X}$ such that the following diagram commutes:



Such a φ will be called a **ψ -injectivity witness**.

Theorem (Krawczyk–Kubiś 2021)

A countable structure \mathcal{X} is weakly injective if and only if it is weakly ultrahomogeneous. Moreover, for $\mathcal{A} \in \text{Age}(\mathcal{X})$ and $\psi: \mathcal{A} \rightarrow \mathcal{X}$, ψ -injectivity witnesses and ψ -homogeneity witnesses coincide.

Let \mathcal{X} be a weakly ultrahomogeneous structure. \mathcal{X} is said to be:

- **ultrahomogeneous** if for every finite $\mathcal{A} \leq \mathcal{X}$, $\text{Id}_{\mathcal{A}}$ is a homogeneity witness for the inclusion map $\mathcal{A} \hookrightarrow \mathcal{X}$.
- **cofinally ultrahomogeneous** if for every finite $\mathcal{A} \leq \mathcal{X}$, there exists a finite \mathcal{B} with $\mathcal{A} \leq \mathcal{B} \leq \mathcal{X}$ such that $\text{Id}_{\mathcal{B}}$ is a homogeneity witness for the inclusion map $\mathcal{B} \hookrightarrow \mathcal{X}$.

The Fraïssé correspondance

Theorem (Pouzet–Roux 1996, Krawczyk–Kubiś 2021)

If a countable structure \mathcal{X} is weakly ultrahomogeneous, then $\text{Age}(\mathcal{X})$ has the WAP. Conversely, if a class \mathfrak{F} of finite structures has the WAP, then there exists a countable weakly ultrahomogeneous structure \mathcal{X} , unique up to isomorphism, such that $\text{Age}(\mathcal{X}) = \mathfrak{F}$. This structure moreover satisfies:

- (1) $\langle \mathcal{X} \rangle$ is a dense G_δ subset of $\sigma_{\mathbb{N}}\mathfrak{F}$;
- (2) for every $\mathcal{A}, \mathcal{B} \in \text{Age}(\mathcal{X})$, every $\psi: \mathcal{A} \rightarrow \mathcal{X}$ and every $\varphi: \mathcal{A} \rightarrow \mathcal{B}$, the arrow φ is a ψ -homogeneity witness iff it is an \mathfrak{F} -amalgamable arrow and ψ factors through φ .

The structure \mathcal{X} is called the **(weak) Fraïssé limit** of \mathfrak{F} and denoted by $\text{Flim}(\mathfrak{F})$. It follows from (2) that $\text{Flim}(\mathfrak{F})$ is ultrahomogeneous (resp. co-finally ultrahomogeneous) iff \mathfrak{F} has the AP (resp. the CAP).

Theorem (Pouzet–Roux 1996)

If \mathcal{X} is a countable structure such that $\langle \mathcal{X} \rangle$ is comeager in its closure in $\text{Mod}(\mathcal{L})$, then \mathcal{X} is weakly ultrahomogeneous.

To summarize:

- We have a Fraïssé correspondance between classes with the WAP and weakly ultrahomogeneous structures. It generalizes the usual Fraïssé correspondance.
- For a countable structure \mathcal{X} , the following are equivalent:
 - (1) \mathcal{X} is weakly ultrahomogeneous;
 - (2) \mathcal{X} is weakly injective;
 - (3) $\langle \mathcal{X} \rangle$ is a G_δ subset of $\text{Mod}(\mathcal{L})$;
 - (4) $\langle \mathcal{X} \rangle$ is comeager in its closure in $\text{Mod}(\mathcal{L})$.

Definition

A countable structure \mathcal{X} is said to be \aleph_0 -categorical if it is countable and there exists a first-order theory T whose only countable model is \mathcal{X} , up to isomorphism.

Theorem (Engeler–Ryll–Nardzewski–Svenonius)

Let \mathcal{X} be a countable structure. The following are equivalent:

- (1) \mathcal{X} is \aleph_0 -categorical;
- (2) for every $n \in \mathbb{N}$, \mathcal{X} has finitely many n -types without parameters;
- (3) for every $n \in \mathbb{N}$, $\mathcal{X}^n // \text{Aut}(\mathcal{X})$ is finite.

Homogeneity properties and \aleph_0 -categoricity

Fact

If \mathcal{X} is ultrahomogeneous, then \mathcal{X} is \aleph_0 -categorical.

There are **no other implications** between a homogeneity property and \aleph_0 -categoricity.

Example

Let $\overline{\mathbb{Q}} := \mathbb{Q} \cup \{\pm\infty\}$. Then $(\overline{\mathbb{Q}}, <)$ is \aleph_0 -categorical, but not weakly ultrahomogeneous.

Example

Consider the following graph Z :



Then Z is not \aleph_0 -categorical, but it is cofinally homogeneous.

\aleph_0 -categorical weakly ultrahomogeneous structures

If \mathcal{X} is weakly ultrahomogeneous and $\mathcal{A} \in \text{Age}(\mathcal{X})$, then the type of an embedding $\psi: \mathcal{A} \rightarrow \mathcal{X}$ is entirely determined by a ψ -injectivity witness.

Theorem

Let \mathfrak{F} be a class of finite structures with the WAP and let $\mathcal{X} := \text{Flim}(\mathfrak{F})$. The following are equivalent:

- (1) \mathcal{X} is \aleph_0 -categorical;
- (2) for every $\mathcal{A} \in \mathfrak{F}$, there is a finite family $\mathfrak{B}_{\mathcal{A}}$ of amalgamable arrows with domain \mathcal{A} such that each $\psi: \mathcal{A} \rightarrow \mathcal{X}$ has an injectivity witness in $\mathfrak{B}_{\mathcal{A}}$.

Definition

Say that a class \mathfrak{F} of finite structures satisfies the **uniform WAP** if it satisfies the WAP and condition (2) from last slide.

Theorem

The uniform WAP can be read on the class in a finitary way.

Age of an \aleph_0 -categorical structure

Theorem

Let \mathcal{X} be an \aleph_0 -categorical structure. Then $\text{Age}(\mathcal{X})$ has the WAP and $\text{Flim}(\text{Age}(\mathcal{X}))$ is also \aleph_0 -categorical.

Definition

A countable structure \mathcal{X} is said to be **universal** if every element of $\sigma \text{Age}(\mathcal{X})$ can be embedded into \mathcal{X} .

The WAP for $\text{Age}(\mathcal{X})$ relies on the two following results.

Theorem (Folklore? Seen in Cameron 1991)

\aleph_0 -categorical structures are universal.

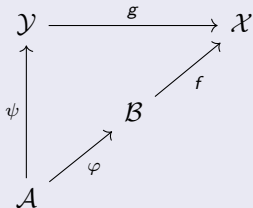
Theorem (Krawczyk–Kubiś 2021)

If \mathcal{X} is universal, then $\text{Age}(\mathcal{X})$ has the WAP.

Strong universality

Definition

A countable structure \mathcal{X} is said to be **strongly universal** if for every countable structure \mathcal{Y} , every $\mathcal{A} \in \text{Age}(\mathcal{Y})$, and every $\psi: \mathcal{A} \rightarrow \mathcal{Y}$, there exists $\mathcal{B} \in \text{Age}(\mathcal{Y})$ and $\varphi: \mathcal{A} \rightarrow \mathcal{B}$ such that for every $f: \mathcal{B} \rightarrow \mathcal{X}$, there exists **an embedding** $g: \mathcal{Y} \rightarrow \mathcal{X}$ such that the following diagram commutes:



Such a φ will be called an **ψ -universality witness**.

Strong universality

Strong universality implies universality.

Theorem

Every \aleph_0 -categorical structure is strongly universal.

Strong universality is difficult to handle and very different from weak ultrahomogeneity. For instance, even if $\text{Age}(X)$ satisfies the AP, identity arrows cannot always be universality witnesses.

Question

Are there non- \aleph_0 -categorical, strongly universal structures?

Sketch of the proof of the main theorem

If \mathcal{X} is a countable structure and $\mathcal{A} \in \text{Age}(\mathcal{X})$, then for $f, g: \mathcal{A} \rightarrow \mathcal{X}$, we write $f \leq g$ if there exists an embedding $h: \mathcal{X} \rightarrow \mathcal{X}$ such that $g = h \circ f$. This is a quasiordering, denote by \equiv the associated equivalence relation. If \mathcal{X} is \aleph_0 -categorical, then \equiv has finitely many classes. In particular, the quasiordering \leq has maximal elements.

Lemma

Let $\psi: \mathcal{A} \rightarrow \mathcal{X}$ be \leq -maximal. Let φ be a ψ -universality witness. Then φ is an $\text{Age}(\mathcal{X})$ -amalgamable arrow.

Taking one arrow of this form for each maximal class is enough to witness that $\text{Age}(\mathcal{X})$ satisfies the uniform WAP.

An open question

Question

Is there a condition (C) on countable structures, expressible in category-theoretic terms, such that for every class \mathfrak{F} with the uniform WAP, structures $\mathcal{Y} \in \sigma\mathfrak{F}$ are \aleph_0 -categorical iff they satisfy (C)?

Could this condition (C) be strong universality?

Link with model-companionship

Definition

A theory T is said to be **model-complete** if every embedding between models of T is elementary.

Definition

Let T be a theory. A **model-companion** of T is a theory T' such that:

- (1) T' is model-complete;
- (2) every model of T embeds into a model of T' ;
- (3) every model of T' embeds into a model of T .

If a model companion exists, then it is unique.

Fact

Let \mathcal{X} be an \aleph_0 -categorical, weakly ultrahomogeneous structure. Then $\text{Th}(\mathcal{X})$ is model-complete.

Using the main theorem, the latter fact, and universality, we easily obtain:

Theorem (Saracino 1973)

Let T be an \aleph_0 -categorical theory. Then T has a model companion, which is also \aleph_0 -categorical.

Thank you for your attention!