

# Ext and Vanishing of Derived Functors of Inverse Limits

Jan Šťovíček

Second Czech-Catalan Conference in Mathematics,  
Barcelona, September 21–23, 2006

# Outline

- 1 Motivation
  - When Is Ext Orthogonality Inherited by Pure Submodules?
  - Uncountable Inverse Systems
- 2 Exactness of Inverse Limits and Vanishing of Ext
  - Inverse Limits of Uncountable Inverse Systems
  - Vanishing of Ext for Uncountable Direct Systems
  - Application –  $\Sigma$ -cotorsion Modules

# Outline

## 1 Motivation

- When Is Ext Orthogonality Inherited by Pure Submodules?
- Uncountable Inverse Systems

## 2 Exactness of Inverse Limits and Vanishing of Ext

- Inverse Limits of Uncountable Inverse Systems
- Vanishing of Ext for Uncountable Direct Systems
- Application –  $\Sigma$ -cotorsion Modules

# Finitely Presented Modules.

- Well-known: If  $M$  is **finitely presented**,  $\text{Ext}^1(M, C) = 0$  and  $D$  is a pure submodule of  $C$ , then  $\text{Ext}^1(M, D) = 0$ .
- Not true in general when  $M$  is not finitely presented.

# A Result for Countable Direct Systems.

## Theorem ([Bazzoni, Herbera '05])

If  $(M_n)_{n < \omega}$  is a countable direct system of finitely presented module such that  $\text{Ext}^1(M_n, C) = 0$  for all  $M_n$ , then TFAE:

- 1  $\text{Ext}^1(\varinjlim M_n, C^{(\omega)}) = 0$ ,
- 2  $(\text{Hom}(M_n, C))_{n < \omega}$  satisfies the *Mittag-Leffler* condition.

Moreover, if either condition is satisfied, then also  $\text{Ext}^1(\varinjlim M_n, C^{(\omega)}) = 0$  for any pure submodule  $D \subseteq C$ .

# Applications.

- Theorem [Bazzoni, Herbera '05] has been used to solve the following open problems:
  - **All tilting classes are of finite type** (Bazzoni, Eklof, Herbera, Š., Trlifaj '05).
  - **Baer modules over domains** (Angeleri Hügel, Bazzoni, Herbera '05).
- **Important!** In both cases, the problem was reduced to proving that  $\text{Ker Ext}^1(\varinjlim M_n, -) = 0$  is closed under certain pure submodules using other methods (set theory).
- Hence, we only need to consider countable direct limits of finitely presented modules and Theorem applies.

# Applications.

- Theorem [Bazzoni, Herbera '05] has been used to solve the following open problems:
  - **All tilting classes are of finite type** (Bazzoni, Eklof, Herbera, Š., Trlifaj '05).
  - **Baer modules over domains** (Angeleri Hügel, Bazzoni, Herbera '05).
- **Important!** In both cases, the problem was reduced to proving that  $\text{Ker Ext}^1(\varinjlim M_n, -) = 0$  is closed under certain pure submodules using other methods (set theory).
- Hence, we only need to consider countable direct limits of finitely presented modules and Theorem applies.

# Outline

1

## Motivation

- When Is Ext Orthogonality Inherited by Pure Submodules?
- **Uncountable Inverse Systems**

2

## Exactness of Inverse Limits and Vanishing of Ext

- Inverse Limits of Uncountable Inverse Systems
- Vanishing of Ext for Uncountable Direct Systems
- Application –  $\Sigma$ -cotorsion Modules



# The Problem of $\Sigma$ -cotorsion Modules.

## Definition

An module  $C$  is **cotorsion** if  $\text{Ext}^1(F, C) = 0$  for every flat module  $F$ . And  $C$  is  **$\Sigma$ -cotorsion** if  $C^{(I)}$  is cotorsion for any set  $I$ .

## Question

If  $C$  is  $\Sigma$ -cotorsion, is any pure submodule of  $C$  or any module elementarily equivalent to  $C$  again  $\Sigma$ -cotorsion?

## Example

- True for countable rings [Asensio, Herzog '05].
- True for valuation domains [Bazzoni, Š. '06].
- True if we replace  $\Sigma$ -cotorsion by  **$\Sigma$ -pure-injective**.

# The Problem of $\Sigma$ -cotorsion Modules.

## Definition

An module  $C$  is **cotorision** if  $\text{Ext}^1(F, C) = 0$  for every flat module  $F$ . And  $C$  is  **$\Sigma$ -cotorision** if  $C^{(I)}$  is cotorsion for any set  $I$ .

## Question

If  $C$  is  $\Sigma$ -cotorsion, is any pure submodule of  $C$  or any module elementarily equivalent to  $C$  again  $\Sigma$ -cotorsion?

## Example

- True for countable rings [Asensio, Herzog '05].
- True for valuation domains [Bazzoni, Š. '06].
- True if we replace  $\Sigma$ -cotorsion by  **$\Sigma$ -pure-injective**.

# No Hope for Reduction to Countable Direct Systems

- If we wanted to mimic the proofs for tilting modules or Baer modules, we would need:
  - For every flat module  $F$ , there is a filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_\alpha \subseteq F_{\alpha+1} \subseteq \cdots \subseteq F_\kappa = F$$

with  $F_{\alpha+1}/F_\alpha$  flat for all  $\alpha$ .

- But there is no hope to have this!
  - In this case, all the  $F_\alpha$ 's would be pure submodules of  $F$ .
  - But if  $R$  is a valuation domain and  $Q$  its quotient field, then  $Q$  is flat but does not have any non-trivial pure submodules.

# No Hope for Reduction to Countable Direct Systems

- If we wanted to mimic the proofs for tilting modules or Baer modules, we would need:
  - For every flat module  $F$ , there is a filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_\alpha \subseteq F_{\alpha+1} \subseteq \cdots \subseteq F_\kappa = F$$

with  $F_{\alpha+1}/F_\alpha$  flat for all  $\alpha$ .

- But there is no hope to have this!
  - In this case, all the  $F_\alpha$ 's would be pure submodules of  $F$ .
  - But if  $R$  is a valuation domain and  $Q$  its quotient field, then  $Q$  is flat but does not have any non-trivial pure submodules.

# Outline

- 1 Motivation
  - When Is Ext Orthogonality Inherited by Pure Submodules?
  - Uncountable Inverse Systems
- 2 Exactness of Inverse Limits and Vanishing of Ext
  - Inverse Limits of Uncountable Inverse Systems
  - Vanishing of Ext for Uncountable Direct Systems
  - Application –  $\Sigma$ -cotorsion Modules

# Ext and the Derived Functor of Inverse Limit

- [Jensen '72] For any inverse system  $(H_i)_{i \in I}$ , there is a complex

$$0 \rightarrow \varprojlim H_i \rightarrow \prod_{i_0 \in I} H_{i_0} \xrightarrow{\Delta^0} \prod_{i_0 < i_1} H_{i_0 i_1} \xrightarrow{\Delta^1} \prod_{i_0 < i_1 < i_2} H_{i_0 i_1 i_2} \xrightarrow{\Delta^2} \dots$$

where  $H_{i_0 i_1 \dots i_n} = H_{i_0}$  for all  $i_0 < i_1 < \dots < i_n$  in  $I$  and

- The functor  $\varprojlim^n H_i$  is defined as  $n$ -th homology.
- If  $(M_i)_{i \in I}$  is a direct system and  $\text{Ext}^1(M_i, C) = 0$  for all  $M_i$ :

$$\text{Ext}^1(\varinjlim M_i, C) \cong \varprojlim^1 \text{Hom}(M_i, C)$$

- That is, we can examine when  $\varprojlim^1 \text{Hom}(M_i, C) = 0$ .

# Ext and the Derived Functor of Inverse Limit

- [Jensen '72] For any inverse system  $(H_i)_{i \in I}$ , there is a complex

$$0 \rightarrow \varprojlim H_i \rightarrow \prod_{i_0 \in I} H_{i_0} \xrightarrow{\Delta^0} \prod_{i_0 < i_1} H_{i_0 i_1} \xrightarrow{\Delta^1} \prod_{i_0 < i_1 < i_2} H_{i_0 i_1 i_2} \xrightarrow{\Delta^2} \dots$$

where  $H_{i_0 i_1 \dots i_n} = H_{i_0}$  for all  $i_0 < i_1 < \dots < i_n$  in  $I$  and

- The functor  $\varprojlim^n H_i$  is defined as  $n$ -th homology.
- If  $(M_i)_{i \in I}$  is a direct system and  $\text{Ext}^1(M_i, C) = 0$  for all  $M_i$ :

$$\text{Ext}^1(\varinjlim M_i, C) \cong \varprojlim^1 \text{Hom}(M_i, C)$$

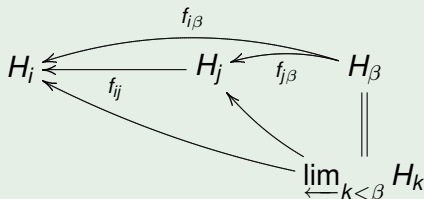
- That is, we can examine when  $\varprojlim^1 \text{Hom}(M_i, C) = 0$ .

# Continuous Inverse Systems.

## Definition

An inverse system  $(H_i, f_{ij})_{i < \kappa}$  indexed by a well-ordered set is **continuous** if  $(H_\beta, f_{i\beta})$  is an inverse limit of the subsystem  $(H_i, f_{ij})_{i < \beta}$  for each limit element  $\beta < \kappa$ .

## Example





# Reduced products.

## Definition

For a module  $H$  and a cardinal  $\kappa$ , denote by  $H^{<\kappa}$  the submodule of  $H^\kappa$  formed by the elements **with supports of cardinality  $< \kappa$**

## Example

$$H^{<\omega} = H^{(\omega)}.$$

# The Result.

## Theorem

Let

- $\kappa$  be an uncountable regular cardinal, and
- $(H_i, f_{ij})_{i < \kappa}$  be a continuous inverse system.

TFAE:

- 1  $\varprojlim^1 H_i^{< \kappa} = 0$ .
- 2 There is a closed unbounded subset  $X \subseteq \kappa$  such that  $f_{ij} : H_j \rightarrow H_i$  is surjective for each  $i, j \in X, i < j$ .

# About the Proof.

- The proof is technical.
- Set-theoretic methods are used (stationary subsets, closed unbounded subsets).
- The fact that  $\kappa$  is **uncountable** is essential.

# Summary for vanishing of $\varprojlim^1$ .

- **Plus:** A general condition for vanishing of  $\varprojlim^1$ .
- **Minus:** We wanted direct sums instead of  $H_i^{<\kappa}$ .
- **Minus:** We have to translate the condition back to the language of Hom's and Ext's.

# Outline

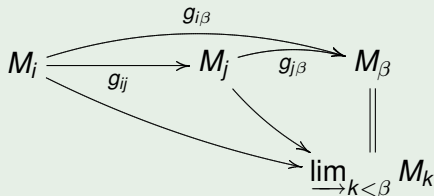
- 1 Motivation
  - When Is Ext Orthogonality Inherited by Pure Submodules?
  - Uncountable Inverse Systems
- 2 Exactness of Inverse Limits and Vanishing of Ext
  - Inverse Limits of Uncountable Inverse Systems
  - **Vanishing of Ext for Uncountable Direct Systems**
  - Application –  $\Sigma$ -cotorsion Modules

# Continuous Direct Systems.

Definition (a generalization of the definition of a filtration)

A direct system  $(M_i, g_{ij})_{i < \kappa}$  indexed by a well-ordered set is **continuous** if  $(M_\beta, g_{i\beta})$  is a direct limit of the subsystem  $(M_i, g_{ij})_{i < \beta}$  for each limit element  $\beta < \kappa$ .

Example



# The Result.

Theorem (generalizes [Eklof, Mekler '02] and [Š., Trlifaj '06])

Let

- $\kappa$  be an uncountable regular cardinal,
- $\mathcal{C}$  be a class of modules closed under direct sums,
- $(M_i, g_{ij})_{i < \kappa}$  be a continuous direct system, and
- all  $M_i$  are  $< \kappa$ -generated modules and  $\text{Ext}_R^1(M_\alpha, \mathcal{C}) = 0$ .

TFAE:

- 1  $\text{Ext}_R^1(\varinjlim M_i, \mathcal{C}) = 0 \quad (\forall \mathcal{C} \in \mathcal{C})$ .
- 2 There is a closed unbounded subset  $X \subseteq \kappa$  such that  $\text{Hom}_R(g_{ij}, \mathcal{C})$  is surjective  $(\forall \mathcal{C} \in \mathcal{C}) \quad (\forall i, j \in X, i < j)$ .

## About the Proof.

- The proof is technical.
- The homological part of the proof is different from [Eklof, Mekler '02] and [Š., Trlifaj '06].
- But the set-theoretic methods are used in a similar way.
- The fact that  $\kappa$  is **uncountable** is essential.



# Summary for the vanishing of Ext.

- **Plus:** We have direct sums inside Ext now.
- **Minus:** More complicated statement, more assumptions.

# Outline

- 1 Motivation
  - When Is Ext Orthogonality Inherited by Pure Submodules?
  - Uncountable Inverse Systems
- 2 Exactness of Inverse Limits and Vanishing of Ext
  - Inverse Limits of Uncountable Inverse Systems
  - Vanishing of Ext for Uncountable Direct Systems
  - Application –  $\Sigma$ -cotorsion Modules

# The Result.

## Theorem

*Let  $C$  be a  $\Sigma$ -cotorsion module over a ring of cardinality  $\leq \aleph_1$ . Then all pure submodules of  $C$  as well as all modules elementarily equivalent to  $C$  are  $\Sigma$ -cotorsion.*

## Example (previous results)

- True for countable rings [Asensio, Herzog '05].
- True for valuation domains [Bazzoni, Š. '06].
- True for any ring if we replace  $\Sigma$ -cotorsion by  $\Sigma$ -pure-injective.

# The Result.

## Theorem

*Let  $C$  be a  $\Sigma$ -cotorsion module over a ring of cardinality  $\leq \aleph_1$ . Then all pure submodules of  $C$  as well as all modules elementarily equivalent to  $C$  are  $\Sigma$ -cotorsion.*

## Example (previous results)

- True for countable rings [Asensio, Herzog '05].
- True for valuation domains [Bazzoni, Š. '06].
- True for any ring if we replace  $\Sigma$ -cotorsion by  $\Sigma$ -pure-injective.

# The Result.

## Theorem

*Let  $C$  be a  $\Sigma$ -cotorsion module over a ring of cardinality  $\leq \aleph_1$ . Then all pure submodules of  $C$  as well as all modules elementarily equivalent to  $C$  are  $\Sigma$ -cotorsion.*

## Example (previous results)

- True for countable rings [Asensio, Herzog '05].
- True for valuation domains [Bazzoni, Š. '06].
- True for any ring if we replace  $\Sigma$ -cotorsion by  $\Sigma$ -pure-injective.

# Sketch of the Proof.

Let  $D \subseteq C$  be a pure submodule. The proof consists of 4 steps:

- 1 Show that  $C$  is cotorsion iff  $\text{Ext}^1(\varinjlim M_i, C) = 0$  for direct systems  $(M_i)_{i \in I}$  of f. g. free modules with  $|I| \leq \aleph_1$ .
- 2 Show that  $\text{Ext}^1(\varinjlim M_i, D) = 0$  when  $I$  is countable.
- 3 Show that  $\text{Ext}^1(\varinjlim M_i, D) = 0$  when  $|I| = \aleph_1$ .
- 4 (Show that  $\tilde{C}$  is  $\Sigma$ -cotorsion for every  $\tilde{C} \equiv C$ .)

We use the general idea from [Bazzoni, Herbera '05]:

- Translate  $\text{Ext}^1(\varinjlim M_i, C) = 0$  to a chain condition for  $(\text{Hom}(M_i, C))_{i \in I}$ .
- Show that the condition is inherited for pure  $D \subseteq C$ .

# Sketch of the Proof.

Let  $D \subseteq C$  be a pure submodule. The proof consists of 4 steps:

- 1 Show that  $C$  is cotorsion iff  $\text{Ext}^1(\varinjlim M_i, C) = 0$  for direct systems  $(M_i)_{i \in I}$  of f. g. free modules with  $|I| \leq \aleph_1$ .
- 2 Show that  $\text{Ext}^1(\varinjlim M_i, D) = 0$  when  $I$  is countable.
- 3 Show that  $\text{Ext}^1(\varinjlim M_i, D) = 0$  when  $|I| = \aleph_1$ .
- 4 (Show that  $\tilde{C}$  is  $\Sigma$ -cotorsion for every  $\tilde{C} \equiv C$ .)

We use the general idea from [Bazzoni, Herbera '05]:

- Translate  $\text{Ext}^1(\varinjlim M_i, C) = 0$  to a chain condition for  $(\text{Hom}(M_i, C))_{i \in I}$ .
- Show that the condition is inherited for pure  $D \subseteq C$ .

## Step I – Reduction to Flat Modules of Cardinality $\leq \aleph_1$ .

- Well-known: for any flat module  $F$ , there is a filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_\alpha \subseteq F_{\alpha+1} \subseteq \cdots \subseteq F_\kappa = F$$

such that  $F_{\alpha+1}/F_\alpha$  are flat of cardinality  $\leq \aleph_0 + |R|$ .

- Well known:  
 $(\forall \alpha) \text{Ext}^1(F_{\alpha+1}/F_\alpha, C) = 0 \implies \text{Ext}^1(F, C) = 0$ .
- [Lazard '69]  $F$  flat of cardinality  $\leq \aleph_1 \implies F = \varinjlim_{i \in I} M_i$   
 where  $M_i$  are f. g. free and  $|I| \leq \aleph_1$ .



## Step I – Reduction to Flat Modules of Cardinality $\leq \aleph_1$ .

- Well-known: for any flat module  $F$ , there is a filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_\alpha \subseteq F_{\alpha+1} \subseteq \cdots \subseteq F_\kappa = F$$

such that  $F_{\alpha+1}/F_\alpha$  are flat of cardinality  $\leq \aleph_0 + |R|$ .

- Well known:  
 $(\forall \alpha) \text{Ext}^1(F_{\alpha+1}/F_\alpha, C) = 0 \implies \text{Ext}^1(F, C) = 0$ .
- [Lazard '69]  $F$  flat of cardinality  $\leq \aleph_1 \implies F = \varinjlim_{i \in I} M_i$   
 where  $M_i$  are f. g. free and  $|I| \leq \aleph_1$ .

## Step II – Countable Flat Modules.

Theorem (generalizes [Bazzoni, Herbera '05])

Let

- $M$  be a countably presented module (over any ring), and
- $C$  be a module such that  $\text{Ext}^1(M, C^{(\omega)}) = 0$ .

Then:

- $\text{Ext}^1(M, D) = 0$  whenever  $D \subseteq C$  is a pure submodule.

Proof.

Fine tuning the proof in [Bazzoni, Herbera '05]. □

## Step III – Flat Modules of Cardinality $\aleph_1$ .

- Find a continuous inverse system  $(M_i, g_{ij})_{i < \aleph_1}$  with  $M_i$ 's flat countably generated and  $F = \varprojlim M_i$ .
- Theorem  $\implies$  pass to a subsystem with all  $\text{Hom}(g_{ij}, C)$  surjective.
- By the countable case, show that all  $\text{Hom}(g_{ij}, D)$  are surjective whenever  $D \subseteq C$  is pure.
- Theorem  $\implies \text{Ext}^1(F, D) = 0$ .

## Step III – Flat Modules of Cardinality $\aleph_1$ .

- Find a continuous inverse system  $(M_i, g_{ij})_{i < \aleph_1}$  with  $M_i$ 's flat countably generated and  $F = \varinjlim M_i$ .
- Theorem  $\implies$  pass to a subsystem with all  $\text{Hom}(g_{ij}, C)$  surjective.
- By the countable case, show that all  $\text{Hom}(g_{ij}, D)$  are surjective whenever  $D \subseteq C$  is pure.
- Theorem  $\implies \text{Ext}^1(F, D) = 0$ .

# Summary

- We have found a criterion for vanishing of  $\varprojlim^1$  on uncountable continuous inverse systems.
- We have found a similar criterion for vanishing of Ext on uncountable continuous direct systems.
- We have generalized results on  $\Sigma$ -cotorsion modules by Asensio and Herzog to rings of cardinality  $\leq \aleph_1$ .
- Outlook
  - Do similar results hold for  $\Sigma$ -cotorsion modules over arbitrary rings?
  - Most of the methods are not tied to flat and cotorsion modules – perhaps there are also other applications.

# Summary

- We have found a criterion for vanishing of  $\varprojlim^1$  on uncountable continuous inverse systems.
- We have found a similar criterion for vanishing of Ext on uncountable continuous direct systems.
- We have generalized results on  $\Sigma$ -cotorsion modules by Asensio and Herzog to rings of cardinality  $\leq \aleph_1$ .
- Outlook
  - Do similar results hold for  $\Sigma$ -cotorsion modules over arbitrary rings?
  - Most of the methods are not tied to flat and cotorsion modules – perhaps there are also other applications.

# Summary





- We have found a criterion for vanishing of  $\varprojlim^1$  on uncountable continuous inverse systems.
- We have found a similar criterion for vanishing of Ext on uncountable continuous direct systems.
- We have generalized results on  $\Sigma$ -cotorsion modules by Asensio and Herzog to rings of cardinality  $\leq \aleph_1$ .
- Outlook
  - Do similar results hold for  $\Sigma$ -cotorsion modules over arbitrary rings?
  - Most of the methods are not tied to flat and cotorsion modules – perhaps there are also other applications.

# Summary

- We have found a criterion for vanishing of  $\varprojlim^1$  on uncountable continuous inverse systems.
- We have found a similar criterion for vanishing of Ext on uncountable continuous direct systems.
- We have generalized results on  $\Sigma$ -cotorsion modules by Asensio and Herzog to rings of cardinality  $\leq \aleph_1$ .
- Outlook
  - Do similar results hold for  $\Sigma$ -cotorsion modules over arbitrary rings?
  - Most of the methods are not tied to flat and cotorsion modules – perhaps there are also other applications.



# References I

-  P. C. Eklof and A. H. Mekler,  
*Almost Free Modules*,  
2nd Ed., North-Holland Math. Library, Elsevier, 2002.
-  P. A. Guil Asensio and I. Herzog,  
*Sigma-cotorsion modules and divisibility matrix subgroups*.  
Preprint.
-  S. Bazzoni and D. Herbera,  
*One dimensional modules are of finite type*.  
Preprint.
-  J. Šťovíček and J. Trlifaj,  
*All tilting modules are of countable type*,  
to appear in Bull. Lond. Math. Soc. **38**.