

Galois connections  
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THE Galois connection  
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Clone lattice  
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Modifications  
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Applications  
oooooooo

# Clones and Galois connections

Reinhard Pöschel

Institut für Algebra  
Technische Universität Dresden

The 56th Summer School on Algebra and Ordered Sets  
Špindlerův Mlýn, September 2 - 7, 2018



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# Galois connections and clones

(with 3 memorial intermissions)

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# Outline

## Galois connections

The “most basic Galois connection” in algebra

The clone lattice

Modifications of the Galois connection Pol – Inv

Some applications of THE Galois connection Pol – Inv

# Évariste Galois



## *Évariste Galois*

(1811, Oct. 25 – 1832, May 31)

# Évariste Galois



*Évariste Galois*

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from Wikipedia:

Évariste Galois was a French mathematician. While still in his teens, he was able to determine a necessary and sufficient condition for a polynomial to be solvable by radicals, thereby solving a problem standing for 350 years. His work laid the foundations for Galois theory and group theory, two major branches of abstract algebra, and the subfield of **Galois connections**. He died at age 20 from wounds suffered in a duel.

## Galois connections

A *Galois connection* between two posets, here power set lattices  $(\mathcal{P}(M_1), \subseteq)$  and  $(\mathcal{P}(M_2), \subseteq)$ , is a pair of mappings

$\varphi : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$  and  $\psi : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$

satisfying (for  $X, X_1, X_2 \in \mathfrak{P}(M_1)$ ,  $Y, Y_1, Y_2 \in \mathfrak{P}(M_2)$ ):

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$$(I) \quad x_1 \subseteq x_2 \implies \varphi x_1 \supseteq \varphi x_2$$

(II)  $Y_1 \subseteq Y_2 \implies \psi Y_1 \supseteq \psi Y_2$

(III)  $X \subseteq \psi(\varphi X)$

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(X)  $\varphi$  and  $\psi$  are order-reversing bijections between the Galois closures (= closures of  $h_1$  and  $h_2$ )

## Galois connections via binary relations

Each Galois connection  $(\varphi, \psi)$  between  $\mathfrak{P}(M_1)$  and  $\mathfrak{P}(M_2)$  is uniquely determined by a binary relation

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$$\begin{aligned}\varphi : \mathfrak{P}(M_1) &\rightarrow \mathfrak{P}(M_2) : \varphi(X) := \{b \in M_2 \mid \forall a \in X : aRb\}, \\ \psi : \mathfrak{P}(M_2) &\rightarrow \mathfrak{P}(M_1) : \psi(Y) := \{a \in M_1 \mid \forall b \in Y : aRb\}.\end{aligned}$$

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We have:

$$aRb \iff b \in \varphi(\{a\}) \iff a \in \psi(\{b\}).$$

## “General Example”: Formal concepts

In Formal Concept Analysis (*Rudolf Wille* (~ 1970)),

$$(G, M, I) := (M_1, M_2, R)$$

is called *formal context*.

( $g \in G$  objects (Gegenstände),  $m \in M$  attributes (Merkmale))

$I \subseteq G \times M$  incidence relation:  $g \in I_m \iff$  object  $g$  has attribute  $m$

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(each component completely determines the other)

## The main problem for a Galois connection

The **main problem** for each Galois connection is the (internal)

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i.e., sets satisfying  $\psi(\varphi(X)) = X$  or  $\varphi(\psi(Y)) = Y$

or, equivalently,

sets of the form  $\psi(Y)$  and  $\varphi(X)$  ( $X \subseteq M_1$ ,  $Y \subseteq M_2$ ).

## Example: The classical Galois connection

Let  $E : K$  be a *field extension* (i.e.,  $K$  is a subfield of a field  $E$ ).

The classical Galois connection (in modern form) is given by:

$$M_1 := E,$$

$$M_2 := \text{Aut}(E : K) := \{\sigma \in \text{Aut}(E) \mid \forall a \in K : \sigma(a) = a\}$$

(the *Galois group* of  $E : K$ ),

$$aR\sigma : \iff \sigma(a) = a \text{ (fixed point).}$$

Notation:  $\text{Aut}(E : L) := \varphi(L)$  for  $L \subseteq E$ ,

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Theorem (Characterization of the Galois closures)

$$L = \text{Fix}(E; \text{Aut}(E : L)) \iff K \leq L \leq E \text{ (*intermediate fields*)},$$

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*(subgroups of the Galois group)*

$$(E : K \text{ Galois extension} \iff \exists \text{ finite } G \leq \text{Aut}(E) : K = \text{Fix}(E; G))$$

## Another well-known example

Galois connection given by  $(M_1, M_2, \models)$  with

$M_1 := \text{Alg}(\Omega)$  (*algebras **A** of fixed type  $\Omega$* ),

$M_2 := T_\Omega(X) \times T_\Omega(X)$  (*identities  $s \approx t$ , i.e., pairs of terms*),

$\mathbf{A} \models s \approx t$  (*algebra **A** satisfies an identity  $s \approx t$* ).

Notation:

$\text{Id}(\mathcal{K}) := \varphi(\mathcal{K})$  *identities* for a set  $\mathcal{K}$  of algebras,

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Theorem (Characterization of the Galois closures)

*Equational classes*  $\text{Mod Id } \mathcal{K} = \mathbf{HSPK}$  varieties

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## Galois connections: antitone vs. monotone

A monotone Galois connection between  $(P_1, \leq)$  and  $(P_2, \leq)$  is the same as a Galois connection between  $(P_1, \leq)$  and  $(P_2, \geq)$ .

( $\varphi^*$  upper adjoint,  $\psi^*$  lower adjoint)

Definition via a binary relation  $R \subseteq M_1 \times M_2$ :

$\varphi(X) := \{b \in M_2 \mid \forall a \in X : (a, b) \in R\}$ ,  $\psi(Y) := \{a \in M_1 \mid \forall b \in Y : (a, b) \in R\}$

$\varphi^*(X) := M_2 \setminus \{b \in M_2 \mid \forall a \in X : (a, b) \in R\} = \{b \mid \exists a \in X : (a, b) \notin R\}$ .

## Galois connections: antitone vs. monotone

Recall:

A pair  $(\varphi, \psi)$ ,  $\varphi : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$ ,  $\psi : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$  is a *Galois connection*,

iff  $\forall X \subseteq M_1, Y \subseteq M_2 :$

$$Y \subseteq \varphi(X) \iff \psi(Y) \supseteq X$$

( $\varphi^*$  upper adjoint,  $\psi^*$  lower adjoint)

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$$\varphi^*(X) := M_2 \setminus \{b \in M_2 \mid \forall a \in X : (a, b) \in R\} = \{b \mid \exists a \in X : (a, b) \notin R\},$$

$$\psi^*(Y) := \{a \in M_1 \mid \forall b \in M_2 \setminus Y : (a, b) \in R\},$$

$$\text{note } (a, b) \in R \iff b \notin \varphi^*(\{a\}) \iff a \in \psi^*(M_2 \setminus \{b\})$$

## Galois connections: antitone vs. monotone

A pair  $(\varphi, \psi)$ ,  $\varphi : \mathfrak{P}(M_1) \rightarrow \mathfrak{P}(M_2)$ ,  $\psi : \mathfrak{P}(M_2) \rightarrow \mathfrak{P}(M_1)$  is a **(antitone) Galois connection**,

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( $\varphi^*$  **upper adjoint**,  $\psi^*$  **lower adjoint**)

Definition via a binary relation  $R \subseteq M_1 \times M_2$ :

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# Outline

Galois connections

The “most basic Galois connection” in algebra

The clone lattice

Modifications of the Galois connection Pol – Inv

Some applications of THE Galois connection Pol – Inv

# The “most basic Galois connection” in algebra

## ALGEBRAS, LATTICES, VARIETIES VOLUME I (1987)

Ralph N. McKenzie  
University of California, Berkeley

George F. McNulty  
University of South Carolina

Walter F. Taylor  
University of Colorado

The most basic Galois connection in algebra is the one associated to the binary relation of preservation between operations and relations. (Nearly all of the most basic concepts in algebra can be defined in terms of this relation.)

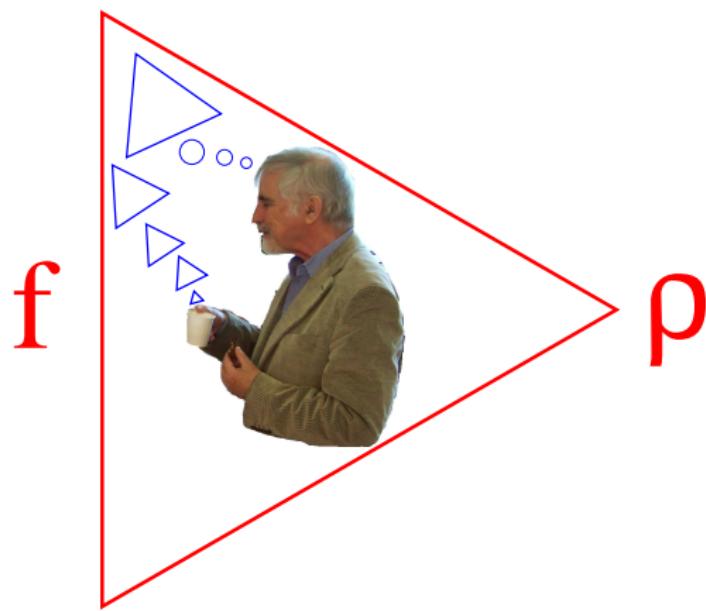
Observe that the automorphisms, endomorphisms, subuniverses, and congruences of an algebra are defined by restricting the preservation relation to special types of relations. The congruences of an algebra, for example, are the equivalence relations that are preserved by the basic operations of the algebra.

## Notation

Notation  $f$  preserves  $\varrho$ :

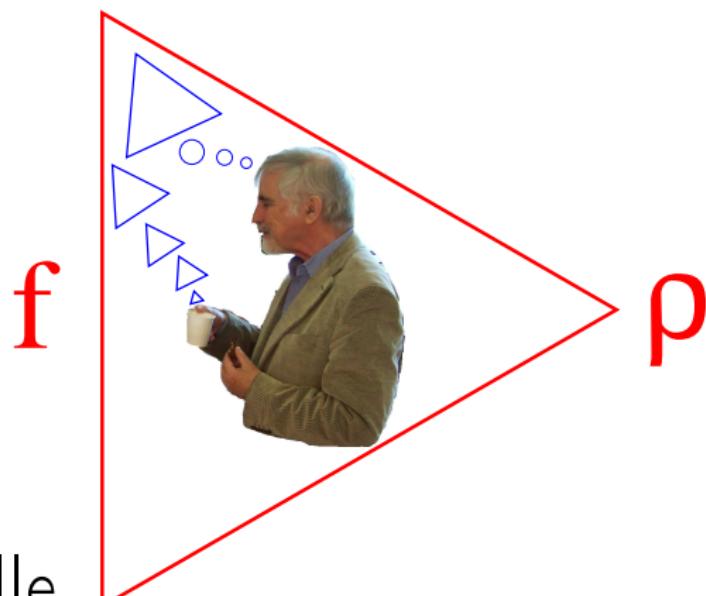
# Notation

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2003

Galois connections  
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THE Galois connection  
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Clone lattice  
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Modifications  
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Applications  
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The first memorial intermission

*Rudolf Wille (1937–2017)*



Galois connections  
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# Rudolf Wille (2.11.1937 – 22.1.2017)

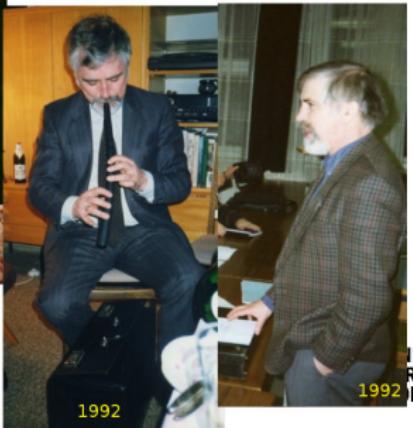
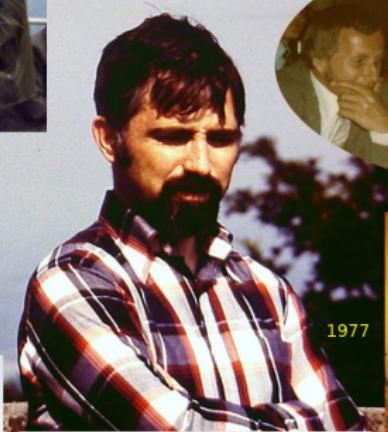
Memorial AAA86 in Darmstadt, June 1–3, 2018

# Rudolf Wille (2.11.1937 – 22.1.2017)

Memorial AAA86 in Darmstadt, June 1–3, 2018



*AAA series founded by  
Rudolf Wille 1971*



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THE Galois connection  
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Clone lattice  
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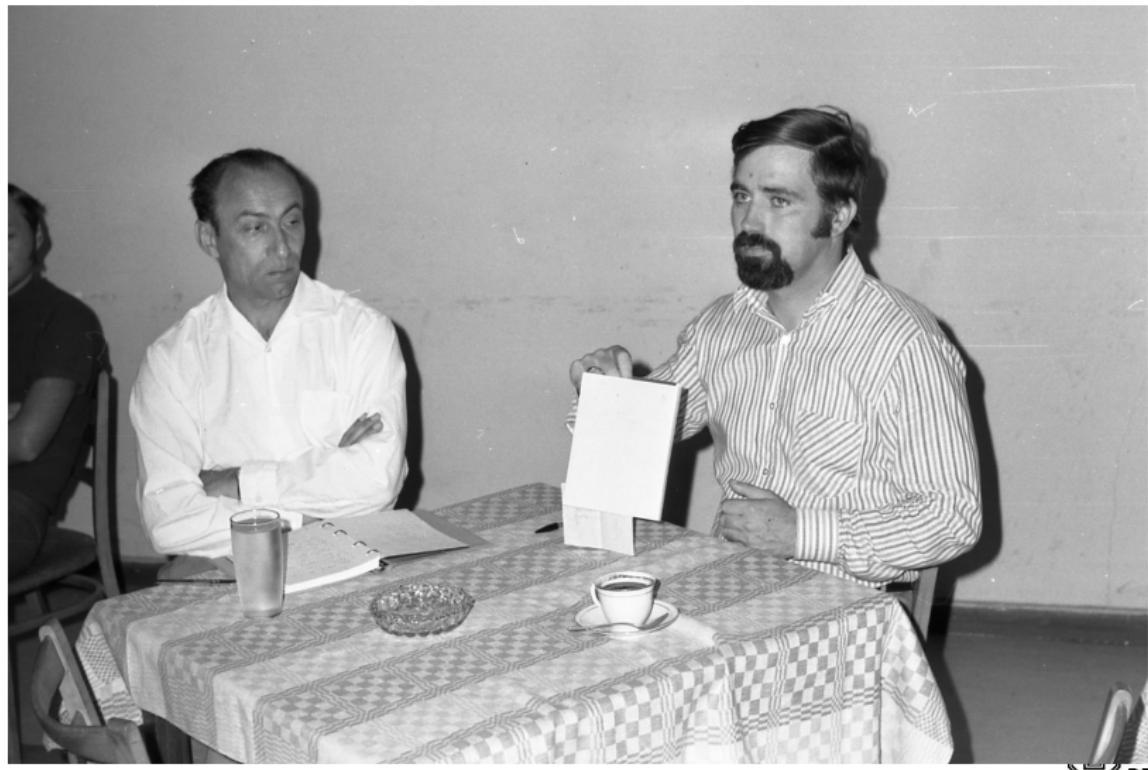
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# THE Galois connection Pol – Inv

induced by the relation **function  $f$  preserves relation  $\varrho$** :

$$f \triangleright \varrho$$

$F \subseteq \text{Op}(A)$  (set of all finitary operations  $f : A^n \rightarrow A$ ) ("objects")

$Q \subseteq \text{Rel}(A)$  (set of all finitary relations  $\varrho \subseteq A^m$ ) ("attributes")

$\text{Inv } F := \{\varrho \in R_A \mid \forall f \in F : f \triangleright \varrho\}$  invariant relations

$\text{Pol } Q := \{f \in \text{Op}(A) \mid \forall \varrho \in Q : f \triangleright \varrho\}$  polymorphisms

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$$\begin{array}{c} f( a_{11} \quad a_{12} \quad \dots \quad a_{1n} ) = \textcolor{red}{\bullet} \\ f( a_{21} \quad a_{22} \quad \dots \quad a_{2n} ) = \textcolor{red}{\bullet} \\ \vdots \\ f( a_{m1} \quad a_{m2} \quad \dots \quad a_{mn} ) = \textcolor{red}{\bullet} \end{array}$$

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Galois connections  
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THE Galois connection  
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Clone lattice  
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Modifications  
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Applications  
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# Characterization of the Galois closures

## Theorem

$\mathcal{A} = \langle A, F \rangle$  finite algebra.

- $\text{Clo}(\mathcal{A}) = \langle F \rangle = \text{Pol Inv } F$  (clone generated by  $F$ )<sup>1</sup>,
- $[Q]_{(\exists, \wedge, =)} = \text{Inv Pol } Q$  (relational clone generated by  $Q$ ).

$\mathcal{A} = \langle A, F \rangle$  (arbitrary, also infinite) algebra

- $\text{Loc Clo}(\mathcal{A}) = \text{Loc}(F) = \text{Pol Inv } F$  (locally closed clone generated by  $F$ )
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<sup>1</sup>D. Geiger [Gei1968] (50 years ago!).

Lev Arkad'evic Kalužnin, Лев Аркадьевич Калужнин [BodKKR1969]

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## What are clones?

A set  $F$  of finitary functions  $f : A^n \rightarrow A$  (on a base set  $A$ ) is called **clone<sup>2</sup> (of operations)** if

- $F$  contains all *projections* ( $e_i^n(x_1, \dots, x_n) = x_i$ )
- $F$  is *closed under composition*<sup>3</sup> i.e., if  $f, g_1, \dots, g_n \in F$  ( $f$   $n$ -ary,  $g_i$   $m$ -ary), then

$$f[g_1, \dots, g_n] \in F .$$

<sup>2</sup>P.M. Cohn (1965) attributes the notion to Ph. Hall

<sup>3</sup>K. Menger (1961) composition = operation par excellence

## What are clones?

A set  $F$  of finitary functions  $f : A^n \rightarrow A$  (on a base set  $A$ ) is called *clone*<sup>2</sup> (*of operations*) if

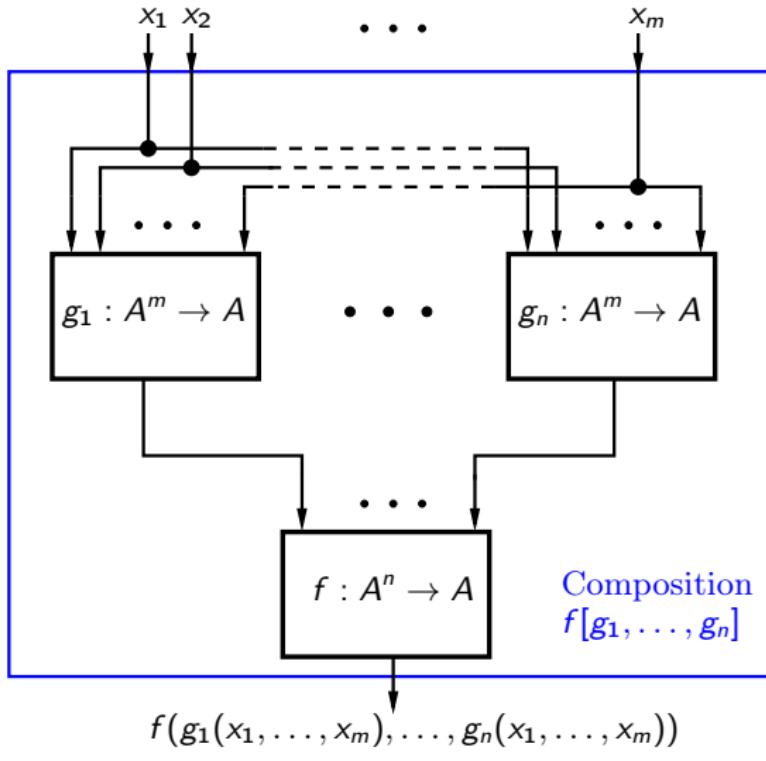
- $F$  contains all *projections* ( $e_i^n(x_1, \dots, x_n) = x_i$ )
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$$f[g_1, \dots, g_n] \in F .$$

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A set  $Q$  of finitary relations on a set  $A$  is called a *clone (of relations)* or *relational clone, coclone* if it is closed with respect to primitive positive first order formulas  $\varphi \in \Phi(\exists, \wedge, =)$ ,

i.e. for  $\varphi(x_1, \dots, x_m; \varrho_1, \dots, \varrho_n) \in \Phi(\exists, \wedge, =)$  we have

$$\varrho_1, \dots, \varrho_n \in Q \implies$$

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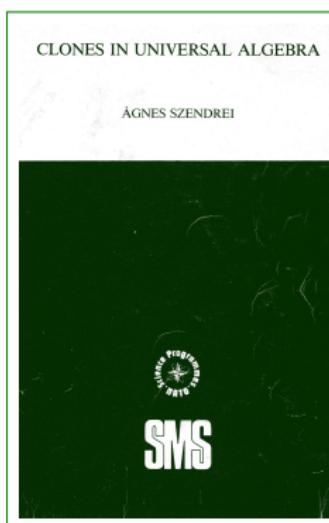
# Some books about clones (and the Galois connection Pol – Inv)

*R. Pöschel*

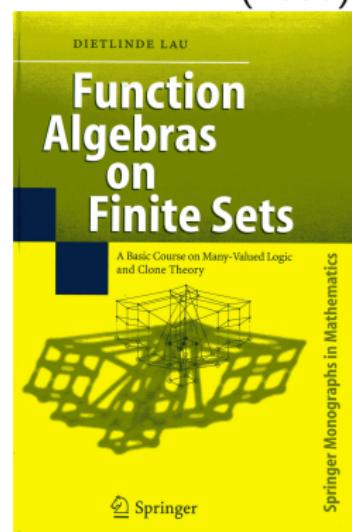
*L.A. Kalužnin* (1979)



*A. Szendrei* (1986)



*D. Lau* (2006)



The second memorial intermission

*Dietlinde Lau (1950–2018)*

# Dietlinde Lau (May 1, 1950 – June 12, 2018)

Das Institut für Mathematik der Universität Rostock trauert um

## Prof. Dr. Dietlinde Lau

\*01.05.1950

† 12.06.2018



Wir müssen Abschied nehmen von einer liebenswerten Kollegin und hervorragenden Hochschullehrerin. Frau Professor Dietlinde Lau hat ihre wissenschaftliche Karriere an der Universität Rostock absolviert. Durch eine Kinderlähmung war sie stark behindert, umso höher ist ihr äußerst erfolgreicher Weg wertzuschätzen. Sie begann ihr Mathematikstudium im Jahr 1969 und nach einem Forschungsstudium promovierte sie 1977 und habilitierte sich 1985 auf dem Gebiet der Diskreten Mathematik. Schließlich wurden ihre großen Leistungen bereits 1999 mit der Berufung zur außerplanmäßigen Professorin für Diskrete Mathematik gewürdigt.

Frau Professor Lau hat sich mit sehr großen Engagement für eine gut durchdachte, anspruchsvolle und erfolgsorientierte Lehre eingesetzt. Sie hat die Mathematik-Ausbildung für Informatiker aufgebaut und bis zu ihrem Ruhestand durchgeführt. Ein Denkmal hat sie sich mit ihren 2 Lehrbüchern und einem Übungsbuch zur Mathematik-Ausbildung für Informatiker gesetzt. Einzigartig hier in Rostock waren ihre hervorragenden Kenntnisse zur Geschichte der Mathematik, die sie in ihren Vorlesungen den Studierenden vermittelte.

Mit ihrer Forschung, insbesondere auch durch ein Buch auf dem Gebiet der Funktionalalgebren und der k-wertigen Logik, hat sie große internationale Anerkennung gefunden. Abschlussarbeiten hat sie sehr intensiv betreut, was u.a. auch zu einer erfolgreichen Promotion geführt hat.

Wir werden Frau Professor Lau als herzliche, sehr kollegiale und unermüdlich arbeitende Kollegin in Erinnerung behalten, die den größten Teil ihres Lebens dem Beruf, der Mathematik und dem Institut gewidmet hat. Wir werden ihr ein ehrendes Gedenken bewahren.

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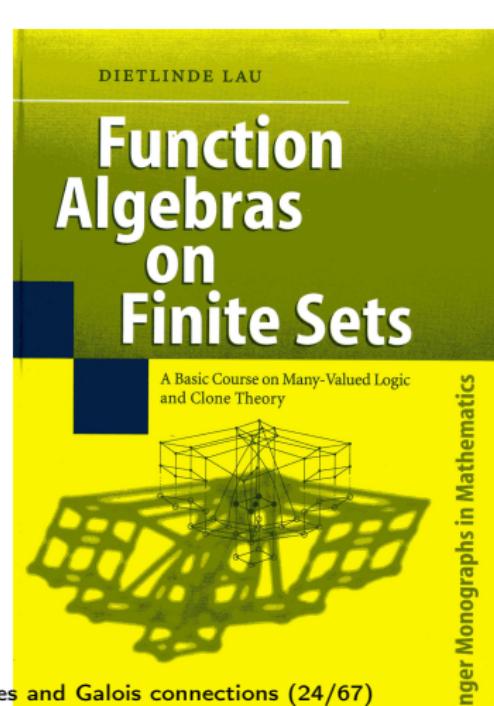
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Prof. Dr. Konrad Engel  
Spindlerův Mlyn, Sept. 6, 2018



Technische Universität Dresden  
CHNISCHE UNIVERSITÄT  
ESDEN  
nger Monographs in Mathematics

# Dietlinde Lau (May 1, 1950 – June 12, 2018)



Galois connections  
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THE Galois connection  
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Clone lattice  
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Modifications  
oooooooooooooooooooooooooooo

Applications  
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# Outline

Galois connections

The “most basic Galois connection” in algebra

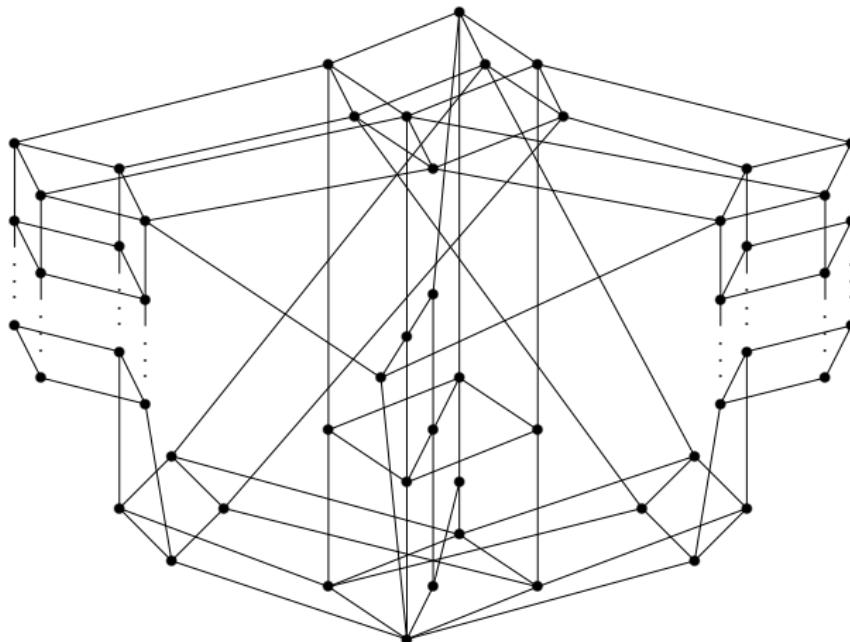
The clone lattice

Modifications of the Galois connection Pol – Inv

Some applications of THE Galois connection Pol – Inv

## The lattice $\mathcal{L}_A$ of clones on $A$

The lattice  $\mathcal{L}_A$  of all clones on base set  $A = \{0, 1\}$  is countable  
(*E.L.Post* 1921/41)



## The lattice $\mathcal{L}_A$ of clones on $A$

$|A| > 2$ : The lattice of all clones on base set  $A$  is **uncountable**  
 $|\mathcal{L}_A| = 2^{\aleph_0}$  for  $3 \leq |A| \in \mathbb{N}$ , and  $|\mathcal{L}_A| = 2^{2^{|A|}}$  for infinite  $A$

The lattice  $\mathcal{L}_A$  satisfies no nontrivial lattice identities  
(*A. Bulatov* 1992,...)

every algebraic lattice (with at most  $2^{|A|}$  compact elements) is  
(isomorphic to) a complete sublattice of  $\mathcal{L}_A$  (*M. Pinsker*)

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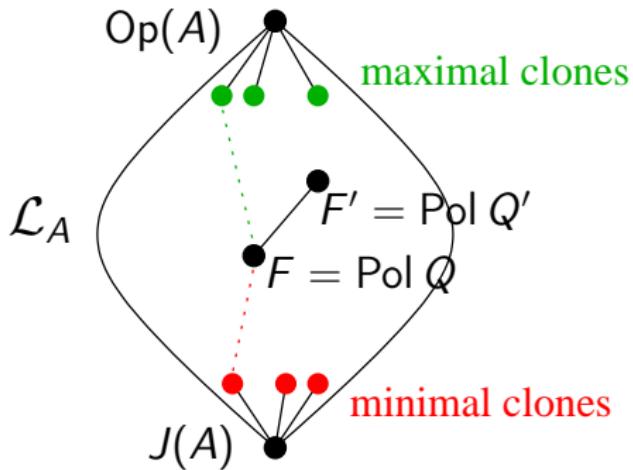
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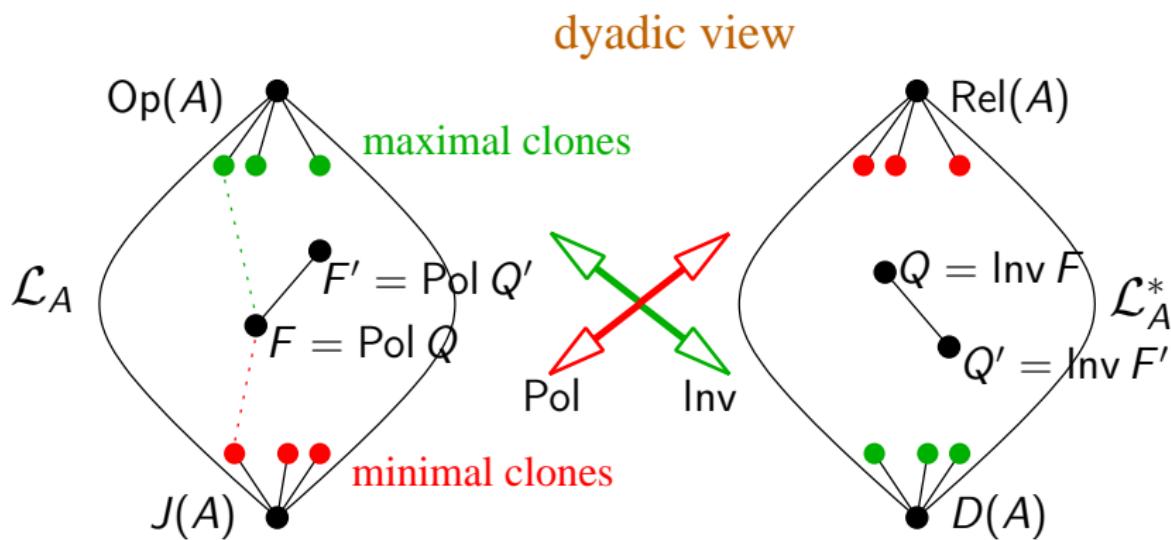
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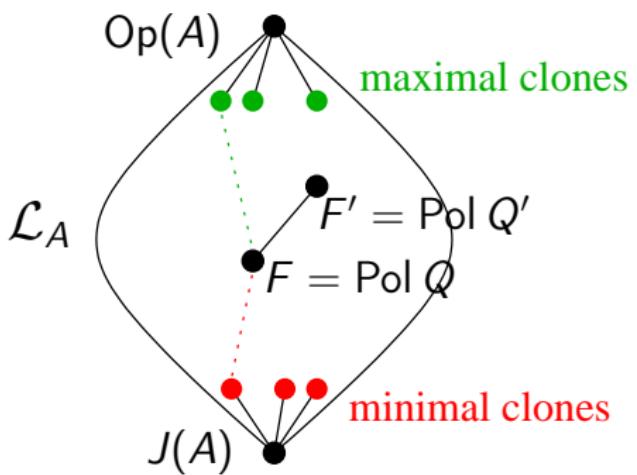


The lattice  $\mathcal{L}_A$  of clones on  $A$ 

$$F \vee F' = \text{Pol}(Q \cap Q')$$

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Maximal clones:

$|A| = 2$ : *E.L. Post*

$|A| = 3$ : *S.V. Jablonski* (1958)

$|A| = 4$ : *A.I. Mal'cev* ( $\leq 1969$ )

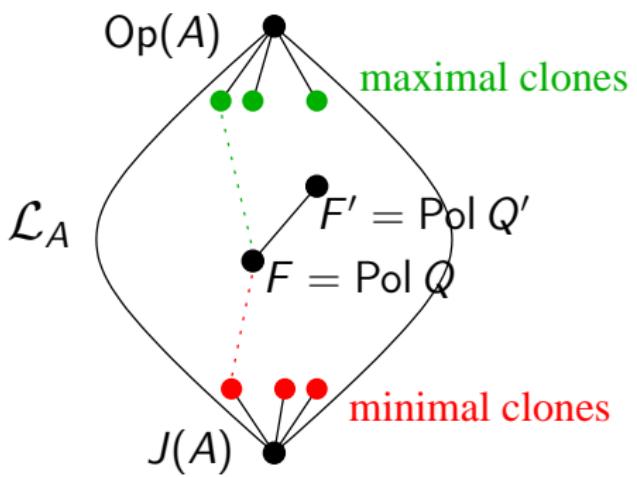
$|A| \in \mathbb{N}$ : *I.G. Rosenberg* (1970)

(of form  $\text{Pol } \varrho_i$ ,  $i \in I$ )

$|A|$  infinite: *I.G. Rosenberg, L. Heindorf, M. Goldstern, M. Pinsker ...*

Goldstern/Pinsker,  
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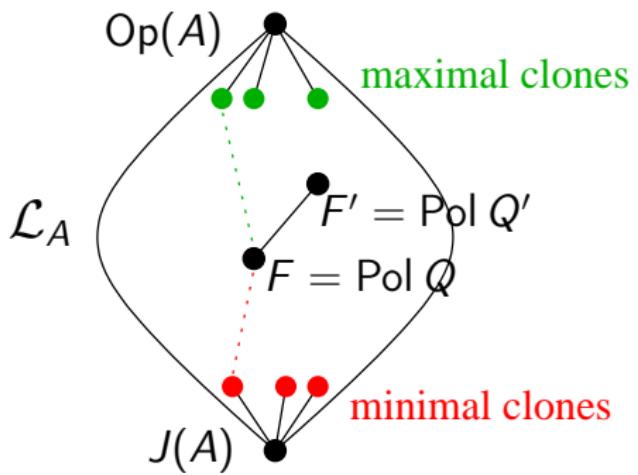
submaximal clones: *D. Lau*

maximal clones  $C$  where

$C \cap \text{Sym}(A)$  is maximal permutation group in  $\text{Sym}(A)$ :

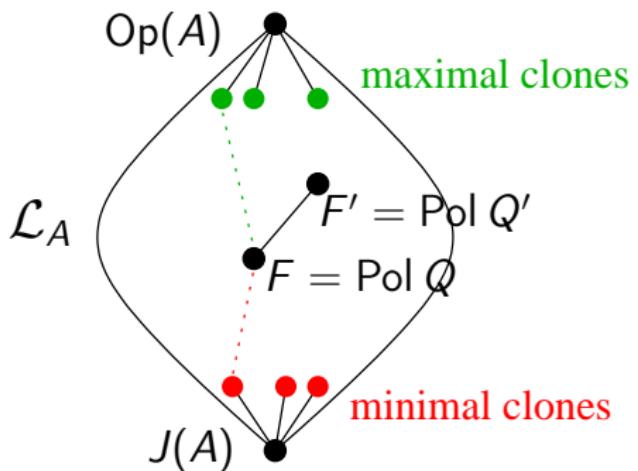
*P.P. Pálfy* (2007)

# The lattice $\mathcal{L}_A$ of clones on $A$



Minimal clones: complete description still **open problem**

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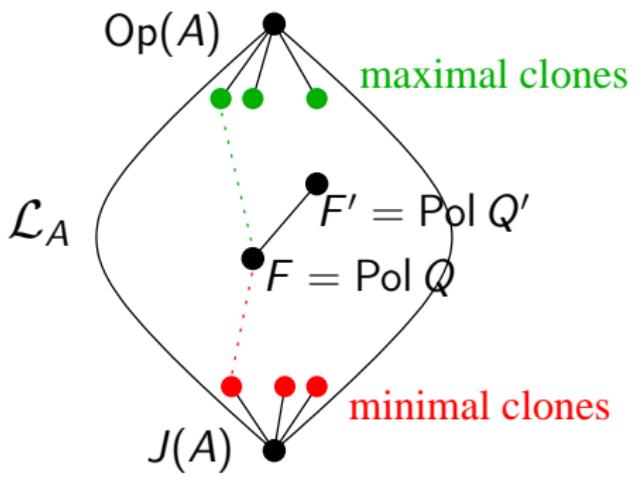
Minimal clones: complete description still **open problem**

$|A| = 2$ : *E.L. Post* (1920/41)

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classification: *I.G. Rosenberg* (1983)

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further partial results: *G. Czédli, A. Szendrei, K. Kearnes, P.P. Pálfy, L. Szabo, B. Szszepera, T. Waldhauser, ...*

essentially minimal clones: *I.G. Rosenberg, H. Machida, ...*

# Ivo Rosenberg's 1970 publication on maximal clones



Galois connections  
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THE Galois connection  
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Clone lattice  
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Modifications  
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Applications  
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The third memorial intermission

*Ivo Rosenberg* (1934–2018)



# Ivo Rosenberg (Dec. 13, 1934 – Jan. 18, 2018)

Memorial session for Ivo Rosenberg at AAA95 in Bratislava,  
March 2018



# Ivo Rosenberg (Dec. 13, 1934 – Jan. 18, 2018)

Summerschool in Štrbské Pleso 1997



# Ivo Rosenberg (Dec. 13, 1934 – Jan. 18, 2018)

Summerschool in Stara Lesna 2009



# Ivo Rosenberg (Dec. 13, 1934 – Jan. 18, 2018)

Summerschool in Podlesí 2011



# Outline

Galois connections

The “most basic Galois connection” in algebra

The clone lattice

Modifications of the Galois connection Pol – Inv

Some applications of THE Galois connection Pol – Inv

## What and how to modify?

- (A) Restricting the operations and/or relations under consideration but keeping the invariance relation “ $\varrho$  is invariant for  $f$ ”.
- (B) Generalizing the operations (e.g. to partial operations or multioperations) and/or relations with “canonically” modified invariance relation.
- (C) Considering “natural” closure operators on operations and/or relations and trying to characterize the closed sets as Galois closures of a suitable Galois connection.
- (D) Modifying the preservation property “ $f \triangleright \varrho$ ”.

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Galois connections  
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## (A) Restrictions on operations and relations

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$E \text{Pol } Q := E \cap \text{Pol } Q$  for  $Q \subseteq R$ ,

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Theorem (Characterization of the Galois closures)

$${}^E\text{Pol} {}^R\text{Inv } F = E \cap \langle F_0 \cup F \rangle_{\text{Op}(A)} \text{ for } F \subseteq \text{Op}(A),$$

$${}^R\text{Inv}^E\text{Pol } Q = R \cap [Q_0 \cup Q]_{(\exists, \wedge, =)} \text{ for } Q \subseteq \text{Rel}(A).$$

# The closure operator ${}^E\text{Pol}^R\text{Inv}$

The the closure operator

$$\text{cls}_{(E,R)}(H) := {}^E\text{Pol}^R\text{Inv } H$$

(for  $H \subseteq E$ )

is

$$\text{cls}_{(E,R)}(H) = E \wedge (H \vee \text{Pol } R)$$

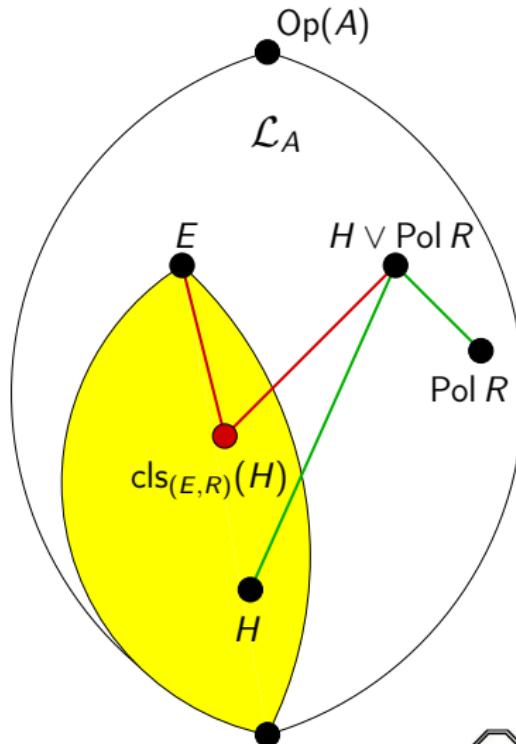
( $\wedge, \vee$  in the lattice  $\mathcal{L}_A$ ).

The closure operator  ${}^E\text{Pol}^R\text{Inv}$ 

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## Restricting arities

$E := \text{Op}^{(m)}(A)$  or  $R := \text{Rel}^{(m)}(A)$ .

$\mathcal{A} = \langle A, F \rangle$  finite algebra.

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- $m\text{-Loc } F = \text{Pol Inv}^{(m)} F$  ( *$m$ -locally closed clones, clones with  $m$ -interpolation property*),
- $m\text{-LOC}[Q]_{(\exists, \wedge, =)} = \text{Inv Pol}^{(m)} Q$  ( *$m$ -locally closed relational clones*).

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## Restricting relations

Restricting relations to graphs  $g^\bullet$  of operations  $g$  leads to the Galois connection on operations induced by  $\perp$ : For  $f, g \in \text{Op}(A)$

$$f \perp g \quad (\textit{f commutes with g}) \iff g \in \text{Pol } f^\bullet \iff f \in \text{Pol } g^\bullet.$$

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$$\begin{array}{ccccc}
 & g & g & \dots & g \\
 f & \left( \begin{array}{cccc} x_{11} & x_{12} & \dots & x_{1n} \\ x_{21} & x_{22} & \dots & x_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ x_{m1} & x_{m2} & \dots & x_{mn} \end{array} \right) & = & \left( \begin{array}{c} \circ \\ \circ \\ \vdots \\ \circ \end{array} \right) \\
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By the finiteness result [BurW1987], for any  $k \in \mathbb{N}$ , there must exist a bound  $m \in \mathbb{N}$  such that every centralizer clone  $F$  on a  $k$ -element set is determined by the set  $F^{(m)}$  of its  $m$ -ary operations, i.e.

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Upper bound:  $m \leq k^{k^4 - k^3 + k^2}$  ([BurW1987], [Pö]),  
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## The Galois connections Aut – Maj and Aut – Spr

Keeping the relation  $f \perp g$ , further restrictions to permutations and majority functions **Maj** (or semiprojections **Spr**, resp.)

*Proposition (Behrisch/Pö Aug. 2018)*

For  $G \subseteq \text{Sym}(A)$  we have:

$$\text{4-Loc } G \text{ Aut Maj } G \text{ Aut Spr}^{(3)} \text{ G3-Loc } G.$$

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*Proof:* : The graph of a ternary function is a quaternary relation.

: Encoding ternary irreflexive relations  $\varrho$  by majority operations (semiprojections, resp.)  $f$ :

$$f(x, y, z) = \begin{cases} x & \text{if } (x, y, z) \in \varrho \\ y & \text{if } (x, y, z) \in A_7^3 \setminus \varrho \\ \dots & \text{according to majority conditions,} \\ & \text{resp. } z, \text{ otherwise} \end{cases}$$

Then  $\sigma \perp f \iff \sigma \triangleright \varrho$ ,  
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In general, both inclusions can be strict.

$$\text{4-Loc } G \subseteq \text{Aut Maj } G = \text{Aut Spr}^{(3)} G \subseteq \text{3-Loc } G.$$

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In general, both inclusions can be strict.

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# Further Galois connections by restricting to $E$ and $R$

$E$	$R$	Galois closure	References
$\text{Op}(A)$	$\text{Rel}(A)$	$\text{Pol Inv } F$	[BodKKR69a], [BodKKR69b], [Gei68], [BakP75], [Rom76], [Rom77a], [Rom77b], [PössK79], cf. 2.3, 2.5
		$\text{Inv Pol } Q$	[Gei68], [BodKKR69a], [BodKKR69b], [Sza78], [PössK79], [Pöss80a], cf. 2.3, 2.5
Generalization to infinitary relations or operations			
		$\text{Pol Inv}^\infty F$	[Ros72], [KraP76], [Poi81]
		$\text{Inv}^\infty \text{Pol } Q$	[Ros79]
		$\{\text{Pol}^\infty \text{Inv}^\infty F, \text{Inv}^\infty \text{Pol}^\infty Q\}$	[Kra76b], [KraP76], [Poi81]
arity restrictions			
$\text{Op}(A)$	$\text{Rel}^{(m)}(A)$	$\text{Pol Inv}^{(m)} F$	[Gei68], [BakP75], [Pöss80a]
		$\text{Inv}^{(m)} \text{Pol } Q$	[Ros78]
$\text{Op}(A)$	$\text{Rel}^{(1)}(A)$	$\text{Pol Sub } F$	[Sch82, Thm. 1.6], [Pöss80a]
		$\text{Sub Pol } Q$	see below
$\text{Op}^{(m)}(A)$	$\text{Rel}(A)$	$\text{Inv Pol}^{(m)} Q$	[Sza78], [Pöss80a]
$\text{Tr}(A)$	$\text{Rel}(A)$	$\text{Inv End } Q$	[Kra38], [Kra50], [Kra76a], [Kra86], [Gou68], [BodKKR69a], [BodKKR69b], [Pöss80a], [Bör00]
		$\text{End Inv } F$	
$\text{Sym}(A)$	$\text{Rel}(A)$	$\{\text{Inv Aut } Q, (\text{sInv Aut } Q)\}$	[Kra38], [BodKKR69a], [BodKKR69b], [Gou72a], [Pöss80a], [Bör00]
		$\text{wAut Inv } F$	
		$\text{Aut Inv } F$	
$\text{Sym}(A)$	$\text{Rel}^{(m)}(A)$	$\text{Aut Inv}^{(m)} F$	[Wie69]
restriction to (graphs of) operations only			
$\text{Op}(A)$	$\text{Op}(A)^*$	$\text{Pol Pol } F$	[Sza78, Thm. 13], [Faj77], [Dan77] (for $ A  = 3$ ), (also Kuznetcov, cf. [Val76])
$\text{Op}(A)$	$\text{Tr}(A)^*$	$\text{Pol End } F$	[SauS82], ([Rei82] implicit operations)
		$\text{End Pol } Q$	see below

concrete characterization problems			
$E$	$R$	Galois closure	References
$\text{Op}(A)$	$\text{Sym}(A)^*$	$\text{concrete characterization of Aut } A$ $\text{Aut Pol } Q$	[Jón68] (cf. [Jón72, (2.4.3)]), [Kra50], [Arm86], [Sza75], [Bre76]
$\text{Op}^{(m)}(A)$	$\text{Sym}(A)^*$	$\text{concrete characterization of Aut } A \text{ for algebras } A \text{ with at most } m\text{-ary operations}$ $\text{Aut Pol}^{(m)} Q$	[Plo68], [Jón72, (2.4.1)], [Gou72a]
$\text{Op}(A)$	$\mathfrak{P}(A)$	$\text{concrete characterization of Sub } A$ $\text{Sub Pol } Q$	[BirF48] (cf. [Jón72, (3.6.4)]), [Gou68], [Gou72b] for unary algebras: [Jón72, (3.6.7)], [JohS67]
$\text{Op}(A)$	$\text{Eq}(A)$	$\text{concrete characterization of Con } A$ $\text{Con Pol } Q$	[Arm70] (partial solution), [Jón72, (4.4.1)], [QuaW71], [Wer74], [Dra74]
		$\text{Pol Con } F$	for $p$ -rings $(A; F)$ [Isk72]
$\text{Op}(A)$	$\text{Tr}(A)^*$	$\text{concrete characterization of End } A$ $\text{End Pol } Q$	[Lam68], [GräL68], [SauS77a], [Sto69], [Sto75], [Jeh72], [Sza78, Thm. 15]
$\text{Op}(A)$	$\text{Sym}(A)^* \cup \mathfrak{P}(A)$ $\text{Sym}(A)^* \cup \text{Eq}(A)$	$\text{concrete characterization of }$ $\text{Aut } A \& \text{ Sub } A$ $\text{Aut } A \& \text{ Con } A$	[Sto72], [Gou72b], cf. 3.5 [Wer74] (conjecture) cf. [Pöss80b], (for simple $A$ [Sch64]), cf. 3.5
	$\text{Op}(A)^* \cup \text{Sub}(A)$ $\text{Op}(A)^* \cup \text{Sub}(A) \cup \text{Eq}(A)$	$\text{End } A \& \text{Sub } A$	[SauS77b] (cf. [Jón74])
		$\text{Aut } A \& \text{ Sub } A \& \text{ Con } A$	[Sza78], [Pöss80a], cf. 3.5

(B) Generalizing operations and/or relations with  
“canonically” modified invariance relation

## (B) Generalizing operations and/or relations

Generalization to

- partial operations ( $f : B \rightarrow A$  with  $B \subseteq A^n$ )
- power operations (multi-operations) ( $f : A \rightarrow \mathfrak{P}(A)$ )
- infinitary operations and/or relations [Ros1972]
- multisorted algebras  $A = (A_s)_{s \in S}$  and relations [Pös1973]
- multisorted relation pairs  $(\varrho, \varrho') = ((\varrho_s)_{s \in S}, (\varrho'_s)_{s \in S})$  and minor closed classes ("clonoids", "minions", "preclones")  
[LehPW2018]
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## (C) Characterizing closures as Galois closures

## Minor closed classes of functions

$f : A^n \rightarrow A$  is a *minor* of  $g : A^m \rightarrow A$  if there is a mapping  $\lambda : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$  such that

$$f(a_1, \dots, a_n) = g(a_{\lambda(1)}, \dots, a_{\lambda(m)})$$

(permuting/identifying variables, adding fictive variables)

**Question:** How to characterize the closure  $\langle F \rangle_{mc}$  of  $F \subseteq \text{Op}(A)$  under taking minors (*minor closed classes* (clonoids, minions)) as Galois closure of a suitable Galois connection?

**Answer:** Take the Galois connection  $\text{mPol} - \text{mlnv}$  of the context  $(\text{Op}(A), \text{Rel}(A) \times \text{Rel}(A), \triangleright)$  where

$$f \triangleright (\varrho, \varrho') : \iff f(r_1, \dots, r_n) \in \varrho' \text{ for } r_1, \dots, r_n \in \varrho$$

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## The Galois connection $\text{mPol} - \text{mInv}$

$$\begin{aligned} f \triangleright (\varrho, \varrho') : \iff & f(\begin{array}{c|c|c|c} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \end{array}) = \begin{array}{c} \bullet \\ \bullet \end{array} \\ & f(\begin{array}{c|c|c|c} a_{m1} & a_{m2} & \dots & a_{mn} \end{array}) = \begin{array}{c} \bullet \end{array} \\ & \big( \in \varrho \big) \big( \in \varrho \big) \dots \big( \in \varrho \big) \Rightarrow \big( \in \varrho' \big) \end{aligned}$$

Theorem (Characterization of the Galois closures)

$$\langle F \rangle_{\text{mc}} = \text{mPol mInv } F \text{ for } F \subseteq \text{Op}(A),$$

$$[Q]_{\text{mc}} = \text{mInv mPol } Q \text{ for } Q \subseteq \text{Rel}(A) \times \text{Rel}(A).$$

[Pip2002] (*N. Pippenger*), [LehPW2018, Thm. 4.10]

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$\langle F \rangle_{\text{mc}} = \text{mPol } \text{mInv } F \text{ for } F \subseteq \text{Op}(A),$

$[Q]_{\text{mc}} = \text{mInv } \text{mPol } Q \text{ for } Q \subseteq \text{Rel}(A) \times \text{Rel}(A).$

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## Logical operations

Let  $\varphi(x_1, \dots, x_m)$  be a first order formula containing quantifiers and connectives from  $\Phi$  only (with relation symbols  $\varrho_1, \dots, \varrho_n$  and free variables  $x_1, \dots, x_m$ ).  
corresponding *logical operation*  $\in \text{Lop}_A(\Phi)$  on  $\text{Rel}(A)$ :

$$L_\varphi(\varrho_1, \dots, \varrho_n) := \{(a_1, \dots, a_m) \mid \models \varphi(a_1, \dots, a_m)\}$$

Example:  $\varrho_1 \circ \varrho_2 = \{(x, y) \mid \exists z : (x, z) \in \varrho_1 \wedge (z, y) \in \varrho_2\}$   
 $= L_\varphi(\varrho_1, \varrho_2) = \{(x, y) \in A^2 \mid \models \varphi(\varrho_1, \varrho_2; x, y)\}$

for the first order formula

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# Closures with logical operations

		Galois connection		
$\text{Lop}_A(\Phi)$ -closure with $\Phi =$		Notation	closed relational system	closed operational system

for finite base set  $A$ :

(1)	$(\exists, \wedge, \vee, \neg, =)$	$[Q]_{KA}$	sInv – Aut	
			Krasner algebra	group of permutations
(2)	$(\exists, \wedge, \vee, =)$	$[Q]_{WKA}$	Inv – End	
			weak Krasner algebra	monoid of unary functions
(3)	$(\exists, \wedge, =)$	$[Q]_{RA}$	Inv – Pol	
			relational algebra	clone of finitary functions

		Inv – pPol	
(4)	$(\wedge, =)$		weak system with identity down-closed clone of finitary partial functions
		Inv – mPol	
(5)	$(\wedge)$		weak system of relations down-closed clone of finitary multifunctions
		sInv – sEnd (sbmEnd, resp.)	
(6)	$(\exists, \wedge, \vee, \neg)$	$[Q]_{BSP}$	BSP Special monoid of unary functions
(6')			Boolean system with projections (down-closed involuted monoid of bitotal multifunctions, resp.)

		Galois connection		
Lop <sub>A</sub> (Φ)-closure with Φ =	Notation	closed relational system	closed operational system	

for finite base set A:

(7)	$(\wedge, \vee, \neg, =)$	$[Q]_{BSI}$	sInv – spmEnd	
			BSI Boolean system with identity	down-closed involuted monoid of pp-multifunctions (partial permutations)
(8)	$(\wedge, \vee, \neg)$	$[Q]_{BS}$	sInv – smEnd	
			BS Boolean system	down-closed involuted monoid of unary multifunctions

Galois connections  
oooooooooooo

THE Galois connection  
oooooooooooooooooooo

Clone lattice  
oooo

Modifications  
oooooooooooooooooooo●oooooooooooo

Applications  
oooooooooooo

## (D) Modifying the preservation property

## Strong preservation

Modifying the Galois connection of the context  $(\text{Sym}(A), \text{Rel}(A), \triangleright)$ :  
 $f \in \text{Sym}(A)$  strongly preserves  $\varrho \in \text{Rel}(A)$ :

$$f \triangleright_{\text{strong}} \varrho : \iff f \triangleright \varrho \text{ and } f^{-1} \triangleright \varrho.$$

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induced by the relation  $f$  preserves  $\varrho$  at one place

function  $f$  e-preserves relation  $\varrho$ :  $f \triangleright_e \varrho$

i.e.,  $\exists i$ :  $f$  preserves  $\varrho$  at  $i$ -th place

e-Inv  $F := \{\varrho \in R_A \mid \forall f \in F : f \triangleright_e \varrho\}$       e-invariant relations

e-Pol  $Q := \{f \in O_A \mid \forall \varrho \in Q : f \triangleright_e \varrho\}$       e-polymorphisms

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## Connection between e-Pol and Pol

For  $\varrho \in R_A^{(m)}$  let  $\widehat{\varrho} := \{\varrho_{(n)} \mid n \in \mathbb{N}_+\}$  where

$\varrho_{(n)} := \bigcup_{i=1}^n A^m \times \dots \times \underbrace{\varrho}_{\text{i-th place}} \times \dots \times A^m \in R_A^{(nm)} (= (A^m)^n \setminus (A^m \setminus \varrho)^n)$

e.g.,  $\varrho_{(2)} = \{(\boxed{a_1, \dots, a_m}, \boxed{b_1, \dots, b_m}) \mid (\boxed{a_1, \dots, a_m}) \in \varrho \text{ or } (\boxed{b_1, \dots, b_m}) \in \varrho\}.$

Proposition (Characterization of e-Pol  $Q$  [Ros75], [Pös75])

Let  $f \in O_A^{(n)}$ ,  $\varrho \in R_A^{(m)}$ ,  $Q \subseteq R_A$ . Then

- $f \triangleright_e \varrho \iff f \triangleright \varrho_{(n)}$ ,
- $\text{Pol } \varrho = \text{Pol } \varrho_{(1)} \supseteq \dots \supseteq \text{Pol } \varrho_{(n)} \supseteq \text{Pol } \varrho_{(n+1)} \supseteq \dots \supseteq \text{e-Pol } \varrho$ ,
- $\text{Pol } \widehat{\varrho} = \bigcap_{i=1}^{\infty} \text{Pol } \varrho_{(n)} = \text{e-Pol } \varrho$ ,
- $\text{e-Pol } Q = \text{Pol } \widehat{Q}$  (where  $\widehat{Q} := \bigcup \{\widehat{\varrho} \mid \varrho \in Q\}$ ),  
*in particular it is a clone (e-clone).*

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Galois connections  
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THE Galois connection  
oooooooooooooooooooo

Clone lattice  
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Modifications  
oooooooooooooooooooooooooooo●oooo

Applications  
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## e-clones

$F \subseteq O_A$  *e-clone* (Galois closed) if  $F = \text{e-Pol e-Inv } F$ .

Which clones are e-clones?

Trivial observation:

Each clone of essentially unary operations is an e-clone.

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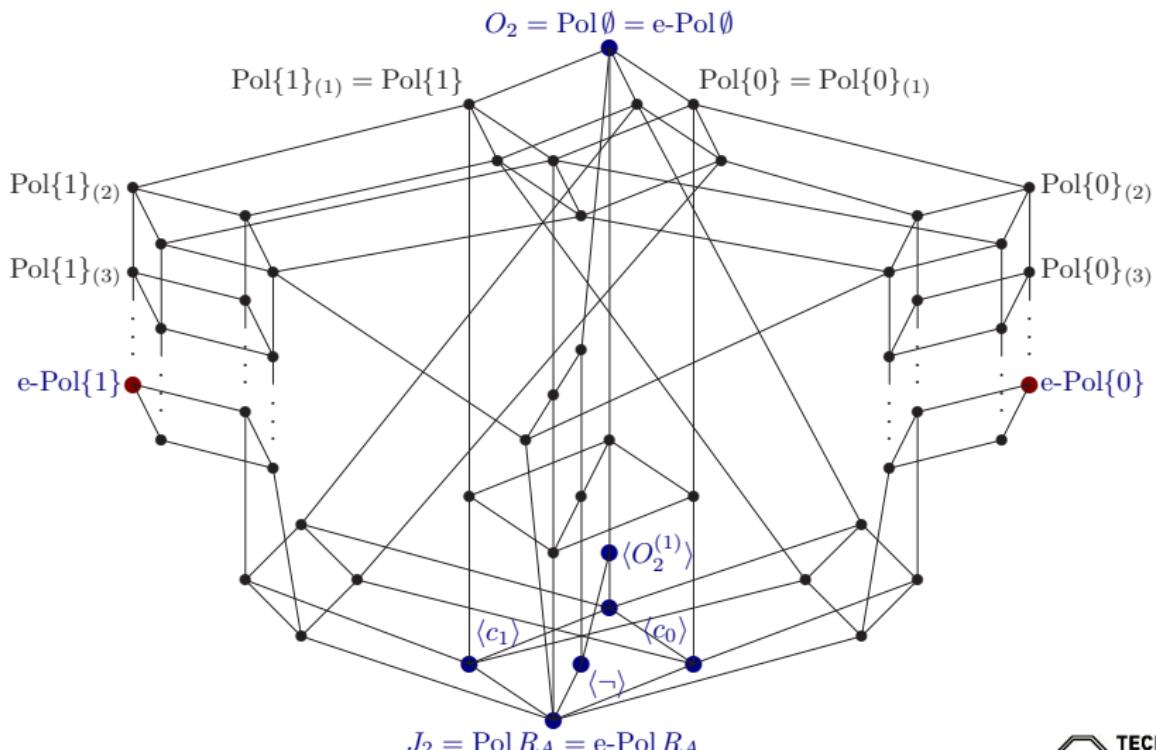
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## The 9 e-clones on a 2-element set



## Non-finitely related e-clones

If the descending chain

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Under which conditions this happens? Partial answer:

Proposition (Pösl975, Satz 15)

Let  $\varrho \in R_A^{(1)}$  be a nonempty proper subset of  $A$ . Then  $(*)$  is a non-terminating chain. In particular,  $\text{e-Pol } \varrho$  is non-finitely related. Moreover,  $(*)$  is non-refinable.

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## Some open problems for e-Pol – e-Inv

- Characterize relations  $\varrho \in \text{Rel}^{(m)}(A)$  with non-finitely related e-clone e-Pol  $\varrho$ .
- For finite  $A$ , are there finitely or infinitely many e-clones?
- Find an “inner” characterization of the Galois closures, i.e., of
  - e-Pol e-Inv  $F$ ,
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- Study analogously the other Galois connections introduced by *Ivo G. Rosenberg* in his 1975 paper.

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# Outline

Galois connections

The “most basic Galois connection” in algebra

The clone lattice

Modifications of the Galois connection Pol – Inv

Some applications of THE Galois connection Pol – Inv

# Problem 1

Can one express exponentiation

$$f(x, y) := x^y$$

as composition of addition and multiplication

$$g_+(x, y) := x + y, \quad g_\cdot(x, y) := x \cdot y$$

?

$$(x, y \in \mathbb{N}_+)$$

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Problem:  $f \in \langle g_+, g_\cdot \rangle ?$

Answer: No.

Proof: Idea: Find  $\varrho \in \text{Rel}(A)$  such that  $g_+ \triangleright \varrho, g_\cdot \triangleright \varrho$  but not  $f \triangleright \varrho$ , because this would contradict to  $f \in \langle g_+, g_\cdot \rangle$

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Take  $\varrho := \{(x, x') \in \mathbb{N}_+^2 \mid 3 \text{ divides } x - x'\}$

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$$\begin{array}{ll} f(2, 4) = 2^4 = 16 & \\ f(2, 1) = 2^1 = 2 & \\ \in \varrho & \notin \varrho \end{array}$$

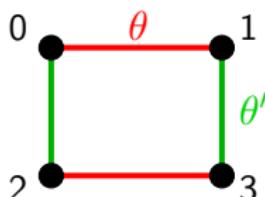
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Let

$$A := \{0, 1, 2, 3\}$$

$$G := \{e, g\} := \{(0), (03)(12)\} \leq S_4 \text{ (permutation group)}$$

$$L := \{\theta_0, \theta, \theta', \theta_1\} \text{ (lattice of equivalence relations on } A)$$



$\theta_0, \theta_1$  trivial equivalence relations

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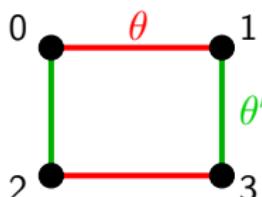
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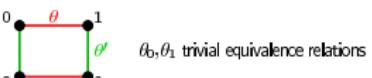
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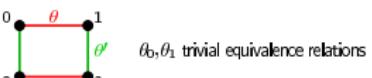
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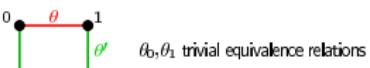
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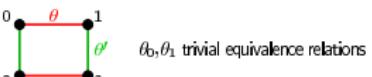
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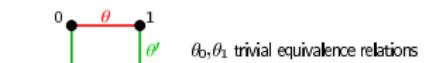
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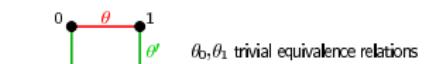
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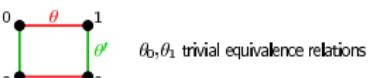
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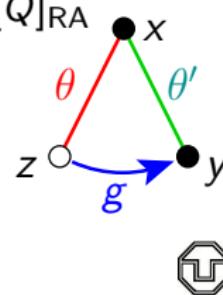
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How to recognize whether

$$\text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2 \quad (*)$$

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How to construct all graphs  $\Gamma_2 = (V, E_2)$  satisfying (\*) ?

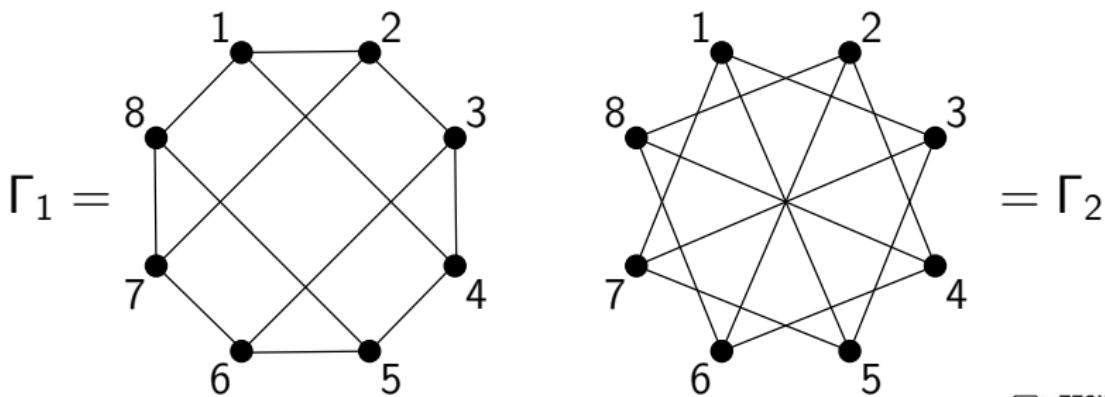
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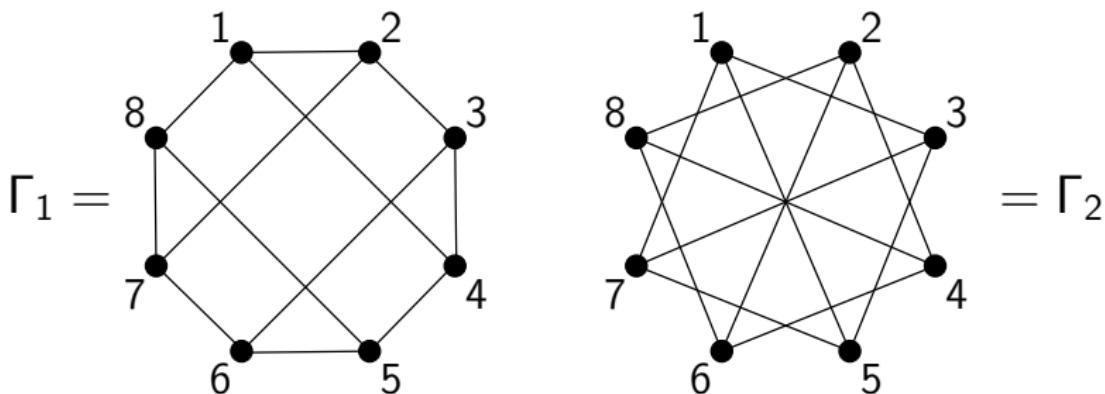
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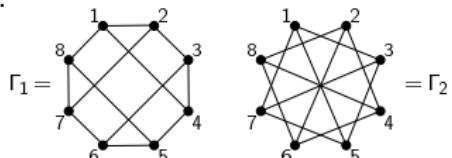
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General answer:

$$\text{Aut } \Gamma_1 = \text{Aut } E_1 \subseteq \text{Aut } E_2 = \text{Aut } \Gamma_2$$

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$$\iff [E_1]_{\text{KA}} \supseteq [E_2]_{\text{KA}} \text{ (by Theorem)}$$

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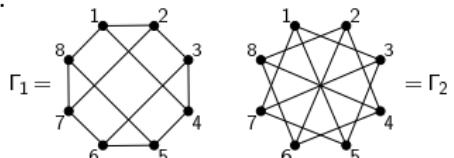
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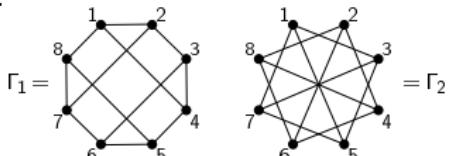
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General answer:

Concrete answer for example:

YES!

$$E_2 = (E_1 \circ E_1) \setminus \Delta_V, \text{ i.e.}$$

$$E_2 = L_\varphi(E_1) = \{(x, y) \mid \exists z : \underbrace{x E_1 z \wedge z E_1 y \wedge \neg(x=y)}_{\varphi}\}$$

$$\implies \text{Aut } \Gamma_1 \subseteq \text{Aut } \Gamma_2.$$

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What can be said about the computational complexity of  
**Constraint Satisfaction Problems (CSP)**

$\Gamma$  set of (finitary) relations on a domain  $D$ .

General (algebraic) definition of CSP:

$CSP(\Gamma)$  := set of problems of the form

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